

BioProcess International™
Analytical and Quality Summit

Application of Light Scattering
Techniques for Analysis of
Oligomerization and Particle Formation

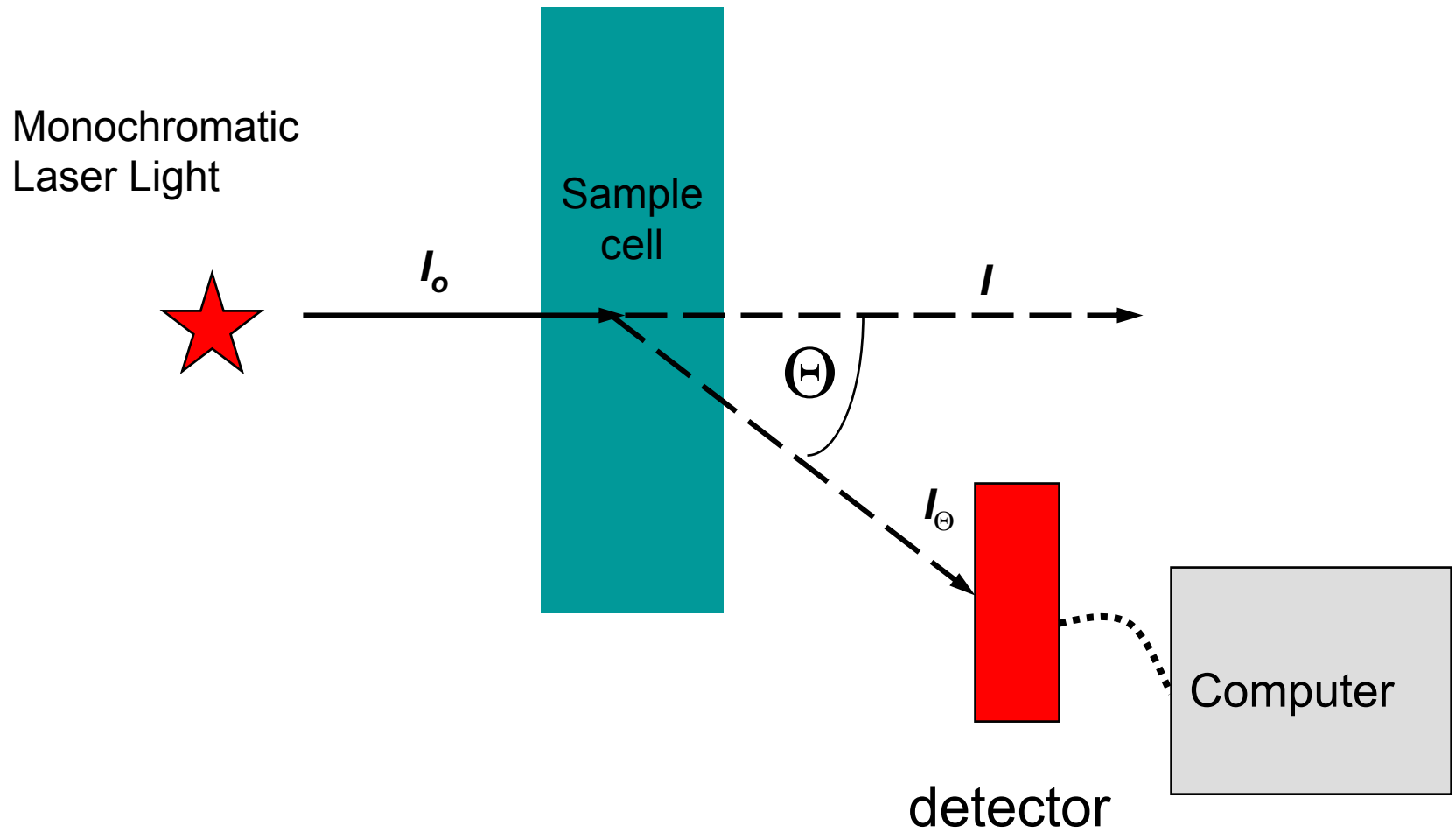
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Yale University



Outline

- **Light Scattering Technologies**
 - Static and dynamic light scattering
 - Parameters derived from SLS and DLS measurements
- **Batch Light Scattering Applications**
 - Detection of aggregates in DLS and SLS measurement
- **Flow Mode Light Scattering Applications**
 - Molar mass distributions and differences in populations
 - Characterization of morphology of aggregates
- **Determination of an oligomeric state of modified proteins from SEC-LS/UV/RI measurement**
- **Capabilities and limitation of static and dynamic LS measurements**

Light Scattering Experiments



Light Scattering Experiments

- Static (classical)
time-averaged intensity of
scattered light
- Dynamic (quasielastic)
fluctuation of
intensity of scattered
light with time

Measurements:

- batch mode
- “in-line” mode combined with a fractionation step,
i.e. chromatography, mainly Size Exclusion Chromatography, Flow Field Fractionation

Light Scattering Experiments

- Static (classical)

time-averaged intensity of scattered light

- Dynamic (quasielastic)

fluctuation of intensity of scattered light with time

Parameters derived:

- Molar Mass (weight-average) accuracy ~5%
- $\langle r_g^2 \rangle^{1/2}$ root mean square radii
for $\langle r_g^2 \rangle^{1/2} > (\lambda / 20) \sim 15 \text{ nm}$
- A_2 second virial coefficient

Rayleigh-Debye-Zimm formalism

$$\frac{K^*c}{R(\theta)} = \frac{1}{M_w P(\theta)} + 2A_2c$$

| | |
|-------------|--|
| $R(\theta)$ | Rayleigh ratio (excess scattered light) |
| c | sample concentration (g/ml) |
| M_w | weight-average molecular weight (molar mass) |
| A_2 | second virial coefficient (ml-mol/g ²) |
| $P(\theta)$ | form factor (angular dependence) |

Parameters derived:

- D_T translation diffusion coefficient
 - R_h hydrodynamic radius (Stokes radius)
- Uncertainty of ~10% for monodisperse sample

Stokes-Einstein

$$D_T = \frac{kT}{6\pi\eta R_h}$$

| | |
|--------|-------------------------------------|
| D_T | translational diffusion coefficient |
| k | Boltzmann constant |
| T | temperature |
| R_h | radius |
| η | solvent viscosity |

Why Light Scattering?

- Scattering Intensity, $R(\Theta) \sim M_w \cdot c$

because of their big M_w , aggregates scatter strongly even when present at low concentrations; easily detectable

- Angular variation of the scattered light is related to the size of the molecule

the light scattering signal from aggregates will show angular dependence, while LS signal produced by lower order oligomers like dimers, trimers, tetramers, et c. will not

- LS measurements are non-invasive and non-destructive

- small sample volumes
- great dynamic range for sizing: hydrodynamic radii $\sim 2\text{nm}$ to 500 nm
- great dynamic range for M_w determination: $< 1\text{kDa}$ to $>10\text{ MDa}$
- wide range of concentrations (non-ideality can be addressed through the determination of second virial coefficient)
- perfectly suited for determination of oligomeric state of modified proteins without prior knowledge of extent of modification (glycosylated, modified by polyethylene glycol, or membrane proteins present as complexes with lipids and detergents)

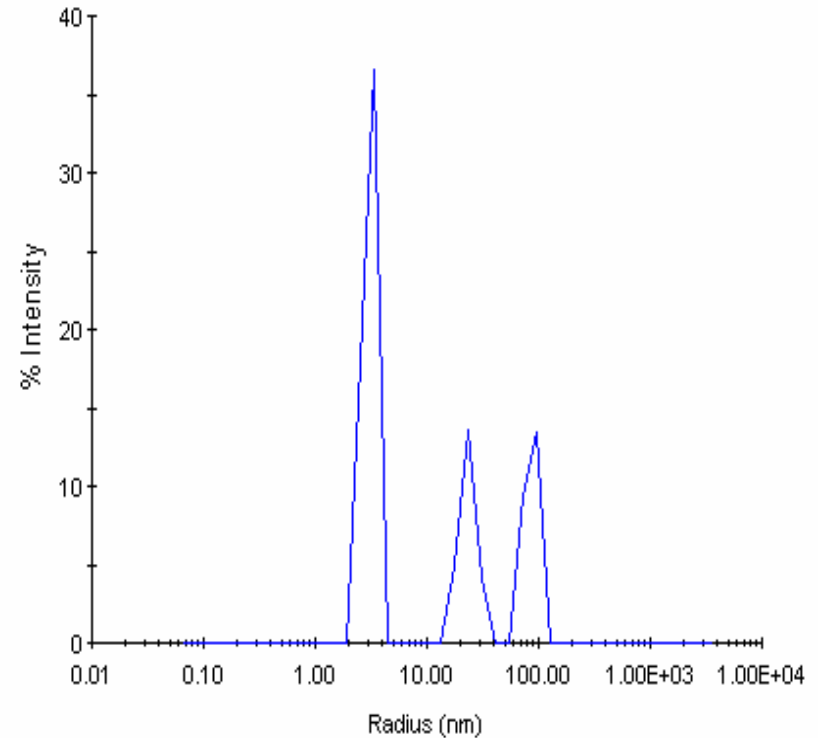
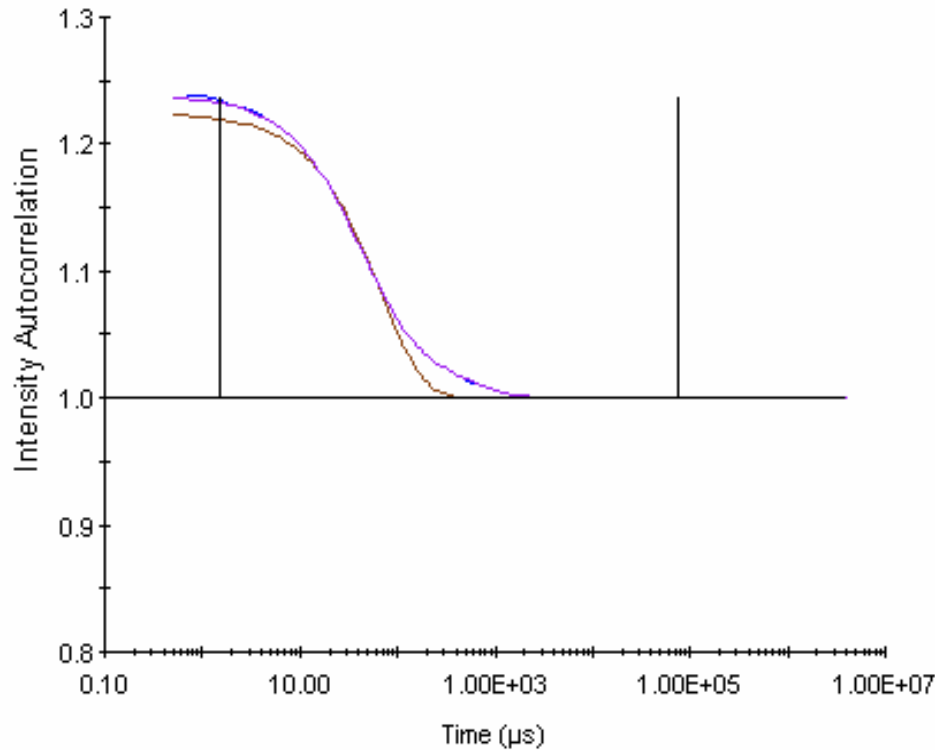
Determination of hydrodynamic radius, R_h , from a Dynamic LS experiment

Ovalbumin; monomer: 43 kDa; $R_h=3.0$ nm

$R_h = 8 \pm 7$ nm from **Cumulant Fit (Polydispersity 93%)**

Regularization Fit:

| Peak | R_h (nm) | Polydispersity (%) | MW (R) kDa | % Intensity | % Mass |
|------|------------|--------------------|------------|-------------|--------|
| 1 | 3.1 | 12.8 | 46 | 54 | 99.9 |
| 2 | 24 | 17.8 | >1MDa | 23 | 0.1 |
| 3 | 86 | 13.4 | - >1MDa | 23 | <0.1 |



