

1 **Original Investigation**

2 **Title** Re-emergence of respiratory syncytial virus following the COVID-19 pandemic
3 in the United States: a modeling study

4

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26 **Abstract** Word count: 340 [word limit: 350]

27 **Importance:**

28 Respiratory syncytial virus (RSV) is a leading cause of hospitalizations in young
29 children. RSV largely disappeared in 2020 due to precautions taken because of the
30 COVID-19 pandemic. Projecting the timing and intensity of the re-emergence of RSV
31 and the age groups affected is crucial for planning for the administration of
32 prophylactic antibodies and anticipating hospital capacity.

33 **Objective:**

34 To project the potential timing and intensity of re-emergent RSV epidemics in
35 different age groups.

36 **Design, Setting, Participants:**

37 Mathematical models were used to reproduce the annual RSV epidemics before the
38 COVID-19 pandemic in New York and California. These models were modified to
39 project the trajectory of RSV epidemics in 2020-2025 under different scenarios with
40 varying stringency of mitigation measures for SARS-CoV-2: 1) constant low RSV
41 transmission rate from March 2020 to March 2021; 2) an immediate decrease in RSV
42 transmission in March 2020 followed by a gradual increase in transmission until April
43 2021; 3) a decrease in non-household contacts from April to July 2020. Simulations
44 also evaluated factors likely to impact the re-emergence of RSV epidemics, including
45 introduction of virus from out-of-state sources and decreased transplacentally-
46 acquired immunity in infants.

47 **Main Outcomes and Measures:**

48 The primary outcome of this study was defined as the predicted number of RSV
49 hospitalizations each month in the entire population. Secondary outcomes included

50 the age distribution of hospitalizations among children <5 years of age, incidence of
51 any RSV infection, and incidence of RSV lower respiratory tract infection (LRI).

52 **Results:**

53 In the 2021-2022 RSV season, we expect that the lifting of mitigation measures and
54 build-up of susceptibility will lead to a larger-than-normal RSV outbreak. We predict
55 an earlier-than-usual onset in the upcoming RSV season if there is substantial external
56 introduction of RSV. Among children 1-4 years of age, the incidence of RSV
57 infections could be twice that of a typical RSV season, with infants <6 months of age
58 having the greatest seasonal increase in the incidence of both severe RSV LRIs and
59 hospitalizations.

60 **Conclusions and Relevance:**

61 Pediatric departments, including pediatric intensive care units, should be alert to large
62 RSV outbreaks. Enhanced surveillance is required for both prophylaxis administration
63 and hospital capacity management.

64 **Introduction**

65 Respiratory syncytial virus (RSV) infection is a leading cause of acute
66 respiratory hospitalizations in infants, young children, and the elderly.¹⁻³ Individuals
67 develop only partial immunity following RSV infections, and this incomplete
68 immunity permits reinfections throughout life.⁴ Although most infants are born with
69 protective immunity against RSV infections due to antibodies from the mother that
70 are acquired transplacentally, this protection wanes quickly with time.⁵⁻⁷

71 RSV epidemics occur with notable spatiotemporal patterns in the United
72 States, with consistent seasonal timing and duration.^{8,9} However, the incidence of
73 RSV in many regions in the United States has declined since the introduction of
74 mitigation measures for COVID-19 pandemic in March 2020.¹⁰ The low positivity
75 rate continued throughout 2020 without an obvious increase in late fall and winter
76 when seasonal RSV epidemics typically occur. Many other countries reported
77 similarly low frequencies of RSV detection in the 2020 season.¹¹⁻¹³

78 As mitigation measures have been gradually lifted, different patterns of RSV
79 epidemics emerged in different regions in early 2021.¹⁰⁻¹³ Later-than-usual RSV
80 activity was reported in France, Spain and in some U.S. states.^{10,12,14} Large out-of-
81 season surges of RSV infections were reported in both Australia and South Africa.^{15,16}
82 Nonetheless, even with many restrictions lifted, RSV activity remains low in many
83 other countries.^{17,18} What causes these differences remains unclear.

