

Module D: Care Transition after CSC &
Building a statewide Learning Health System

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### **Outline**



#### **Key concepts:**

- 1. Transitions in care after CSC: the challenge
- 2. STEP's Population Health model of care
- 3. Building a statewide Learning Health System (LHS)

### **Early Intervention Service Care Pathway**

www.step.yale.edu

#### **Early Detection (Module A)**

· Community education

3 months

- · Academic detailing of referral sources
- · Rapid eligibility determination and assertive enrollment into care

#### Deliverable

Equitable, non-coercive and rapid (low DUP) access to care across target region.

#### **Evaluation & Initiation of Treatment (Module B)**

- Comprehensive case formulation including working diagnosis and treatment plan
- · Initiation of initial phase of treatment, including family education
- Risk mitigation for suicide, violence, and criminal justice liaison

#### Deliverable

Case formulation and preliminary treatment

#### Continuing Treatment in Coordinated Specialty Care (Module C)

- Ongoing longitudinal diagnostic evaluation
- Individual psychotherapy
- Pharmacological treatment
- Family education: individual and group based
- Rehabilitation: support for education, employment, vocational counseling
- · Primary care coordination
- · Case Management: e.g. housing, transportation, entitlements

#### Deliverable

Value: i.e. Population health outcomes benchmarked to international standards/low cost of care

#### **Care Transition (Module D)**

- Individualized selection and referral to local outpatient provider (e.g. primary care, behavioral health, LMHA)
  - Ongoing liaison and maintenance of good bidirectional referral and consultative network
    - Regular audit of post-transfer engagement rates with continuous performance improvement

#### Deliverable

High engagement rates in mainstream services; Tele-consultation to build clinical capacity and regular audit of population health outcomes to drive performance improvement across local network of care.

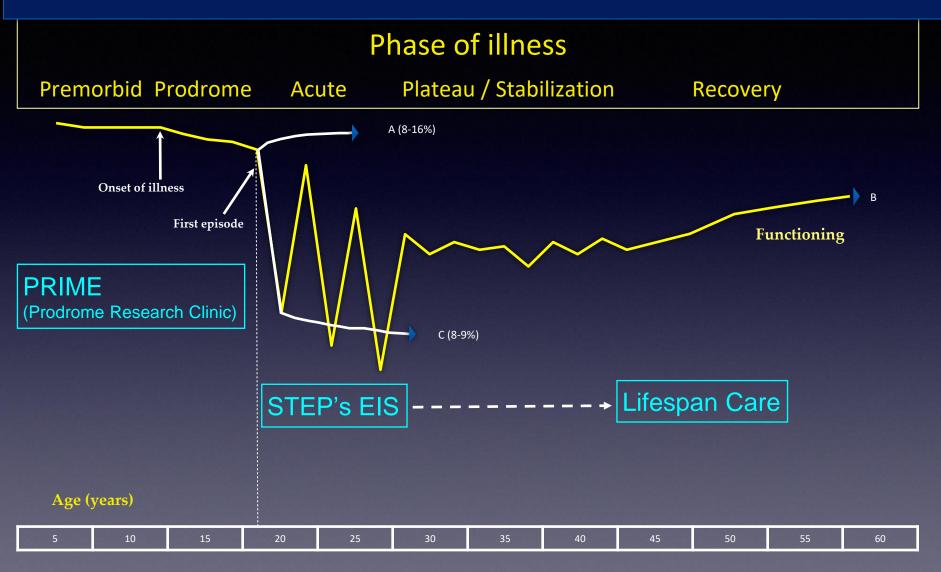


3 months

2-3 years

## Early Intervention (EI): current best practices in CT





# The Challenge of Care Transitions



**TABLE 1** Disposition and transfer of care outcomes by phase of quality improvement

	Pre-PDSA (n = 35) n (% of all discharged patients)	Post-PDSA (n = 109) n (% of all discharged patients)
Transfer status <sup>a</sup>		
Confirmed in treatment at 3 months	13 (37.2%)	59 (54.1%)
Confirmed not in treatment at 3 months	4 (11.4%)	22 (20.2%)
Unknown treatment status at 3 months	18 (51.4%)	28 (25.7%)
Disposition <sup>b</sup>		
Referred	17 (48.6%)	76 (69.7%)
Refused	7 (20.0%)	12 (11.0%)
Lost to follow-up	9 (25.7%)	15 (13.8%)
Other (moved, deceased, or incarcerated)	2 (5.7%)	6 (5.5%)

<sup>&</sup>lt;sup>a</sup>Pre-PDSA versus. post-PDSA, p = .02 (Fisher's exact test).