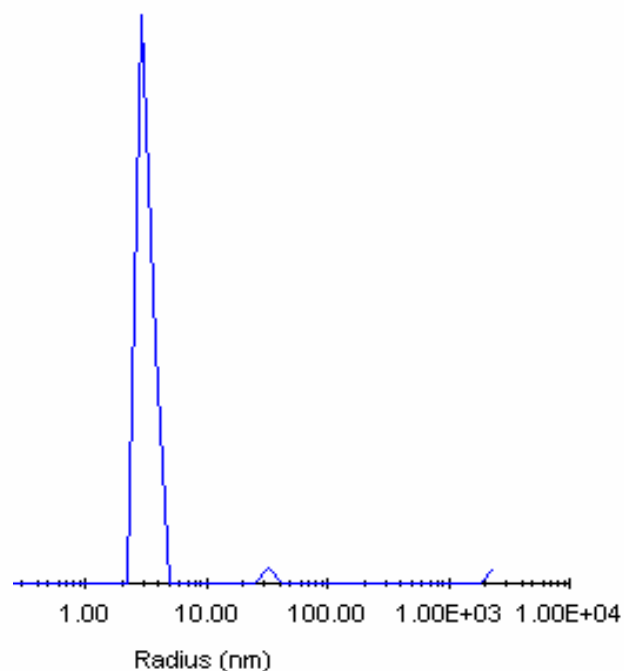
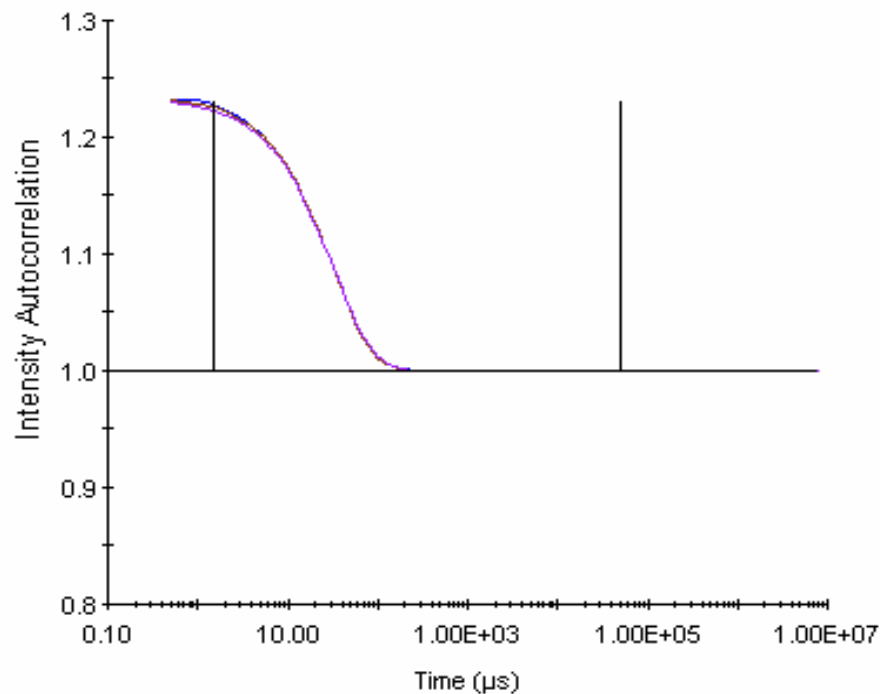
Results from a batch mode Dynamic LS experiment:

Ovalbumin 43 kDa; Rh=3.0 nm

Rh = 3.2 ± 0.6 nm from Cumulant Fit (Polydispersity 19%)

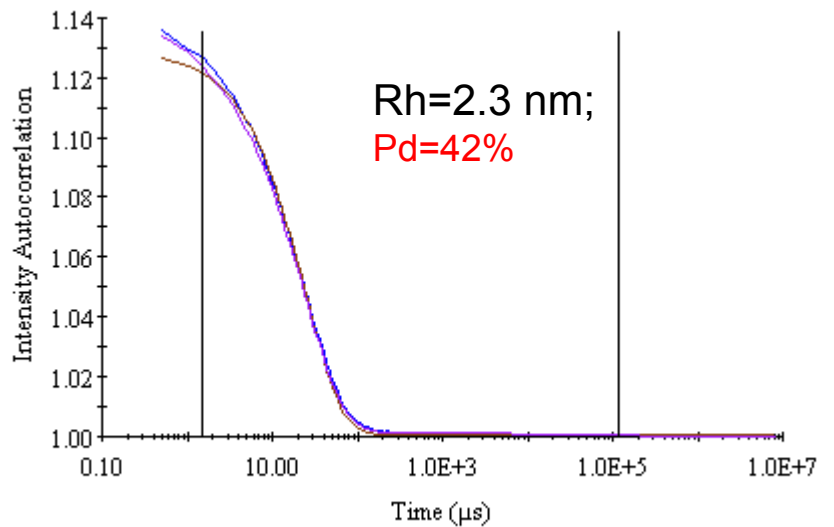
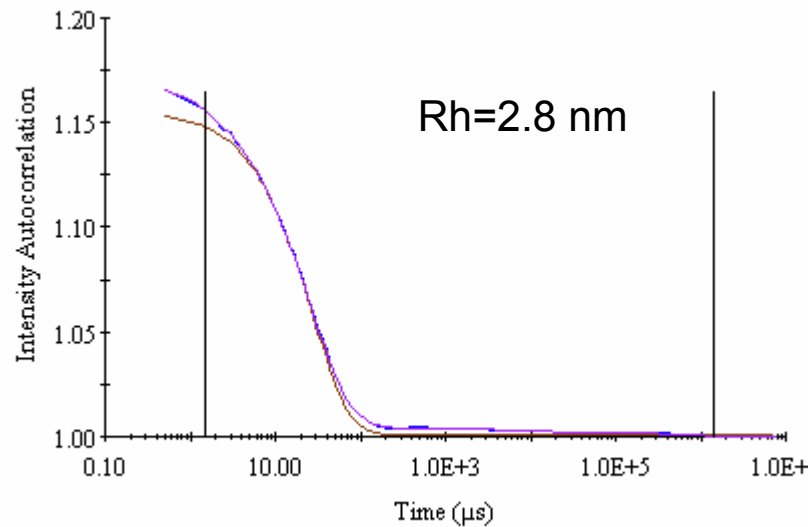
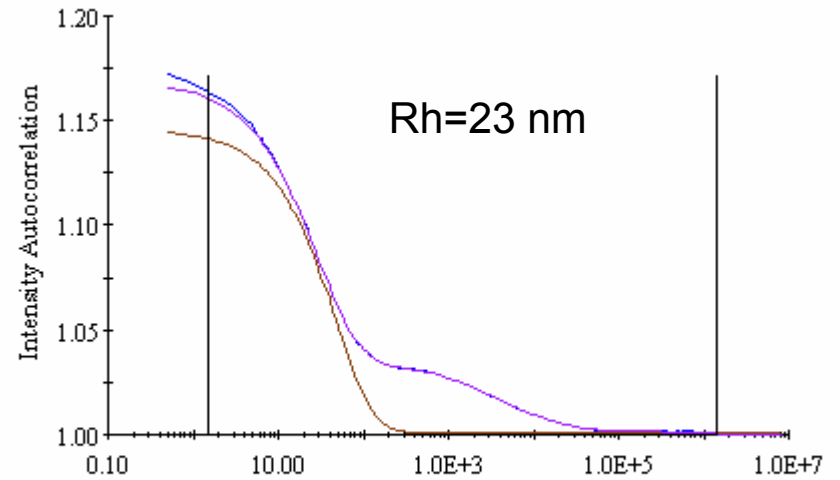
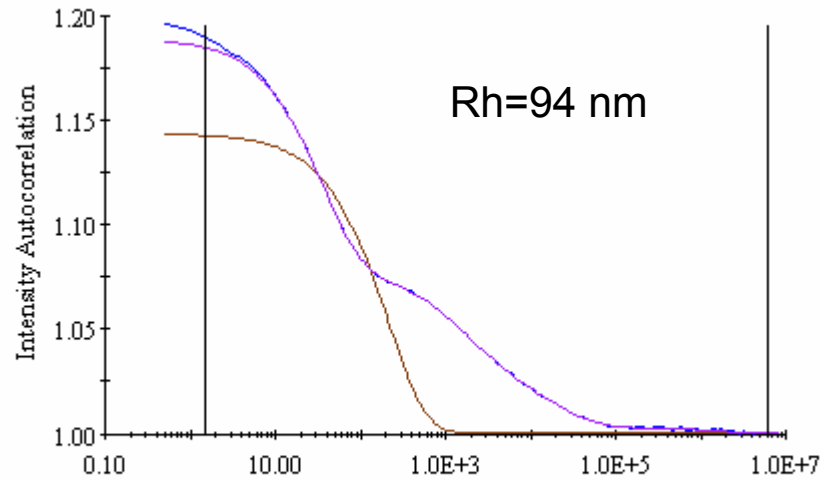
Regularization Fit:

| Peak | Rh (nm) | Polydispersity (%) | MW (R) kDa | % Intensity | % Mass |
|------|---------|--------------------|------------|-------------|--------|
| 1 | 3.1 | 12.9 | 46 | 96 | 100 |
| 2 | 32 | 0 | >1MDa | 2 | 0 |
| 3 | 2423 | 0 | >1MDa | 2 | 0 |



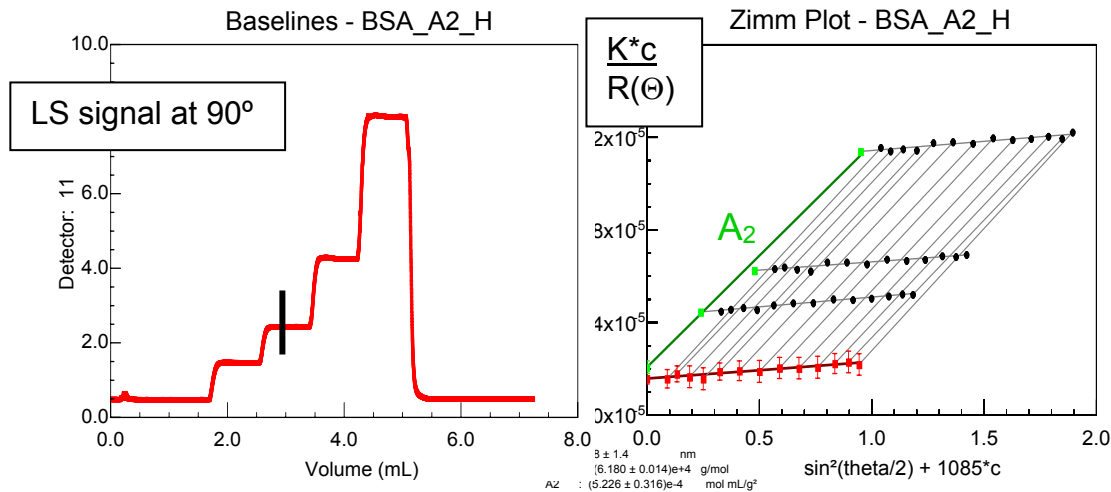
Dissociation of aggregates upon dilution; time course

Protein H 23 kDa; $R_h=2.3$ nm



Determination of Molar Mass and second virial coefficient from a batch static LS experiment