84 The potential impacts of mitigation measures on the number, timing, and age
85 of RSV hospitalizations are crucial for planning for the administration of RSV
86 prophylaxis and for hospital utilization, since regular annual RSV epidemics often
87 fully use hospital capacity in pediatric departments. However, many factors may
88 affect when and to what extent RSV epidemics resume. For example, introduction of

89 RSV from other regions may accelerate the process, and low immunity in the
90 population due to a low incidence of reinfections may produce a large population of
91 susceptible individuals sufficient to trigger an outbreak.

92 Our study aims to simulate a range of potential scenarios and to inform data
93 collection for better epidemic projections of RSV activity in the U.S. In this study, we
94 used historical RSV inpatient data, combined with validated transmission dynamic
95 models, to evaluate the potential patterns of re-emergence of RSV epidemics with
96 different stringencies of mitigation measures. We also examined how the age
97 distribution of RSV infections and hospitalizations might be expected to change in the
98 coming years following these different scenarios.

99 **Methods**

100 **Data**

101 RSV-specific hospitalization data for New York (2005-2014) and California
102 (2003-2011) were obtained from State Inpatient Databases of the Healthcare Cost and
103 Utilization Project maintained by the Agency for Healthcare Research and Quality.¹⁹
104 These comprehensive databases contain all hospital discharge records from
105 community hospitals in participating states. Datasets include the month of
106 hospitalization and the age of the patient. A hospitalization was defined as due to
107 RSV if any of the discharge diagnostic codes included 079.6 (RSV), 466.11
108 (bronchiolitis due to RSV), or 480.1 (pneumonia due to RSV), based on the
109 International Classification of Disease Ninth Revision [ICD-9].²⁰ Information about
110 population size in each age group was obtained from the US Census Bureau's
111 American Community Survey.²¹ Birth rate by year and state was obtained from CDC
112 vital statistics.²² RSV surveillance data for California (2009-2018) were obtained
113 from the Immunization Branch, California Department of Public Health and were

114 used to validate model prediction.²³ We also performed sensitivity analyses using
115 parameters fitted to a similar inpatient dataset from Colorado to illustrate potential
116 impacts of mitigation measures on biennial RSV epidemics.²⁴

117

118 **Simulations**

119 To predict RSV transmission dynamics, we extended a previously published
120 RSV transmission model (see Supplement for details, Figures S1-S3).²⁴ We generated
121 forward simulations using varying input parameters (see Supplement for details),
122 assuming the same immigration/emigration, death and birth rate as 2019. We used
123 fixed control periods in our main analysis. We also considered various durations of
124 the control periods and the impacts of mitigation measures on RSV transmission
125 dynamics in states with different epidemic timing, including biennial epidemics, as
126 sensitivity analyses.

127 We simulated the monthly number of RSV hospitalizations from 2021 to
128 2025. With many unknowns, our aim was to explore a wide range of possible
129 scenarios (see Box 1) rather than making precise predictions.

130 *Decrease in transmission*

131 We evaluated a range of reductions in the RSV transmission rate, from 10% to
132 25%, based on the results of a previous study analyzing the impact of mitigation
133 measures on seasonal respiratory viruses.²⁵ We evaluated two kinds of decrease in
134 transmission beginning in March 2020 and lasting until April 2021: 1) a constant
135 decrease, and 2) a large decrease followed by a linear increase in transmission. The
136 rationale for the second type of decrease comes from reports that mitigation measures
137 were strictest at the beginning of the COVID-19 pandemic and were gradually relaxed
138 with time.²⁶⁻²⁸

139 *Changes in contact patterns*

140 We also explored the impacts of heterogeneous changes in contact patterns on
141 RSV epidemics. Specifically, we examined the effects of a three-month stay-at-home
142 order from April 1 to July 1, 2020.^{26,28} We calculated the percentage of household
143 contacts in detailed age groups and multiplied by the age-specific contact rates of
144 respiratory-spread infectious agents in the corresponding age groups.²⁹⁻³¹ We assumed
145 an 82%³² decrease in non-household contacts and a 10%³³ increase in household
146 contacts.