#### (from October 1, 2014 to December 31, 2016)

### **Procedures:**

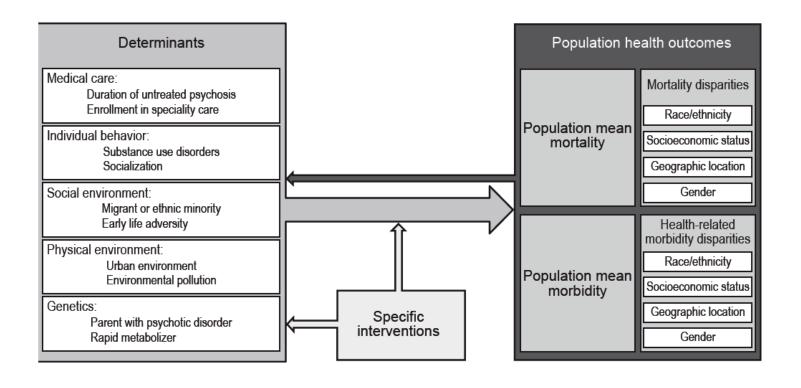
- D/C planning 1-3 months in advance of 2yr. mark
- 1 month reminders
- 3-month f/u

Gallagher K et al. Taking the next step: Improving care transitions from a first-episode psychosis service. Early Intervention in Psychiatry. 2021;1–6.

<sup>&</sup>lt;sup>b</sup>Pre-PDSA versus post-PDSA, p = .03 (Fisher's exact test).

### STEP's Population Health approach





**Population Health**: (i) target a 'geopolitically defined catchment (ii) intervene across ALL determinants (iii) measure population outcomes (address disparities)

David Kindig, Milbank Quarterly 2007; Srihari & Cahill 2019.

#### **STEP Learning Health Network** Informatics Workflow **VALUES** -Commit—**→ OBJECTIVES** -Choose-**MEASURES** -Display-**STANDARDS** Review-Assess Drive STAKEHOLDERS HONE production Behavioral Health • QI **Primary Care** Research Education Data Elements from: • Education & Judicial Workforce • EPINET CAB Clergy **Development** • Clinic specific assmts Legislators • EHRs Payers • Claims Data Consumer/Youth Org • Census Data Research • .... HONE demo -REDCap-**WIPS** • Sandbox for Analysis and Viz Process proposals for new Objectives **EIS** = Early Intervention Service and Measures **ONE** = Health, Outcomes, Network, Education (Infomatics Platform) Background: Srihari et al., JAMA Psychiatry 2016

### STEP's PH System Specification



Table. Population Health System for Early Intervention, With an Overall Aim to "Transform Outcomes of All Individuals Within the First 3 Years of Psychosis Onset Within a Catchment Zone of 10 Surrounding Towns" a

Objective	Measure	Standard
A. Access		
A.1. Rapidity	DUP 1 < 3 mo <sup>b</sup>	Achievable (30%); aspirational (75%)
	DUP 2 < 12 mo <sup>c</sup>	Achievable (50%); aspirational (75%)
A.2. Equity	Proportion of female patients, ethnic groups, town of residence, age	% of Female patients: achievable (20%); aspirational (40%). Aspirational: % of minorities will meet Census minimal proportions; aspirational: all 10 target towns will be represented at enrollment. % of Patients 16 or 17 y: achievable (5%); aspirational (10%)
A.3. Coverage	No. of patients annually offered STEP care/expected annual incidence	Achievable (15%); aspirational (80%)
A.4. Pathway to care	Proportion of patients admitted to STEP after psychiatric hospitalization	Achievable (80%); aspirational (30%)
B. Engagement		
B.1. Overall	In contact with FES at 1 y	Achievable (70%); aspirational (90%)
B.2. Engagement	% of Patients with at least 2 visits in 1st month	Achievable (70%); aspirational (90%)
B.3. Exposure to family education	Family attendance at 1 education session in 1st month	Achievable (75%); aspirational (90%)
C. Outcomes		
C.1. Hospitalization	No psychiatric admission in 1st year after enrollment in FES	Achievable (<25%); aspirational (<10%)
C.2. Remission	PANSS 8-item score < 3 at 6 mo PANSS 8-item score < 3 at 1 y	Achievable (50%-70%); aspirational (85%) Achievable (80%); aspirational (90%)
C.3. Vocational engagement	Not in labor market (NEET and not a full-time caregiver)	Achievable (<20%); aspirational (<10%)
C.4. Cardiovascular risk		
Smoking	New smokers at 1 y	Achievable (20%); aspirational (10%)
	% of Smokers at 1 y	Achievable (60%); aspirational (30%)
Overweight or obese	BMI < 25 at 1 y	Achievable (30%); aspirational (75%)
	Retain normal BMI at 1 y	Achievable (60%); aspirational (75%)
C.5. Disposition	% Successfully transitioned to routine community services after 2 y in FES	Achievable (80%); aspirational (90%)