BSA 66 kDa



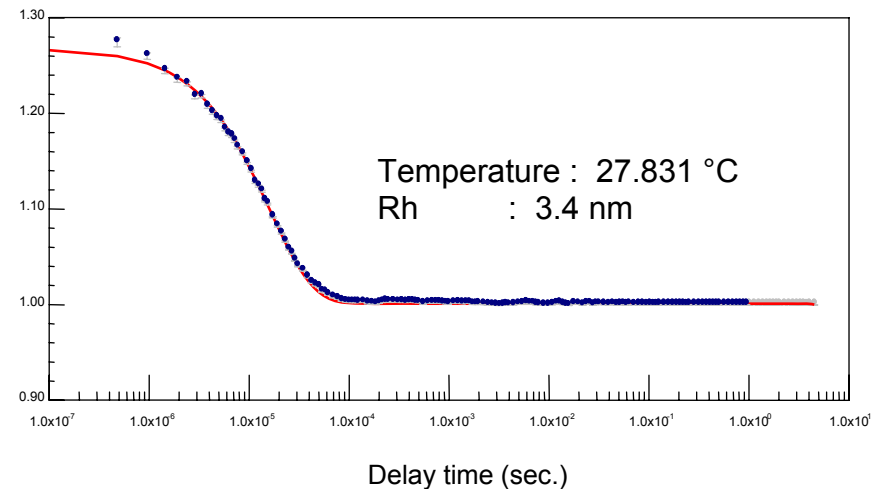
$$\frac{K * c}{R(\theta)} = \frac{1}{M_w P(\theta)} + 2A_2 c$$

and Rh from DLS

Zimm plot analysis of static light scattering data

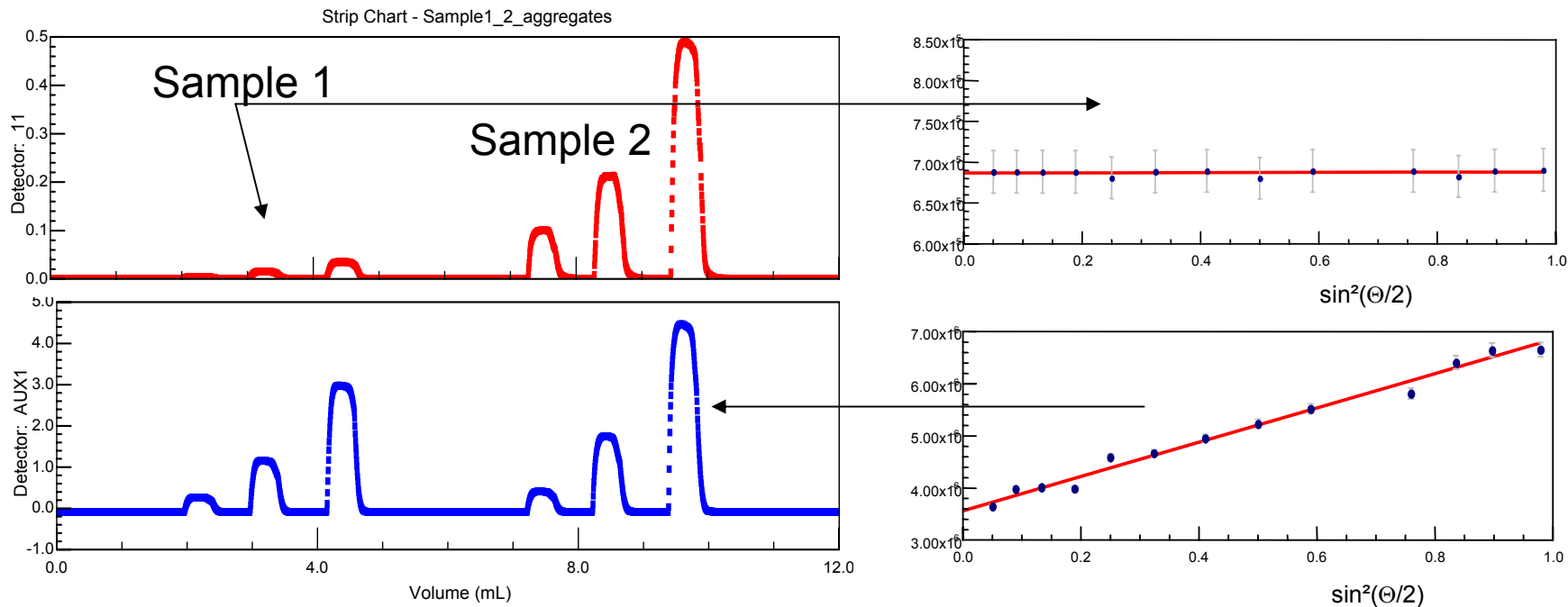
$M_w = 62$ kDa

$A_2 = (5.226 \pm 0.316) \times 10^{-4}$ mol mL/g²



Batch Mode Static MALLS experiment

Monomer 14 kDa



| Sample | Weight Average MM, Mw \pm SD* [kDa] | RMS [nm] |
|--------|--|-------------|
| 1 | 15 \pm 1 | 0 |
| 2 | 126 \pm 8 | 56 \pm 10 |

Angular dependence of scattered light clearly indicates presence of aggregates

Feature detected in a batch mode LS measurements for sample containing aggregates

- Static (classical)

Aggregates present:

- elevated weight average Molar Mass
(M_w weight average)
- angular dependence in scattered light

- Dynamic (quasielastic)

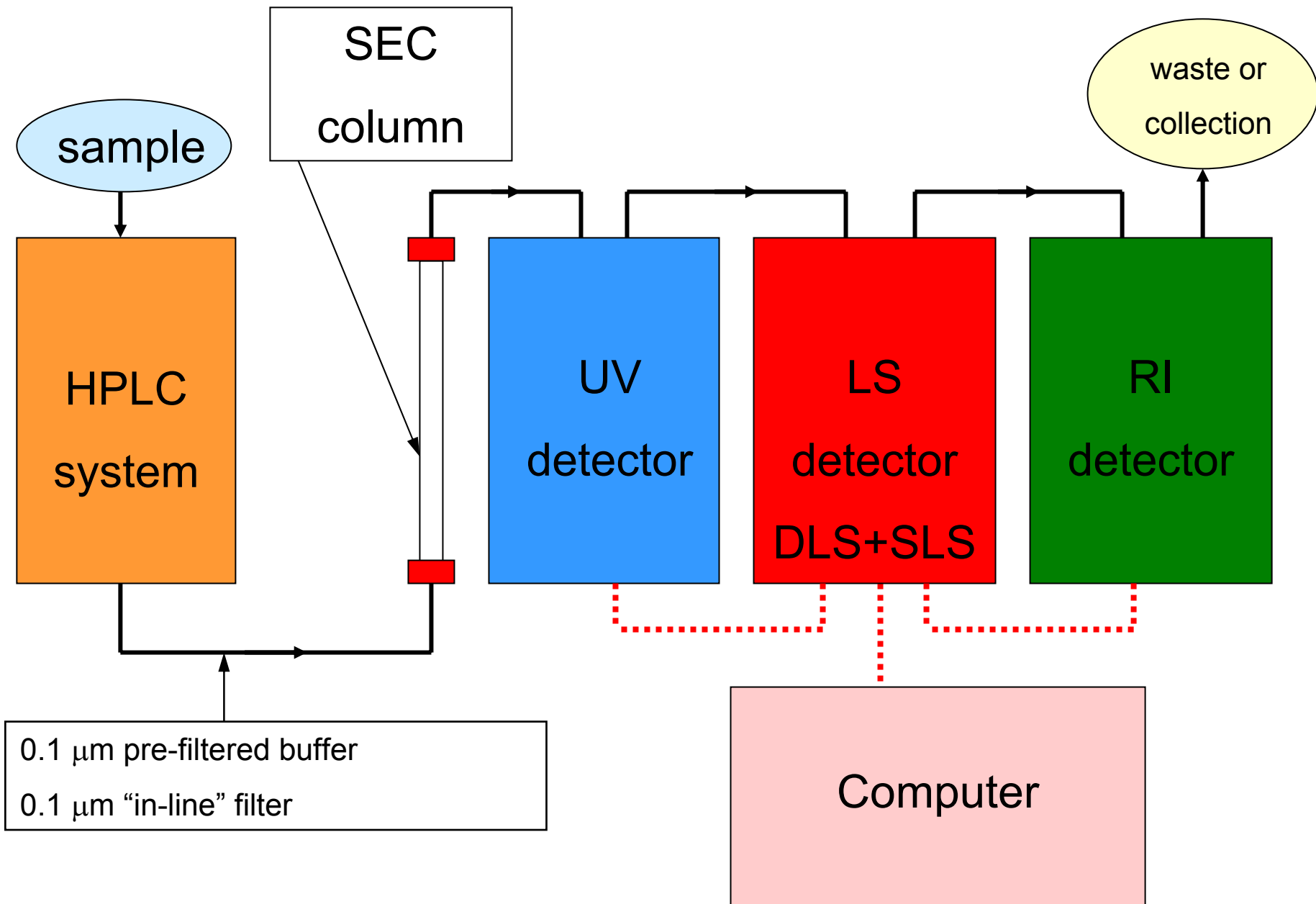
Aggregates present:

- autocorrelation function cannot be described by single exponential (cumulant fit)
- polydispersity from cumulant fit >15%

Missing information: how much and what size?

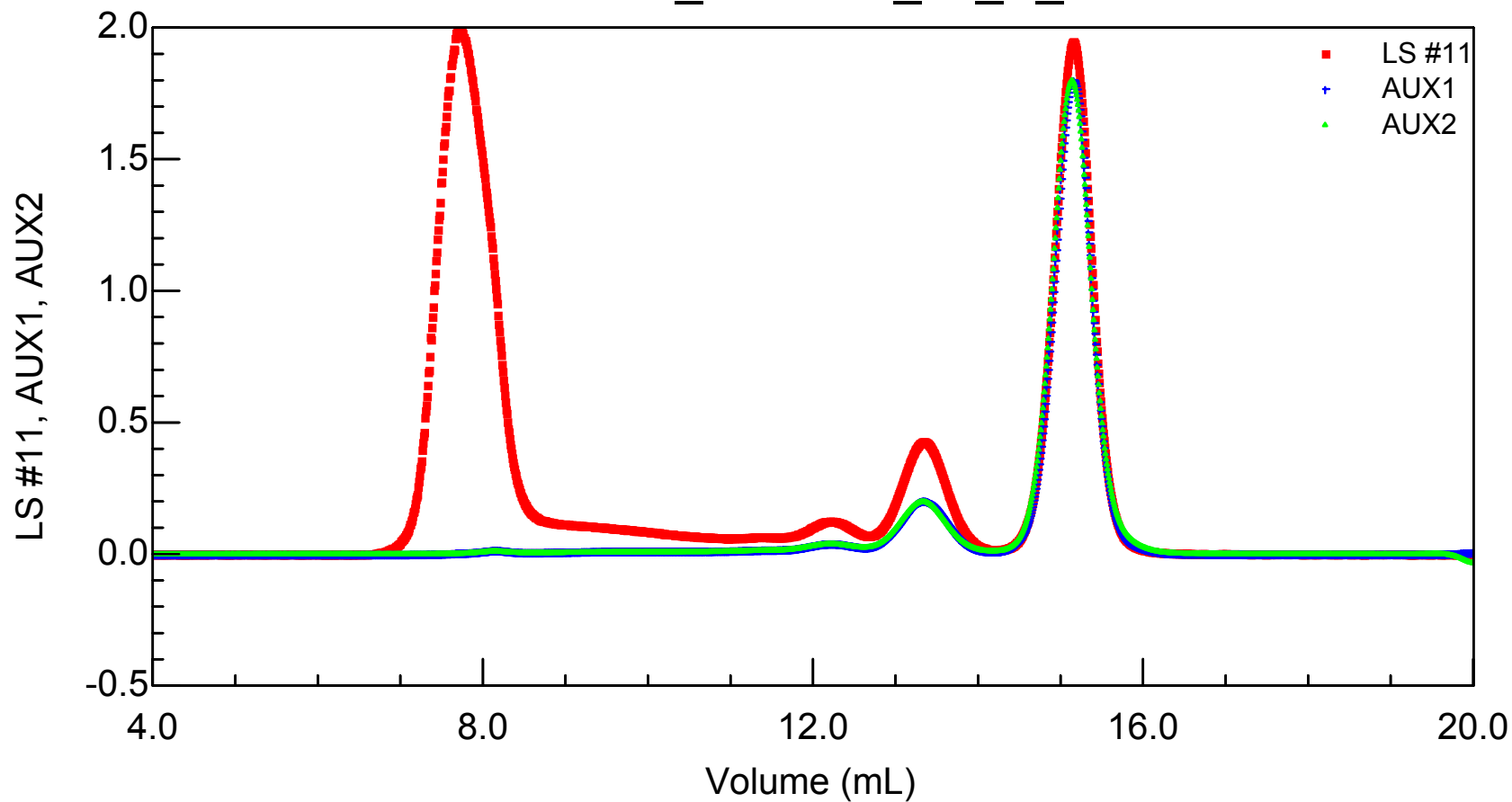
Solutions

- Sample fractionation followed by batch measurements
- **Column separation with simultaneous LS characterization**