147 *Impact of virus introduction from external sources*

148 Stay-at-home orders and travel restrictions may also have an effect on the
149 introduction of RSV into a population. Travel restrictions between countries and
150 states may limit the introduction of external RSV infections, while constant virus
151 seeding from other regions may accelerate the re-emergence of RSV epidemics. There
152 is little data on the rate of infections of RSV that are imported from other regions.
153 Therefore, we explored a range of 1 to 10 imported infections per 100,000 population
154 per month. We assumed these external infections are mild and do not lead to LRI or
155 hospitalization. In the main analysis, we assumed the number of external infections
156 decreased to 0 in April 2020 and gradually increased back to 40% of the original level
157 in February 2021 based on changes in weekly total air travel.³⁴ We also explored
158 various other scenarios (see Supplement).³⁵

159 *Impact of decreased duration of transplacentally-acquired immunity in infants*

160 Reduction in transmission results in low virus activity in the community,
161 which may cause fewer exposure opportunities for the general population. Because
162 virus exposure can boost immunity levels,³⁶ pregnant women may have lower
163 concentrations of antibodies because of lack of boosting from a lower rate of

164 exposure. Correspondingly, the level and duration of the immunity in infants acquired
165 from their mothers may also decrease. Since few previous studies quantified how
166 virus exposure in pregnant women may affect the duration of transplacentally-
167 acquired immunity in infants, we probed the effect of a lack of boosting by proposing
168 a range of possible decreases in the duration of transplacentally-acquired immunity on
169 top of the linear change in RSV transmission. The period of shortened
170 transplacentally-acquired immunity is therefore dependent on the RSV epidemics,
171 from November 2020 to October 2021 based on observations and the results of
172 simulations.

173 **Outcome Measures**

174 The primary clinical outcome of interest is the predicted monthly number of
175 RSV hospitalizations. Secondary outcomes of interest included the age distribution of
176 hospitalizations among children under 5 years of age, incidence of any RSV infection,
177 and incidence of RSV lower respiratory infection. Percentage changes in incidence
178 were calculated by comparing the differences between the predicted incidence in the
179 2021-2022 RSV season with and without changes to the transmission rate related to
180 mitigation measures (see Supplements for details).

181 **Results**

182 *Expected timing of the re-emergence of RSV under different scenarios*

183 Under Scenario 1, we assumed that transmission decreased homogeneously by
184 20% from March 2020 to March 2021 without any external source of infections. In
185 this case, the model predicted an absence of RSV epidemic in 2021 and an out-of-
186 season outbreak starting in the summer of 2022. Models with constant low
187 transmission took more than a year to resume annual epidemics (Figure 1A).

188 Compared with the constant low transmission rate scenario, a sudden decrease
189 in RSV transmission in March 2020 followed by a linear increase over a 13-month-
190 period (Scenario 2) allowed for sufficient infections to trigger a large outbreak in the
191 winter of 2021-2022. With this scenario, we would expect to see epidemics return to
192 annual cycles in the winter of 2022-2023 (Figure 1A). Models with smaller decreases
193 in transmission generally generated earlier re-emergence of RSV epidemics (Figure
194 S4).

195 In the third scenario, a three-month stay-at-home order beginning in April
196 2020 substantially reduced the number of non-household contacts and resulted in a
197 delayed, small uptick in RSV infections. With these conditions, infections re-emerge
198 around February-March 2021, rather than the expected November 2020. The RSV
199 epidemic in the 2021-22 season is expected to have an earlier onset and peak timing
200 than the usual RSV season under this scenario (Figure 1A).

201 The scenarios described above assume no external introduction of RSV
202 infections. In contrast, if Scenario 2 (20% linear change in transmission) was
203 modified to allow for the external introduction of infections during the control period
204 (Scenario 4), a small increase in RSV hospitalizations would have been expected in
205 the winter and spring of 2020-2021. This increase is expected to be followed by a
206 small spike corresponding to a return to normal virus importation due to lifted
207 restrictions on inter-state travel. Based on this scenario, we expect an earlier onset,
208 higher peak number and more patients per season in the 2021-2022 than a typical
209 RSV season (Figure 1B).