### **System Specification: Implications**



- Individualized, phase-specific care
- Care *Processes* responsive to Population Outcomes across domains of access, disease-related morbidity and broader determinants of social & vocational functioning.
- Fidelity (like adherence) as a variably important mediator of patient oriented outcomes, not ends in themselves
- Ownership: Local implementation choices
- Creative resourcing of & disinvestment from services
- Accountability: e.g. annual report focused on outcomes of value to local stakeholders

#### **Access: DUP**





STEP-STEP





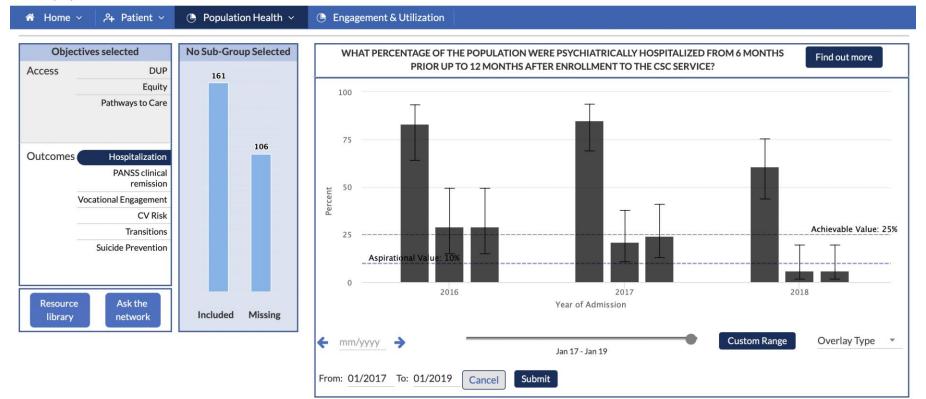
### **Outcome: Hospitalization**





STEP-STEP

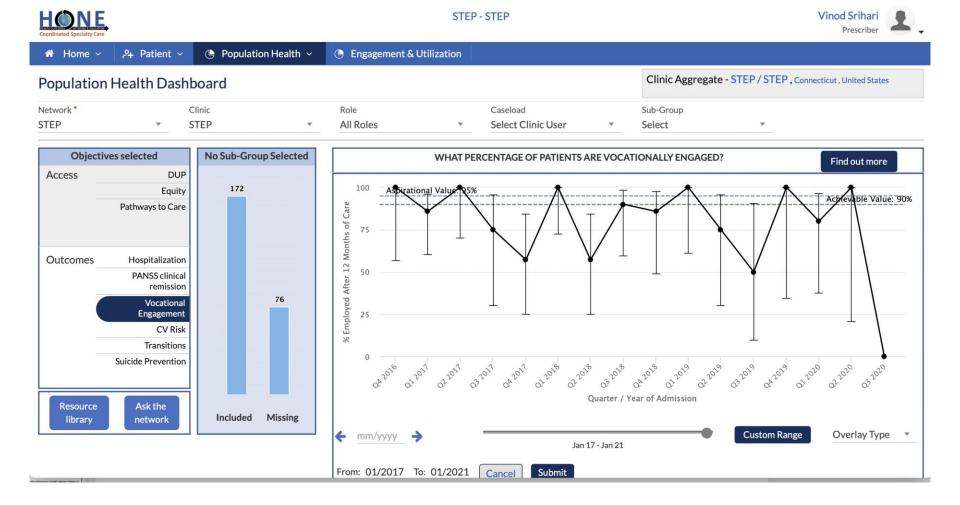


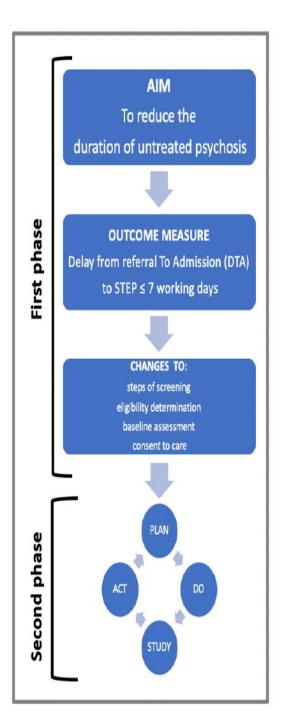


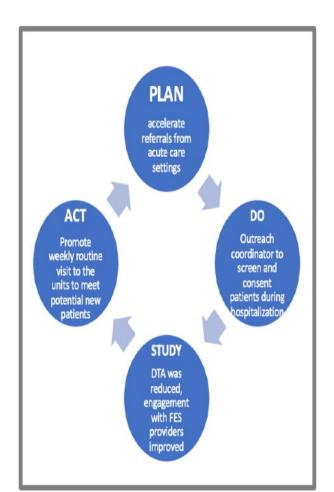
ONE (Outcomes, Network, Education) is designed to provide informatics support for Learning Health Systems. Please help us improve: send us your feedback.