Three Detector monitoring

Peak ID - Ova_071305a_01_P_N



— UV at 280 nm

— RI

— LS at 90°

Ovalbumin 43 kDa

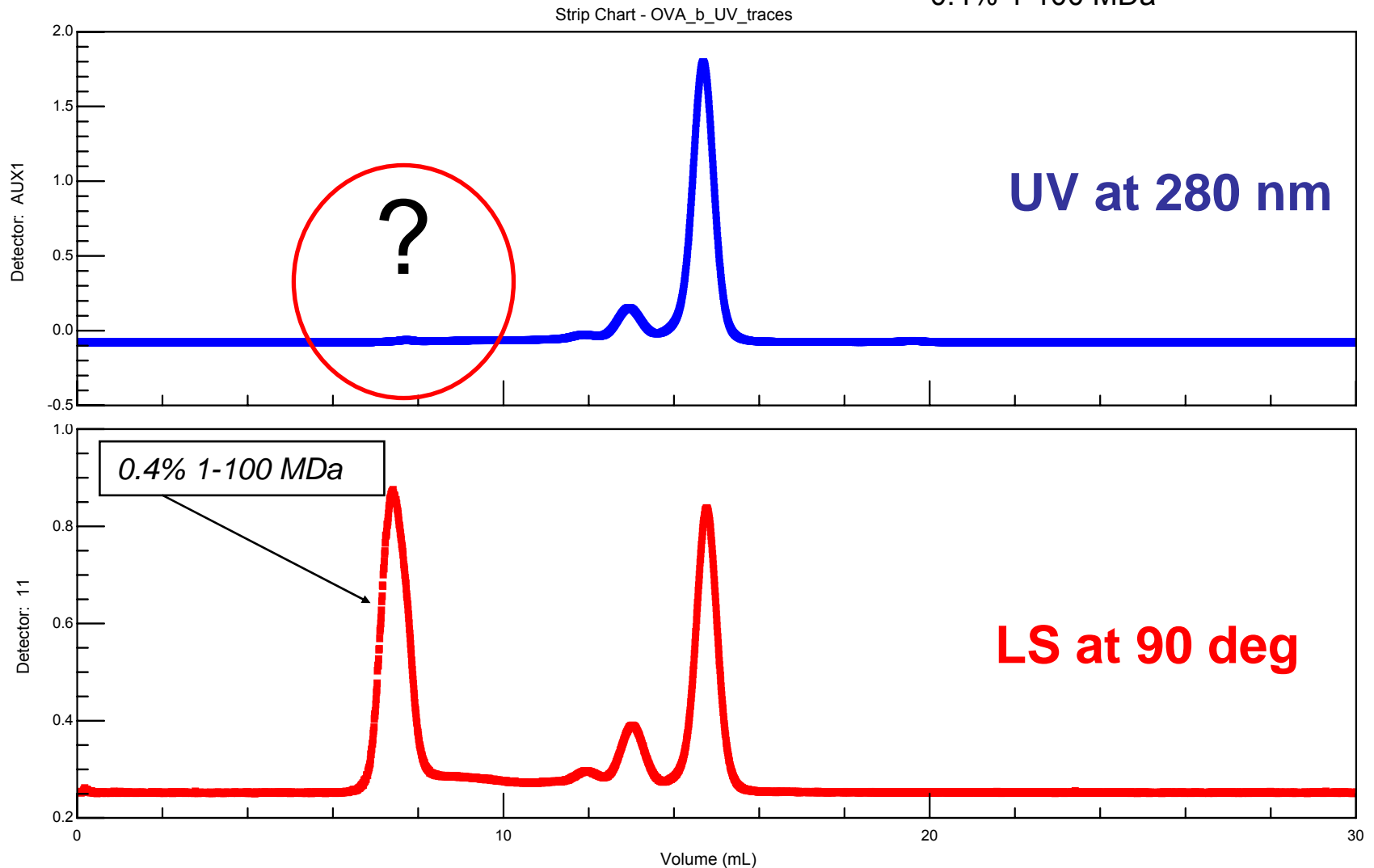
88% monomer

8% dimer

1.5% trimer

3% aggregates < 1MDa

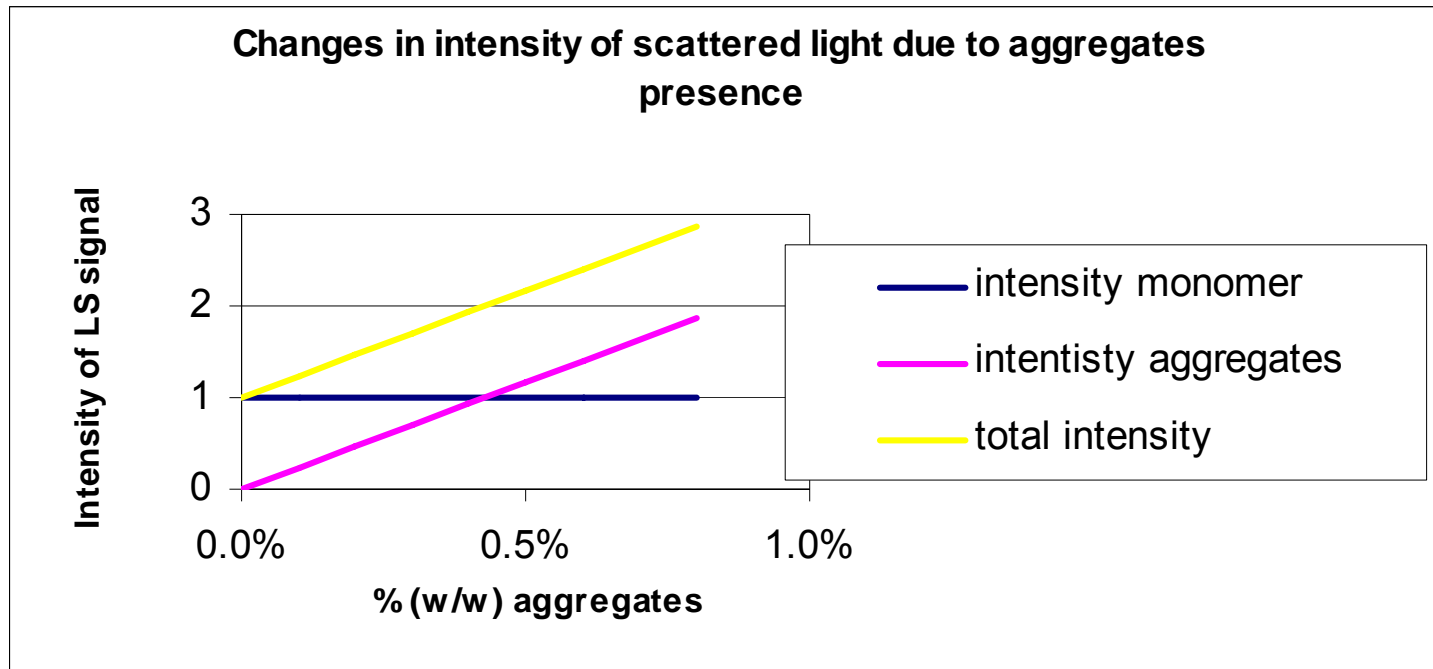
0.4% 1-100 MDa



Intensity of scattered light $\sim M_w * c$

due to their high Mw aggregates scatter very strongly

A monomeric protein 43 kDa and aggregates 10 MDa at 2 mg/mL:



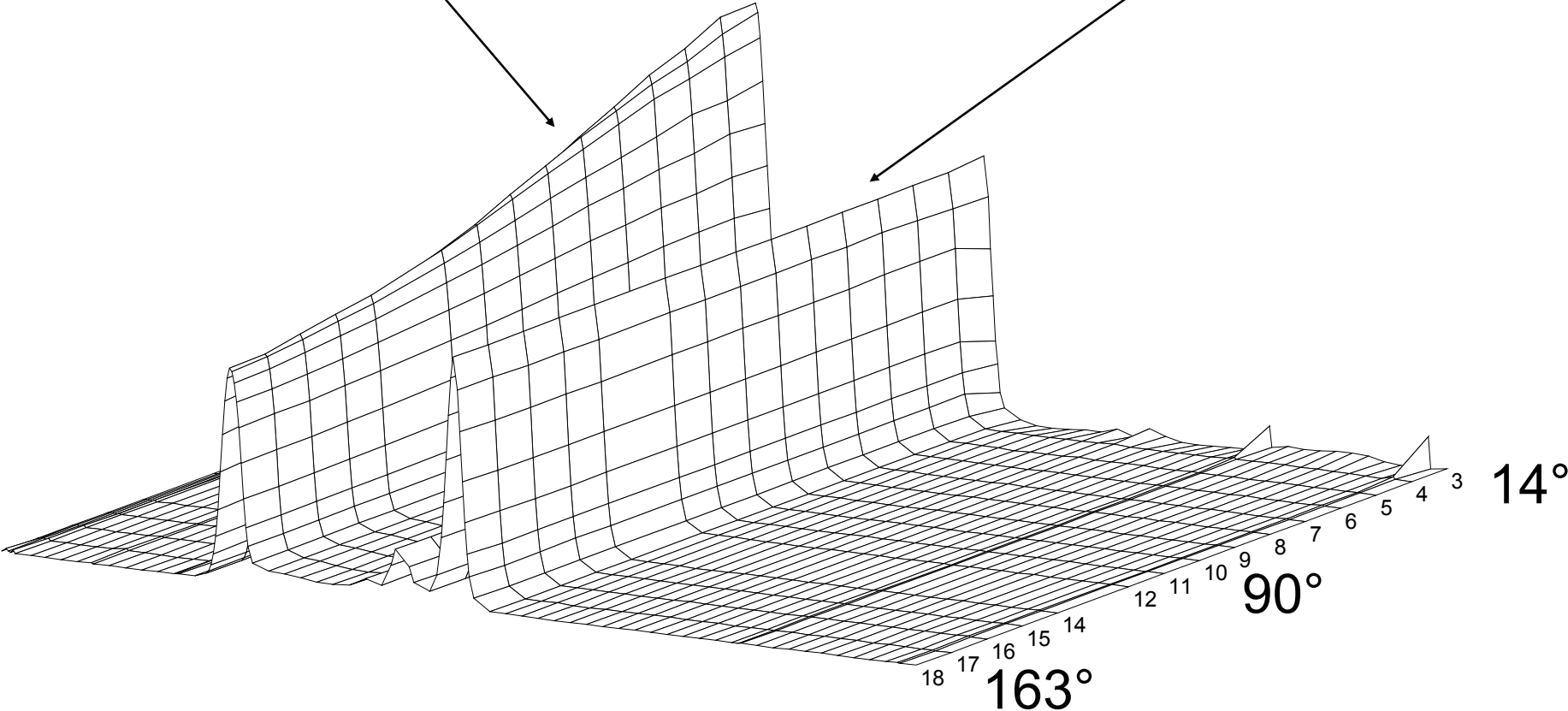
Ovalbumin 43 kDa

Aggregates

angular dependence of scattered light

Lower order oligomers

no angular dependence of scattered light

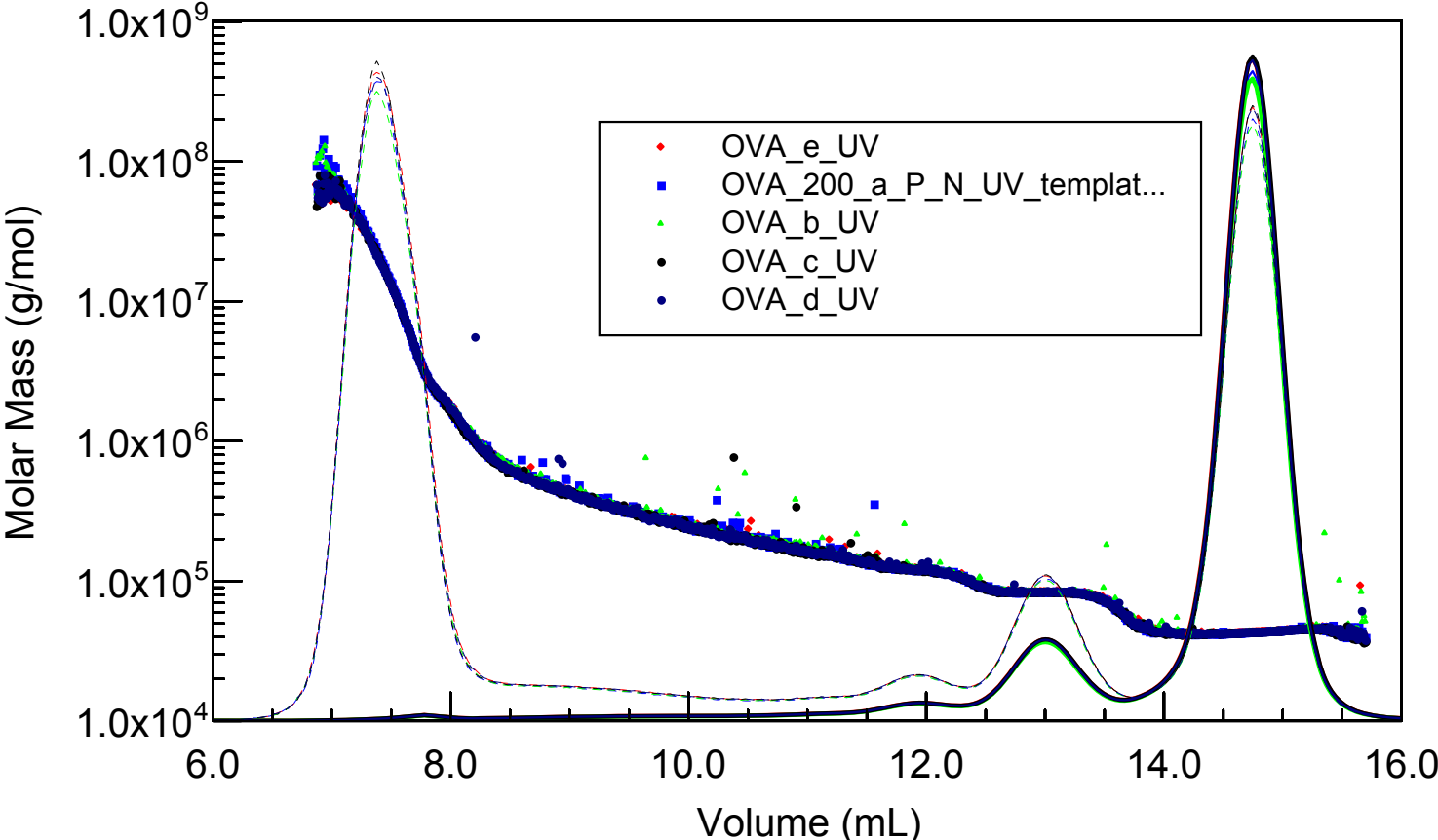


Molar mass distribution for multiple analyses

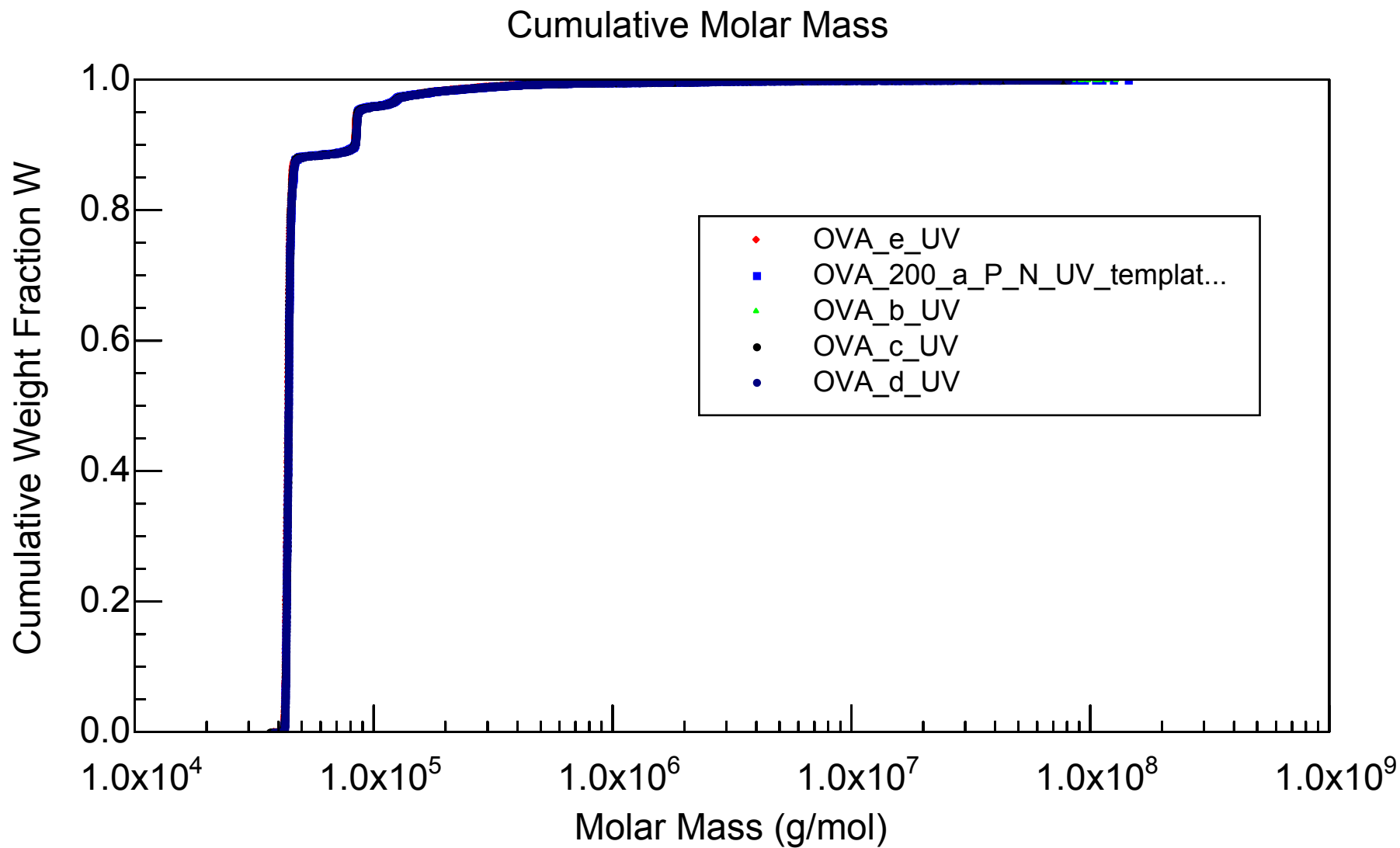
Ovalbumin 43 kDa

automated template processing of five data sets

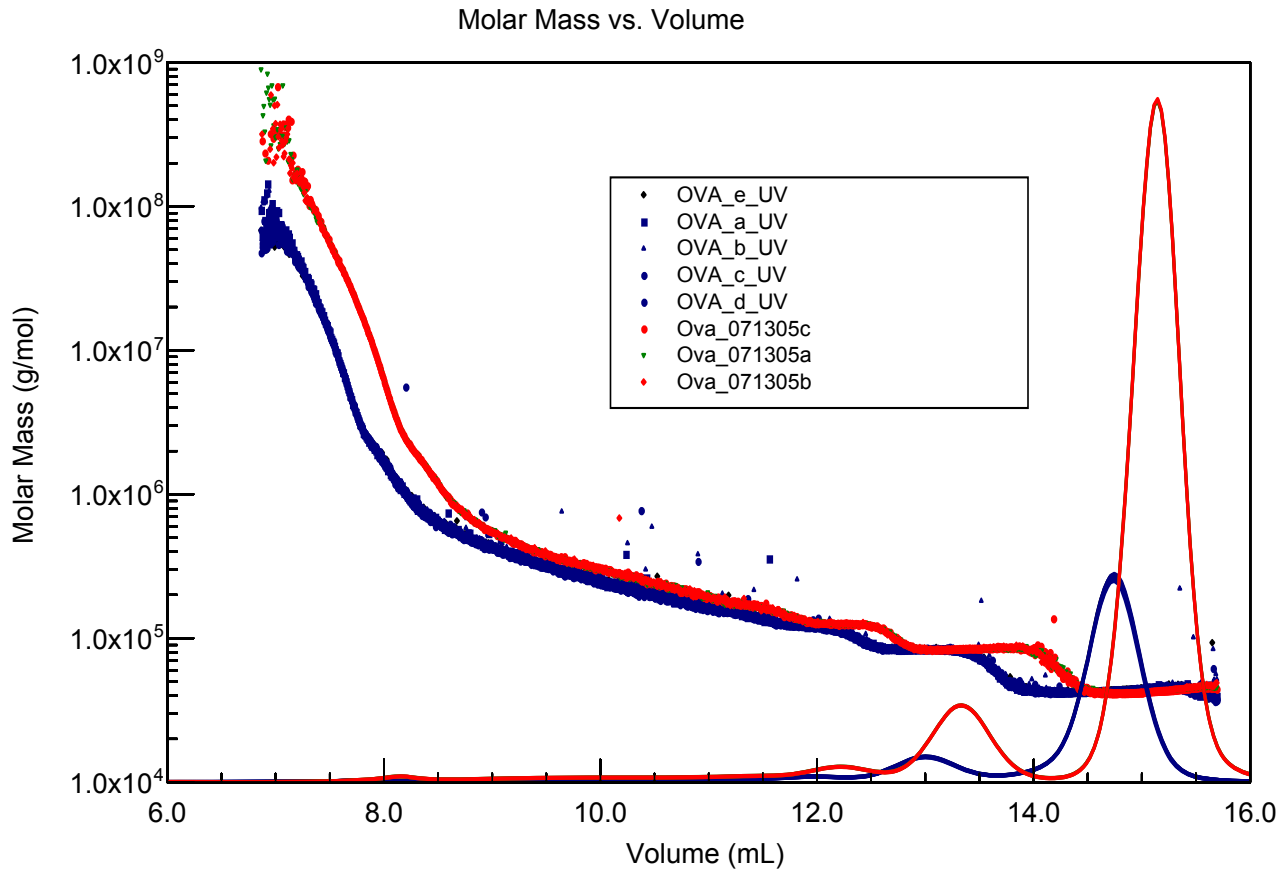
Molar Mass vs. Volume



Determination of Weight Fractions



Differences in population based on molar mass distribution



Ovalbumin (5 runs)

Mw = 108 ± 17 kDa

Polydispersity Mw/Mn

2.3 ± 0.4

Ovalbumin (3 runs)