210 Based on our simulation (Scenario 5), shorter transplacentally-acquired
211 immunity in infants did not substantially change the timing or the amplitude of RSV
212 epidemics on top of the changes resulting from reduced transmission (Figure S5).

213 *Shifts in the age distribution of infection during the re-emergence of RSV*

214 As reported, mitigation measures were gradually relaxed in most of the
215 states.²⁶⁻²⁸ Therefore, we chose Scenario 2 to illustrate changes in the age distribution
216 of infection during the re-emergence of RSV. The following findings are consistent
217 across scenarios although the exact numbers are different. Under Scenario 2, the
218 average age of hospitalization among children under 5 years is expected to be higher
219 in the 2021-2022 RSV season compared with preceding years. The average age of
220 hospitalization is predicted to gradually return back to the pre-pandemic level in 2023
221 (Figure 2). Young children are expected to have higher incidence of hospitalizations
222 this upcoming epidemic year (July 2021 to June 2022).

223 Across all age groups, the model predicts an 87% increase in RSV LRIs and
224 an 83% increase in RSV hospitalizations during the 2021-2022 epidemic year under
225 Scenario 2 compared with a typical RSV season. Looking into the details of the age
226 distribution for different clinical outcomes, children aged 1 to 4 years are expected to
227 have the greatest percentage increase in the incidence of RSV infection, lower
228 respiratory infection (LRI) and hospitalization compared with a typical pre-pandemic
229 RSV season (Figure 3). However, infants age 3 to 5 months old are expected to have
230 the largest incidence of LRI, and infants under 3 months are expected to have the
231 largest incidence of RSV hospitalization.

232 **Discussion**

233 Seasonal RSV epidemics have been interrupted by COVID-19 mitigation
234 measures during 2020-2021. Understanding the potential timing, intensity and age
235 distribution of re-emergent RSV epidemics is crucial for clinical and public health
236 decision-making. Our results suggest that stricter mitigation measures will lead to
237 later and larger epidemic outbreaks and a shift in the age distribution due to an

238 accumulation of susceptibility within the population. However, the importation of
239 infections into a population may accelerate the re-emergence process and cause an
240 earlier-than-usual outbreak in the upcoming RSV season.

241 Variation in the patterns of re-emergent RSV epidemics across regions and
242 countries could potentially be explained with our simulated results. (1) In Australia,
243 an island country, closing the borders and implementing a mandatory quarantine were
244 probably sufficient to eliminate imported infections. Australia also implemented a
245 one-month stay-at-home order in the beginning of the COVID-19 pandemic and
246 gradually relaxed all other restrictions within three months. These short-term
247 mitigation measures may have temporarily suppressed local RSV transmission, and
248 the accumulation of susceptibility since the end of the previous RSV season in
249 October 2019 may have triggered the large out-of-season outbreak. Our simulated
250 average age of hospitalizations is similar to the reported median patient age in
251 Australia both before the pandemic and during the re-emergent RSV epidemic.³⁷ (2)
252 In New York, which has many and frequent connections to the other parts of the U.S.
253 and the world, the risk of virus importation likely existed during the COVID-19 travel
254 restriction period. The risk of virus importation depends both on the volume of travel
255 and the level of external RSV activity. Therefore, the increase in virus importation
256 during the control period may not be linear as travel volume increased. Before
257 November 2020, most places in the world reported no RSV epidemics. Virus
258 importation from other areas was therefore highly unlikely. This may be the reason
259 that we observed a later and steeper increase in RSV epidemics during the spring of
260 2021 (see Figure S6). (3) In Argentina and Canada, governments implemented very
261 strict mitigation measures at the start of COVID-19 pandemic.^{38,39} Some restrictions
262 have been extended and remained in place as of April 2021 to protect against new

263 variants.^{40,41} It is worth noting that these two countries represent both the northern and
264 southern hemisphere and have opposite RSV seasons.⁴² Nonetheless, both countries
265 reported low RSV activity as of April 2021.