### **Outcome: Labor Force Participation**











Using Quality Improvement (QI) methods to intervene on care processes toward improved population outcomes (standards)

www.ihi.org

# From Ferrara et al. Reducing delay from referral to admission at a U.S. first episode psychosis service. A Quality-Improvement initiative (Psychiatric Services, 2022)



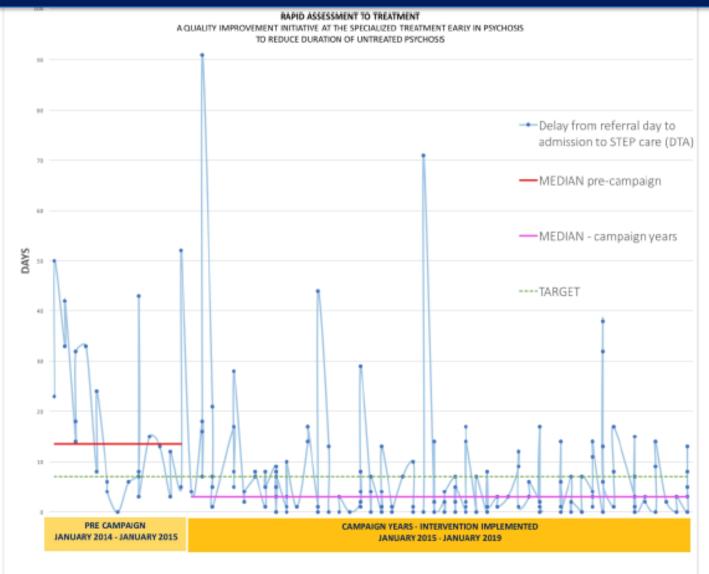
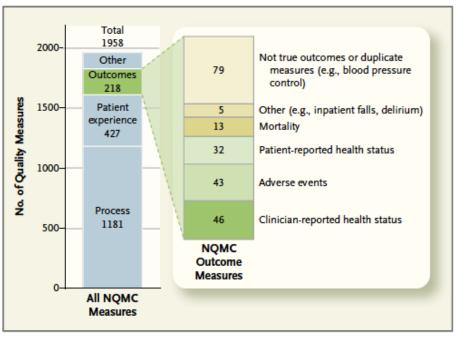


Figure 1. Run chart of the quality improvement informed initiative at the Specialized Treatment Early in Psychosis Program, New Haven, CT.

### 'Value' = patient outcomes achieved per dollar spent



#### "< 2% are 'patient reported outcomes"



Categories of Quality Measures Listed in the National Quality Measures Clearinghouse (NQMC).

- Commit to measure a minimum sufficient set of outcomes
- Consider outcomes across a full 'care delivery value chain'
- Well-defined methods for collection & risk adjustment of measures of outcomes
- Standardization of sets nationally and globally.
- Maximizing 'Value' (= health outcomes achieved per dollar spent)

Quality of healthcare: Compliance with evidence-based practice guidelines or improvement in outcomes? Porter et al., NEJM 374;6; February 11, 2016

# Building a Learning Health System for First-Episode Psychosis across Connecticut









# The Evidence: developed in CT



STEP has demonstrated both improved **quality** AND **access** across a defined catchment