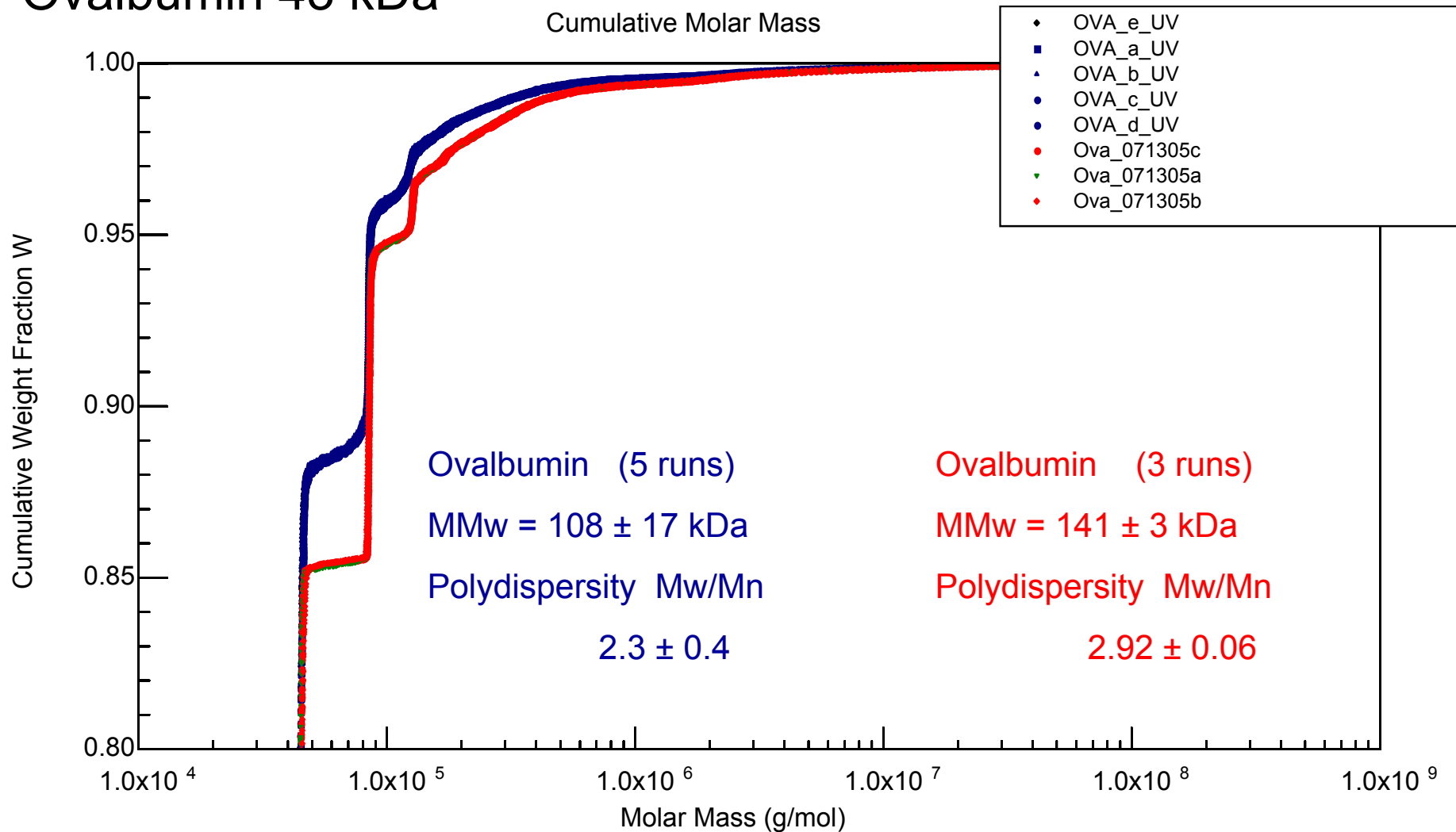
Mw = 141 ± 3 kDa

Polydispersity Mw/Mn

2.92 ± 0.06

Differences in population based on molar mass distribution

Ovalbumin 43 kDa



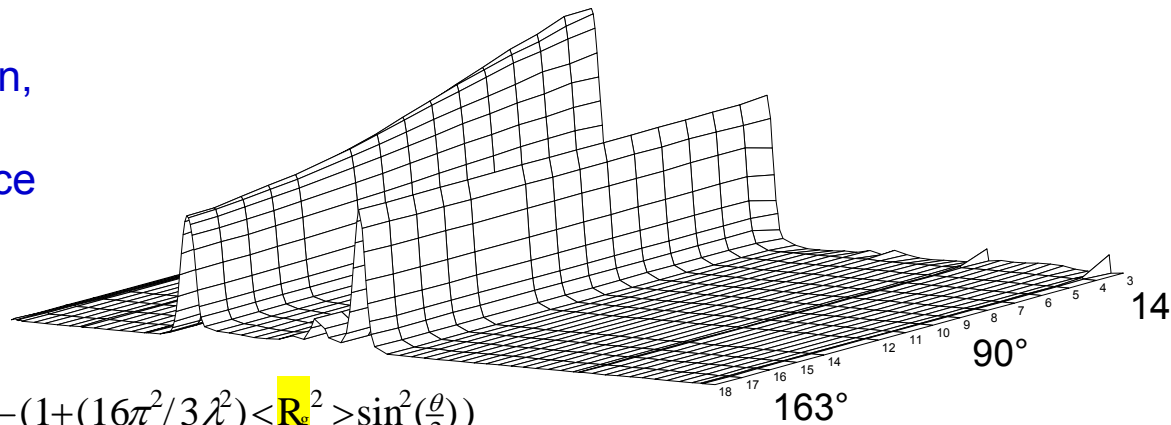
Differences in population based on molar mass distribution

Ovalbumin 43 kDa

| Oligomeric state | Average Mw \pm SD [kDa] (5 analyses) | Average Mw \pm SD [kDa] (3 analyses) | Fraction of Mass [% of total] (5 analyses) | Fraction of Mass [% of total] (3 analyses) |
|--------------------|--|--|--|--|
| | Mw = 108 \pm 17 | Mw = 141 \pm 3 | Mw = 108 \pm 17 | Mw = 141 \pm 3 |
| Mono (20-50 kDa) | 43.0 \pm 0.1 | 42.80 \pm 0.02 | 88.1 \pm 0.1 | 85.23 \pm 0.06 |
| Di (50-96 kDa) | 82.7 \pm 0.4 | 84.1 \pm 0.2 | 7.68 \pm 0.04 | 9.4 \pm 0.0 |
| Tri (96-130 kDa) | 114 \pm 4 | 121.8 \pm 0.7 | 1.54 \pm 0.05 | 1.9 \pm 0.0 |
| Agg. (0.13 –1 MDa) | 270 \pm 10 | 284 \pm 2 | 2.18 \pm 0.08 | 2.87 \pm 0.06 |
| Agg. (1 –100 MDa) | 10 \pm 1 $\times 10^3$ | 10.9 \pm 0.4 $\times 10^3$ | 0.4 \pm 0.0 | 0.6 \pm 0.0 |

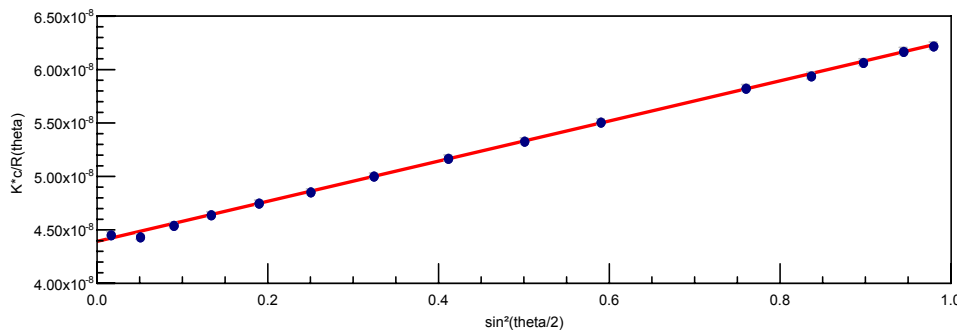
Morphology of aggregates from angular dependence of LS signal; size determination- Rg

Determination of radius of gyration, R_g , (root mean square radius, R.M.S.,) from angular dependence of scattered light



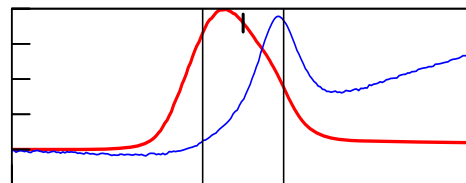
Zimm Plot

$$\frac{K^*c}{R(\theta)} = \frac{1}{M_w} (1 + (16\pi^2/3\lambda^2) \langle R_g^2 \rangle \sin^2(\frac{\theta}{2}))$$

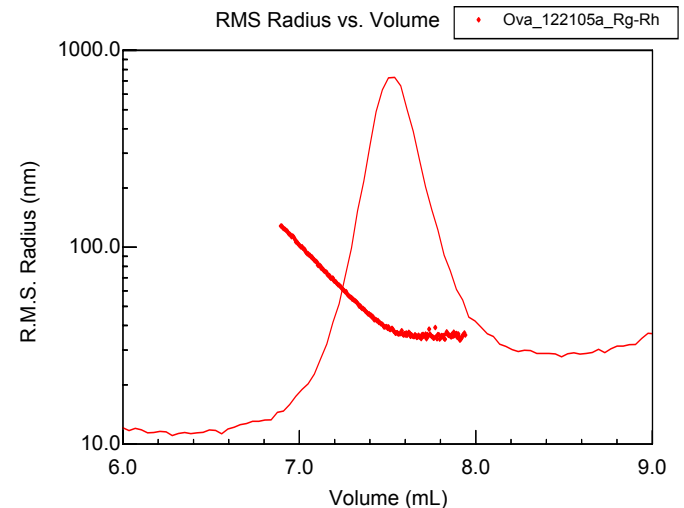


Peak, Slice : 1, 944
Volume : 7.867 mL
Fit degree : 1
Conc. : (1.915 ± 0.020)e-6 g/mL
Mw : (2.277 ± 0.024)e+7 g/mol

Radius: 46.8±0.2 nm



90° & AUX detector



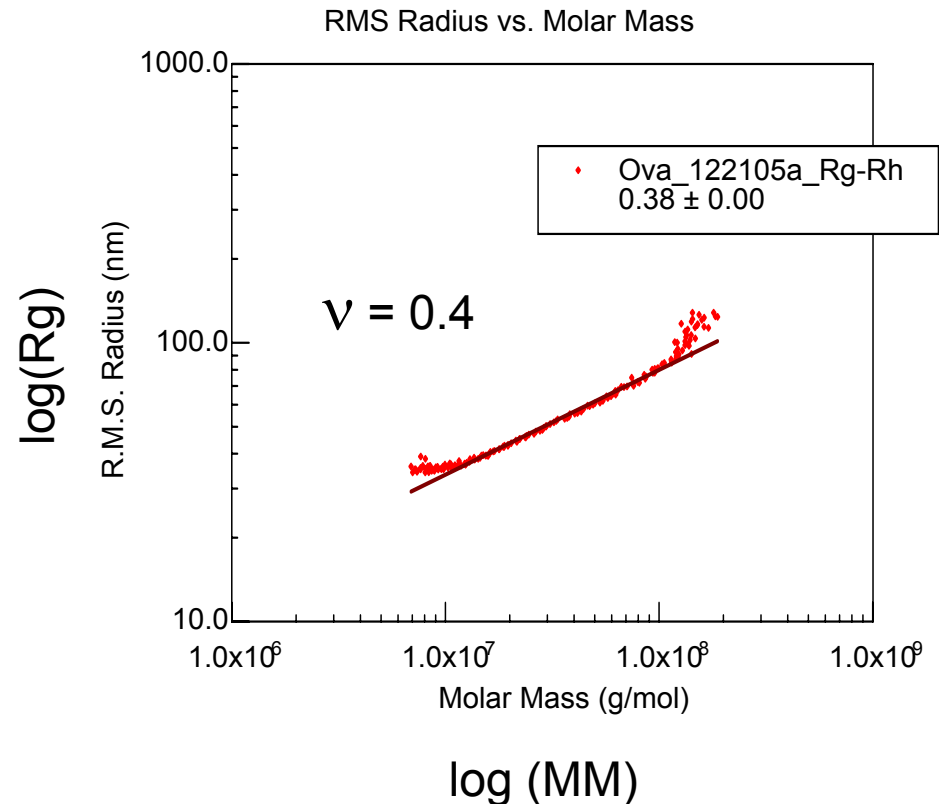
Inferring conformational information from the relationship between molecular size (R_g) and molecular weight (Molar Mass)