266 In all of our scenarios, the upcoming RSV season is expected to have a higher-
267 than-normal intensity. Our analysis suggests that infants <6 months of age are
268 expected to have about 1.5 times increased risk of LRI and hospitalization as a result
269 of RSV infections during the upcoming RSV season. At the same time, RSV
270 infections in children aged 1 to 4 years are expected to double regardless of severity
271 compared with a typical RSV season. This is alarming because pediatric facilities and
272 intensive care units may be overloaded with patients in the upcoming RSV season.^{43,44}
273 Even worse, the timing of re-emergent RSV epidemics varied among scenarios,
274 making it difficult to predict the timing. Aberrations in timing may decrease the
275 impact of palivizumab, since the administration of antibody prophylaxis for high-risk
276 infants needs to be timed to coincide with the epidemic.⁴⁵ Thus, enhanced, year-round
277 surveillance for RSV infections is needed to inform the use of palivizumab over the
278 2021-2022 season. It is important for pediatric facilities and intensive health care
279 units to be aware and prepared for these scenarios, especially since future RSV
280 epidemics may occur at an unusual time.

281 Our study tested additional assumptions made by a previous study of the
282 impact of mitigation measures on RSV dynamics by exploring a variety of scenarios,
283 as well as considering the age distribution of cases.²⁵ Our overall projections for
284 upcoming RSV epidemics are similar: large outbreaks are very likely once mitigation
285 measures are relaxed.

286 Our research suggests that virus introduction affects the timing and intensity
287 of re-emergent RSV epidemics. Research from the late 1990s in the U.S. suggested

288 that external sources of RSV infections may exist but are uncommon,^{46,47} in contrast
289 to a recent finding from Kenya suggesting that RSV was reintroduced into
290 communities every year from other parts of the country.⁴⁸ With increased global
291 connectivity, the mixing patterns of RSV transmission between and within
292 communities in the U.S. may have changed. High-density sampling and sequencing of
293 RSV across a region will be critical to understand the current level of importation of
294 RSV infections.

295 For the re-emergent epidemics (2021-2022), our model (under Scenario 2
296 assumption) suggests an older average age of hospitalizations. This makes intuitive
297 sense, since many children born in 2020 were spared from RSV infection due to the
298 low virus activity; these children will be older when they get infected for the first time
299 during the re-emergent epidemics. Consequently, the American Academy of
300 Pediatrics should consider modifying prophylaxis guidelines to include high-risk
301 infants <2 years of age for the 2021-2022 season. The average age of hospitalization
302 will gradually decrease in the following RSV seasons because of the depletion of
303 older susceptible individuals during the first re-emergent outbreak and the constant
304 replenishment of susceptible infants through new births and waning transplacentally-
305 acquired immunity.⁴⁹

306 There are several caveats to our results. First, we did not have explicit data on
307 the level of virus introduction or the effects of lack of boosting on the duration of
308 protection provided to infants by transplacentally-acquired antibodies to RSV.
309 Although we explored a range of values, it is possible that the real values are outside
310 of the range in our models. Our model predictions are most sensitive to the level of
311 RSV introduction. Additional data on these factors will be helpful for future modeling
312 of RSV transmission dynamics. Research on the relationship between virus exposure

313 and the duration of transplacentally-acquired immunity in infants may also help to
314 explain discrepancies in the efficacy of maternal vaccines across different
315 transmission settings.⁵⁰ Second, we used historical inpatient data to fit our
316 transmission models. However, the intensity and seasonality of RSV epidemics may
317 have changed over the past few years. To address this possibility, we explored a
318 variety of intensity levels and both annual and biennial cycles with data from different
319 states in our sensitivity analysis (see Figure S7-S16). Also, ongoing RSV surveillance
320 data from California,⁵¹ Florida,⁵² Minnesota,⁵³ Oregon,⁵⁴ and Texas⁵⁵ suggests that
321 RSV activity has been very consistent over recent years. Finally, there are other
322 possible factors influencing re-emergent RSV epidemics, such as the impact of
323 introduction of RSV vaccines and monoclonal antibody.⁵⁶ As the extended half-life
324 antibody against RSV, Nirsevimab, has been proved to be efficacious in phase III
325 clinical trials,^{57,58} research on its impact may be important to elucidate future
326 epidemics of RSV infections.

327 In conclusion, re-emergent RSV epidemics in 2021-2022 are expected to be
328 more intense and to affect patients in a broader age range than in typical RSV seasons.
329 The timing of re-emergent RSV epidemics may be different from the usual RSV
330 season, depending on the duration of mitigation measures and the extent of virus
331 introduction from other regions. Clinicians should be alert to the possibility of out-of-
332 season RSV outbreaks.

333

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353

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549 **Figure legends**

550 **Figure 1. Expected RSV hospitalizations under different scenarios, New York,**

551 **2019–2025.** A) The impacts of mitigation measures on RSV epidemics. The dotted

552 dark pink line shows the counterfactual scenario that there is no COVID-19 pandemic

553 and no mitigation measures in place. The solid lines show three scenarios of

554 stringency of mitigation measures. The green line represents Scenario 1: 20% constant

555 decrease in transmission from March 2020 to March 2021. The orange line represents

556 Scenario 2: a sudden 20% decrease in RSV transmission in March 2020 followed by a

557 linear increase back to normal. The purple line represents Scenario 3: 82% decreased

558 non-household contacts and 10% increased household contacts between April and

559 July 2020. The red rectangle on the top, the gradient red rectangle in the middle and

560 the blue rectangle on the bottom indicate the length and the stringency of Scenarios 1-

561 3, respectively. B) The impacts of importation of external infections on Scenario 2.

562 The solid orange line is the same as the orange line in panel A), corresponding to

563 Scenario 2. The dashed lines represent a range of background importations of external

564 infections. The grey shaded area indicates a 100% to 60% decrease in external

565 infections because of the reduced air traffic between March 2020 to March 2021.

566 **Figure 2. The average age of RSV hospitalization among children under 5.** The

567 background color represents the incidence of RSV hospitalization in each age group

568 in each month. Darker red colors indicate a higher incidence. The black line and

569 values indicate the average age of hospitalization varies with time.

570 **Figure 3. Age distribution of RSV infections, LRIs and hospitalizations, 2021-**

571 **2022 RSV season.** Panels A to C correspond to RSV infections, RSV LRIs and RSV

572 hospitalizations, respectively. The red bars show the counterfactual incidence of RSV

573 cases during the 2021-2022 RSV season if there was no COVID-19 pandemic and no

574 mitigation measures in place. The blue bars show the expected incidence of RSV
575 cases under Scenario 2 during the 2021-2022 RSV season. The numbers on the top
576 show the percentage difference between the expected incidence and the counterfactual
577 incidence in each age group.
578

579 **Tables**

580 **Box 1 Description of the Five Simulations**

Scenarios

Stringency of mitigation measures

- | | |
|---|--|
| 1. Constant decrease in transmission | Individuals obey social distancing strictly and have constant low contact rate from March 2020 to March 2021 |
| 2. Sudden decrease + gradual increase in transmission | Mitigation measures are most strict at the beginning and are gradually relaxed between March 2020 and March 2021 |
| 3. Decrease in non-household contacts | Stay-at-home orders from late March 2020 to late June 2020 reduced contact opportunities in non-household settings by 82% and increased household contacts by 10%. |

Factors impacting the reemergence of RSV epidemics

- | | |
|---|---|
| 4. Importation of external infections | Introduction of the virus from other regions may ignite RSV epidemics |
| 5. Decrease in the duration of protective maternal immunity | Absence of RSV epidemics leads to lack of boosting of maternal immunity |

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