$$R_g \sim M^\nu$$

$\log(R_g)$ versus $\log(MM)$

Slope = ν

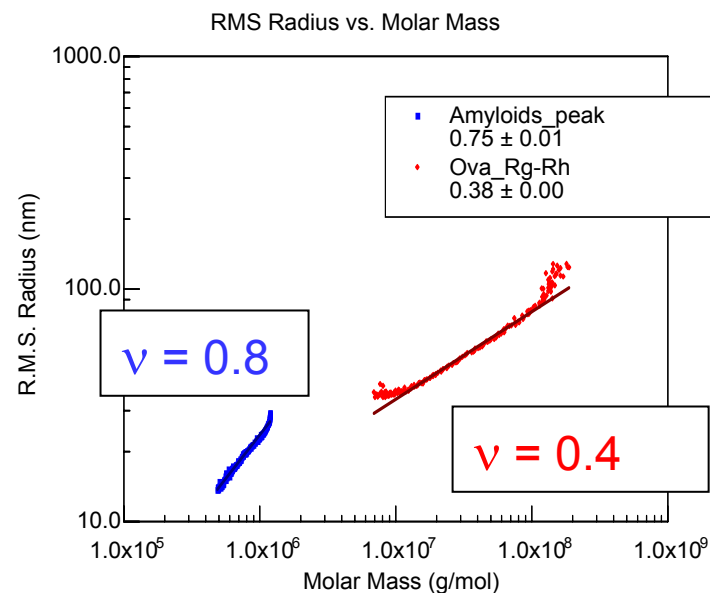
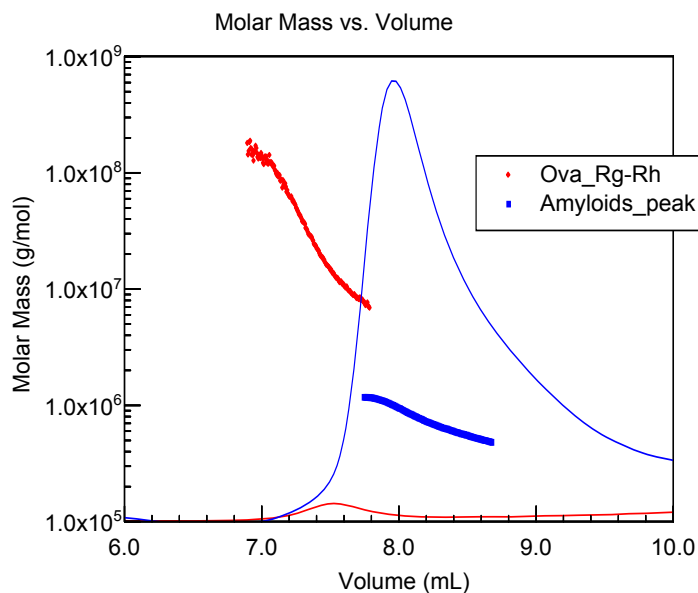
| For | ν |
|--------|-------|
| Sphere | 0.33 |
| Coil | 0.5 |
| Rod | 1 |



Rollings, J.E. (1992) in "Laser Light Scattering in Biochemistry", Eds. S.E. Harding, D. B. Sattelle and V. A. Bloomfield; p. 275-293

Shape analysis: $\log(R_g)$ versus $\log(MM)$

Aggregates of **Ovalbumin** vs. “amyloid-type” fibers



| For | v |
|--------|------|
| Sphere | 0.33 |
| Coil | 0.5 |
| Rod | 1 |

| | | |
|----------|-----------|-------------|
| Ova_aggr | $v = 0.4$ | Sphere/Coil |
| Amyloids | $v = 0.8$ | Coil/Rod |

Shape analysis: shape factor $\rho = R_g/R_h$

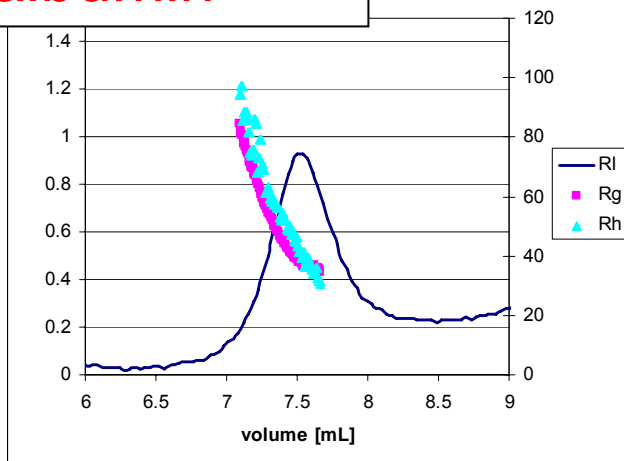
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor: $\rho = R_g/R_h$

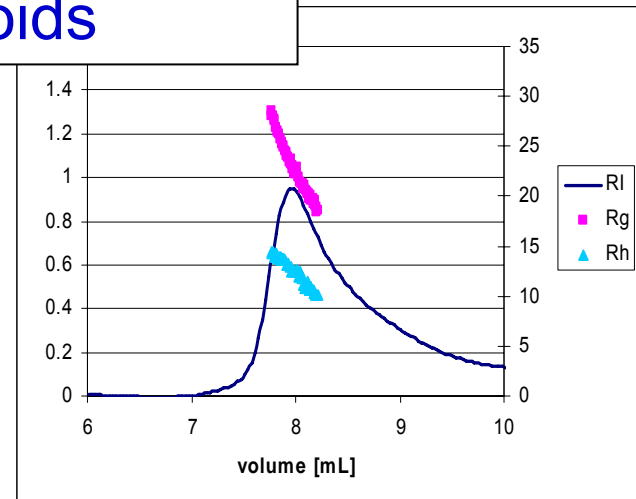
Combination of MALS (R_g) and DLS (R_h)

| For | $\rho = R_g/R_h$ |
|--------|------------------|
| Sphere | 0.774 |
| Coil | 0.816 |
| Rod | 1.732 |

Ovalbumin



Amyloids

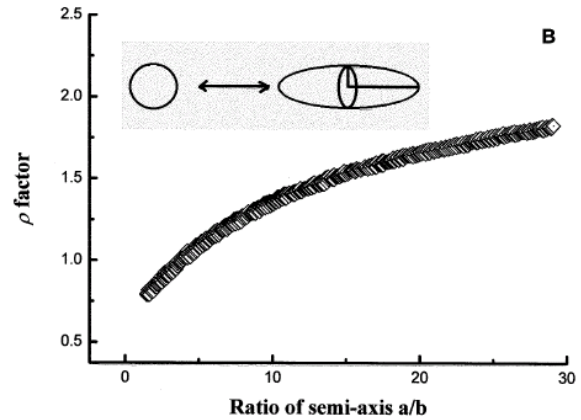


Shape analysis: shape factor $\rho = R_g/R_h$

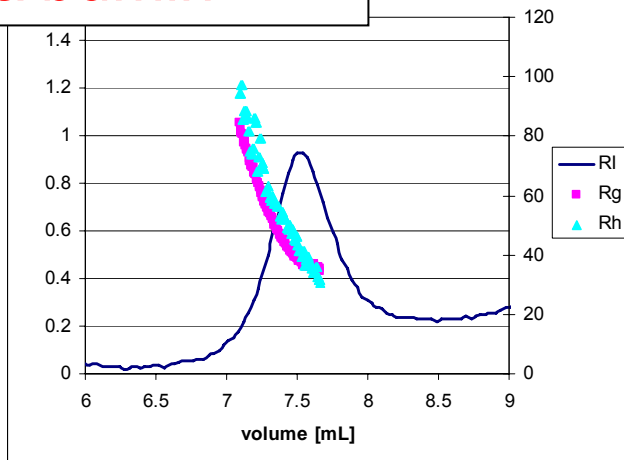
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor: $\rho = R_g/R_h$

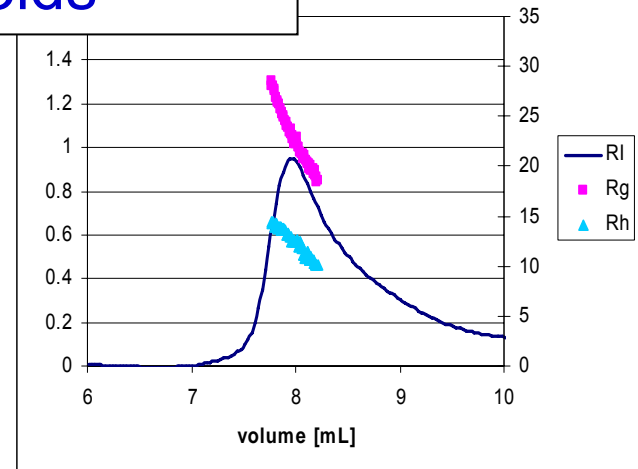
Combination of MALS (R_g) and DLS (R_h)



Ovalbumin



Amyloids

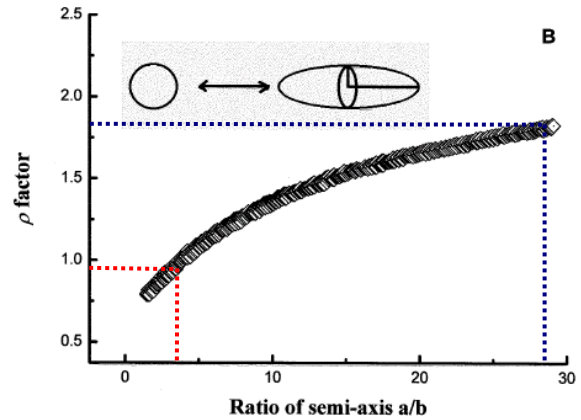


Shape analysis: shape factor $\rho = R_g/R_h$

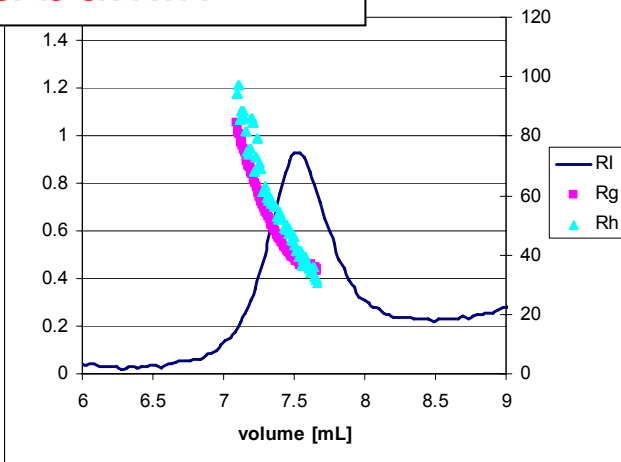
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor: $\rho = R_g/R_h$

Combination of MALS (R_g) and DLS (R_h)

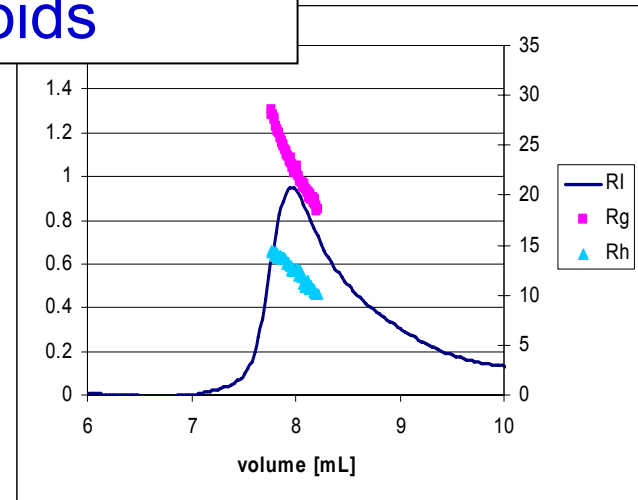


Ovalbumin



$R_g/R_h = 0.91$ Coil

Amyloids



$R_g/R_h = 1.84$ Rod

Shape analysis: shape factor $\rho = R_g/R_h$

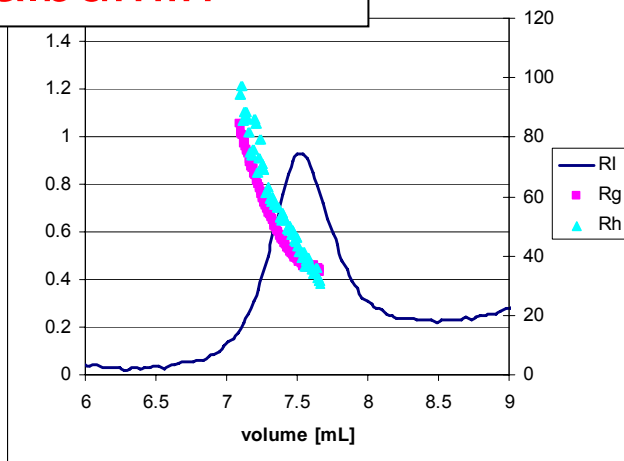
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor: $\rho = R_g/R_h$

Combination of MALLS (R_g) and DLS (R_h)

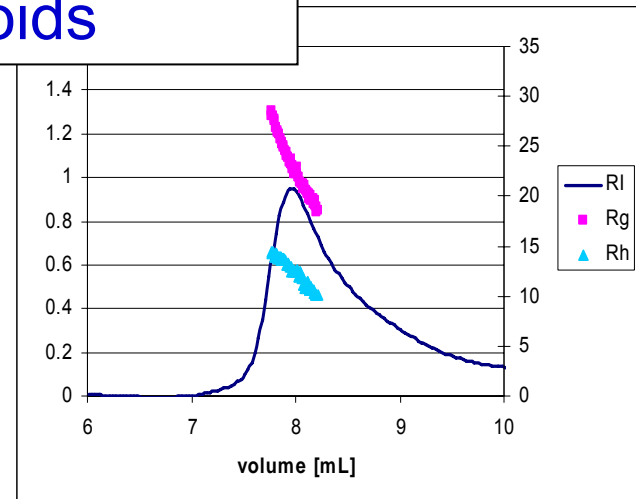
| For | $\rho = R_g/R_h$ |
|--------|------------------|
| Sphere | 0.774 |
| Coil | 0.816 |
| Rod | 1.732 |

Ovalbumin



$R_g/R_h = 0.91$ Coil

Amyloids



$R_g/R_h = 1.84$ Rod

Ova_aggr $v = 0.4$ Sphere/Coil

Amyloids $v = 0.8$ Coil/Rod

Various uses of Light Scattering for assessing protein aggregates

| Experiment | Detects Aggregates | Information about population (distribution) | Challenge in use | Sample dilution | Speed |
|-------------------------|---------------------------|--|-------------------------|------------------------|--------------|
| DLS | Yes | No | Low | No | Fast |
| Micro-batch MALS | Yes | No | High | No | Medium |
| SEC/MALLS/DLS | Yes | Yes | Medium | Yes | Medium |

Determination of the oligomeric state of modified proteins from SEC-LS/UV/RI analysis

1. Glycosylated proteins
2. Proteins conjugated with polyethylene glycol
3. Membrane protein present as a complex with lipids and detergents

Input:

- Polypeptide sequence
- *Chemical nature of the modifier*

Results:

- Oligomeric state of the polypeptide
- Extend of modification (grams of modifier /gram of polypeptide)

“three detector method”

Three Detector Method

$$MW_p = \frac{k^*(LS)(UV)}{\epsilon(RI)^2}$$

| | |
|-----------------|--------------------------------|
| MW _p | Molecular Weight (polypeptide) |
| ε | extinction coefficient |
| LS | light scattering intensity |
| UV | absorbance (ε) |
| RI | refractive index change |
| k | calibration constant |

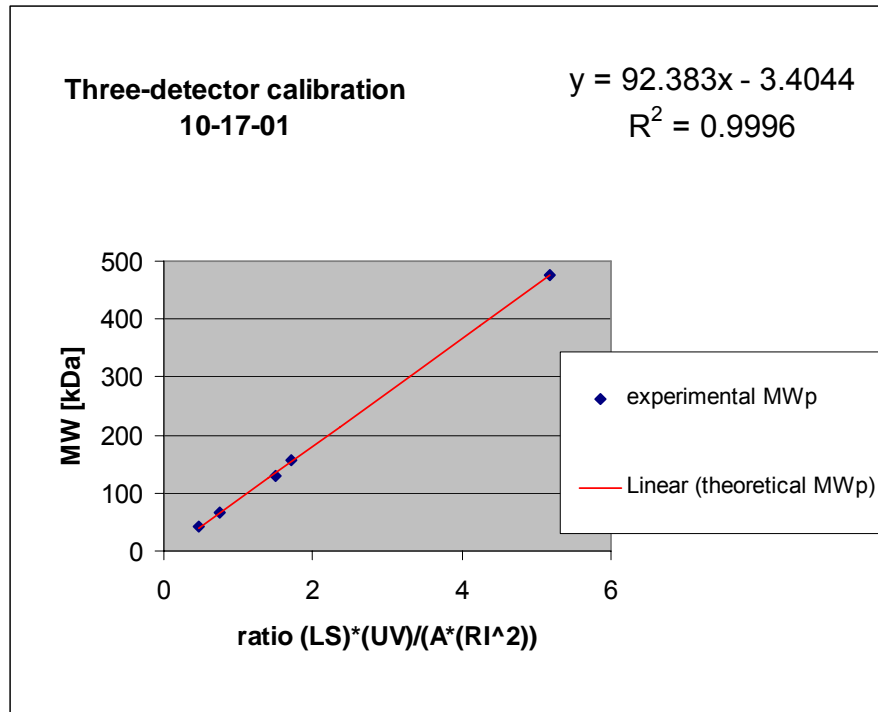
Yutaro Hayashi, Hideo Matsui and Toshio Takagi (1989) *Methods Enzymol*, 172:514-28

Jie Wen, Tsutomu Arakawa and John S. Philo (1996) *Anal Biochem*, 240:155-66

Ewa Folta-Stogniew (2006) *Methods in Molecular Biology: New and Emerging Proteomics Techniques*, pp. 97–112

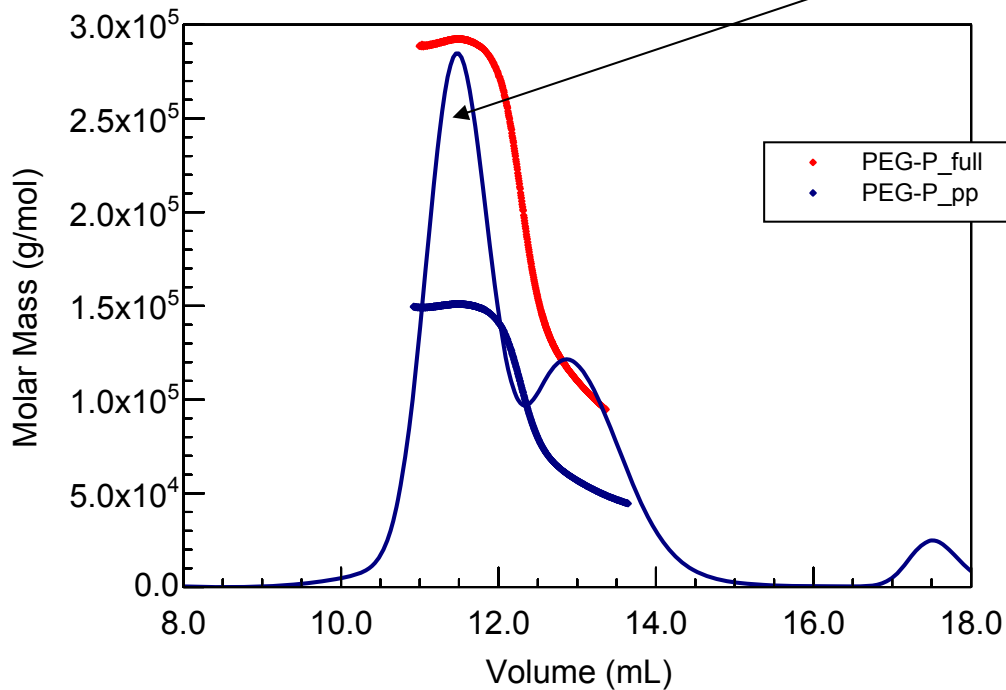
$$MW_p = \frac{k^*(LS)(UV)}{\varepsilon(RI)^2}$$

| Protein | MW (kDa) |
|---------|----------|
| Ova | 43 |
| BSA(1) | 66 |
| BSA(2) | 132 |
| Ald | 156 |
| Apo-Fer | 475 |



PEG-ylated protein: 75 kDa

36 kDa polypeptide + 39 kDa PEG

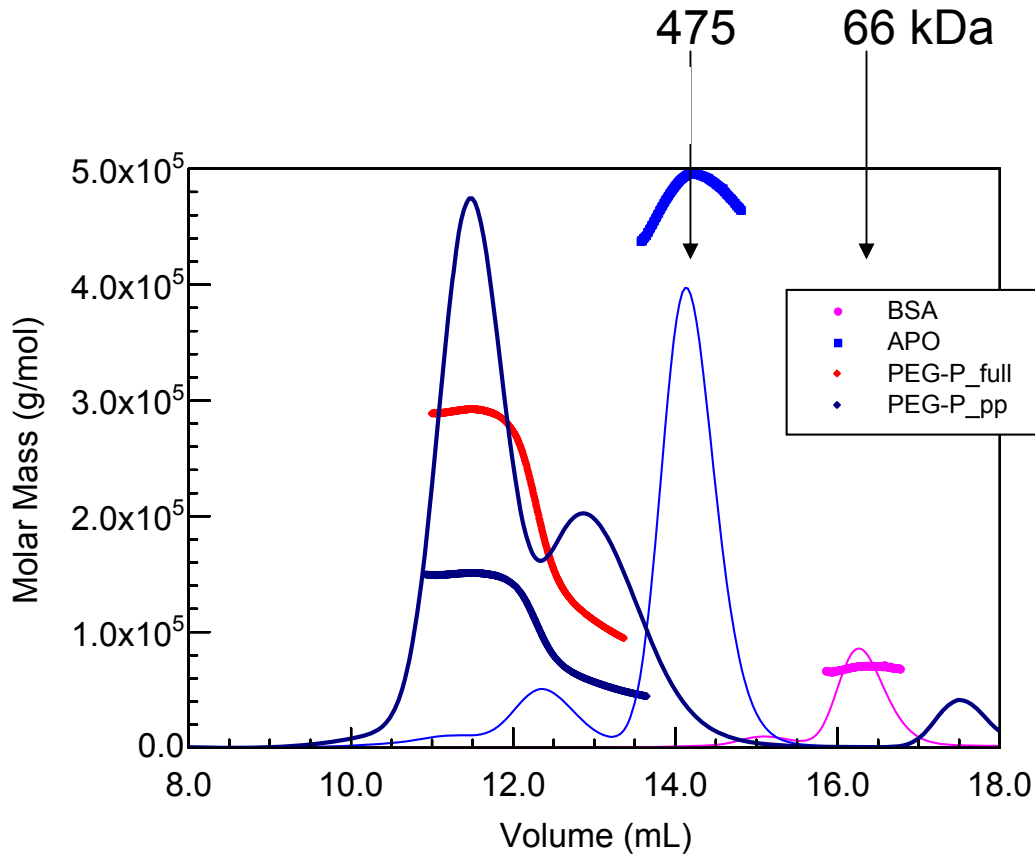


Polypeptide: 146 kDa
(tetramer: 144 kDa)

Full protein: 291 kDa
(tetramer: 300 kDa)

PEG-ylated protein: 75 kDa

36 kDa polypeptide + 39 kDa PEG



Polypeptide: 146 kDa
(tetramer: 144 kDa)

Full protein: 291 kDa
(tetramer: 300 kDa)

Capabilities

Static LS

- fast and accurate determination of molar masses (weight average)
 - glycosylated protein, conjugated with PEG, protein-lipids-detergent complexes, protein-nucleic acid complexes
- accuracy of $\pm 5\%$ in Molar Mass determination
- easy to implement, fully automated (data collection and data analysis)
- highly reproducible (no operator bias)
- SEC/MALS excellent in detecting and quantifying population with various oligomeric state in protein

Dynamic LS

- very fast detection of aggregates
- great dynamic range
- well suited to study kinetics of aggregation
- DLS detector available in a plate reader format for high volume analyses

Combined data about MM, Rg and Rh - shape information (multiangle static and dynamic LS)

- via frictional ratio R_h/R_s
- via shape factor ν , from $\log(R_g)$ vs. $\log(\text{MM})$ plot
- via shape factor ρ , from R_g/R_h ratio

Limitations

Static LS

- measures weight average molar mass – needs fractionation to resolve different oligomeric states
- possible losses of sample during filtration and fractionation
- limitation on solvent choices (related to a fractionation step)
- SEC/SLS/DLS dilution during experiment

Dynamic LS

- measures hydrodynamic radius, which is affected by shape
- cannot discriminate between shape effects and changes in oligomeric states, *i.e.* non-spherical shape mimics oligomerization
- needs fractionation to resolve low number oligomers when present in mixture

Ken Williams
Director of W.M. Keck Biotechnology Resource
Laboratory at Yale University School of Medicine

NIH

Users of SEC/LS Service

<http://info.med.yale.edu/wmkeck/biophysics>

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