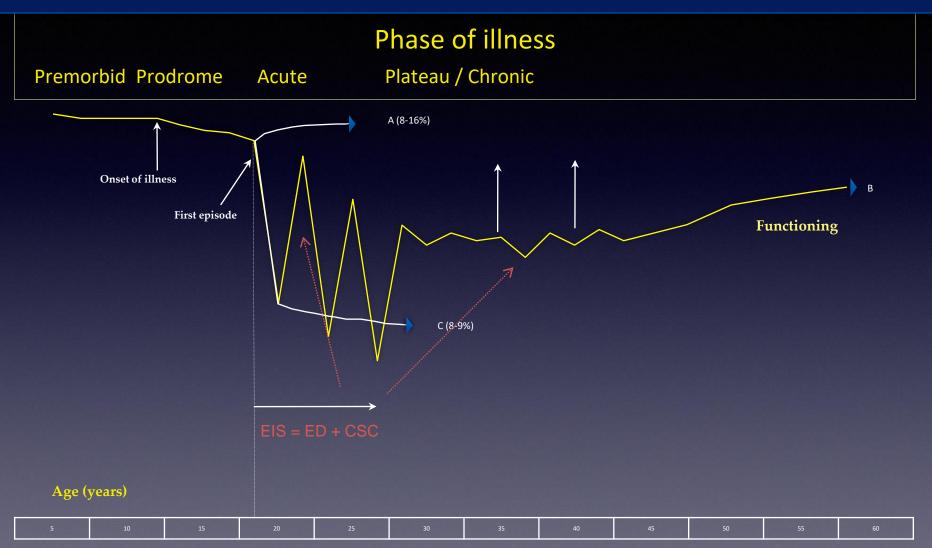
This public-academic partnership has delivered an empirically-based care pathway for all recent onset Schizophrenia in the Greater New Haven area (population ~400,000)

Can we leverage this toward unmet need statewide?



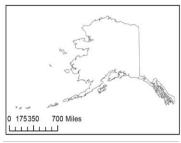
## **Schizophrenia(s): The Opportunity**



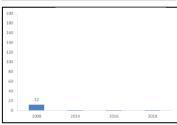


# Public FES clinics before 2008









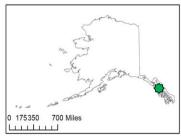


**♦** 2008 **♦** 2014 **♦** 2016 **♦** 2018

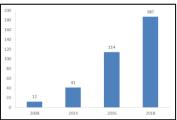


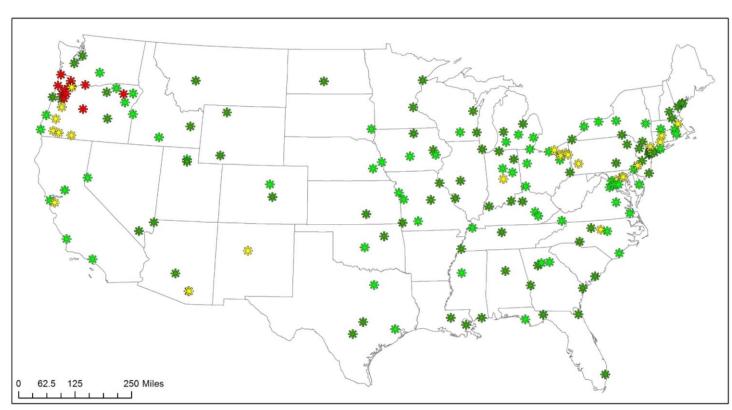
# Rapid Growth of CSCs in US











2008201420162018



# The U.S. EPINET Initiative



Early Psychosis Intervention Network

HOW DO WE BUILD A NATIONWIDE EARLY PSYCHOSIS ECOSYSTEM

THAT PROVIDES THE BEST AVAILABLE CARE TO AFFECTED

INDIVIDUALS and their FAMILIES, WHILE ALSO DRIVING RELEVANT

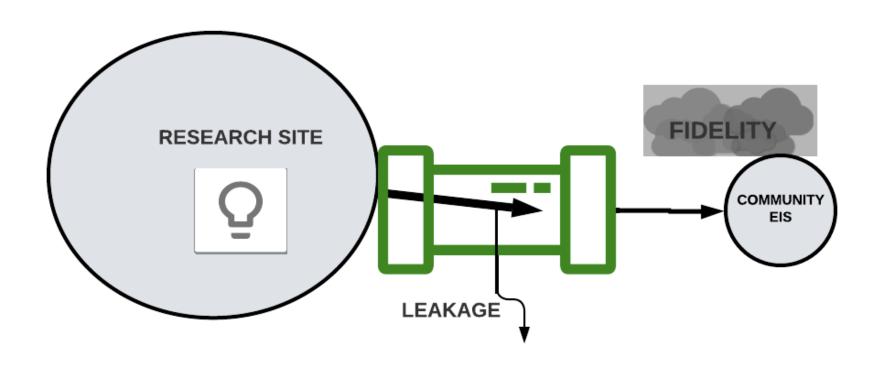
RESEARCH TO CONTINUOUSLY IMPROVE THE EFFECTIVENESS OF

THIS CARE?

National Institute of Mental Health September 7-8, 2017

# The Problem: How to Disseminate *Best Practice Care*, Drive continuous *Quality Improvement* and support *Research*?





# The Solution: Learning Health Systems

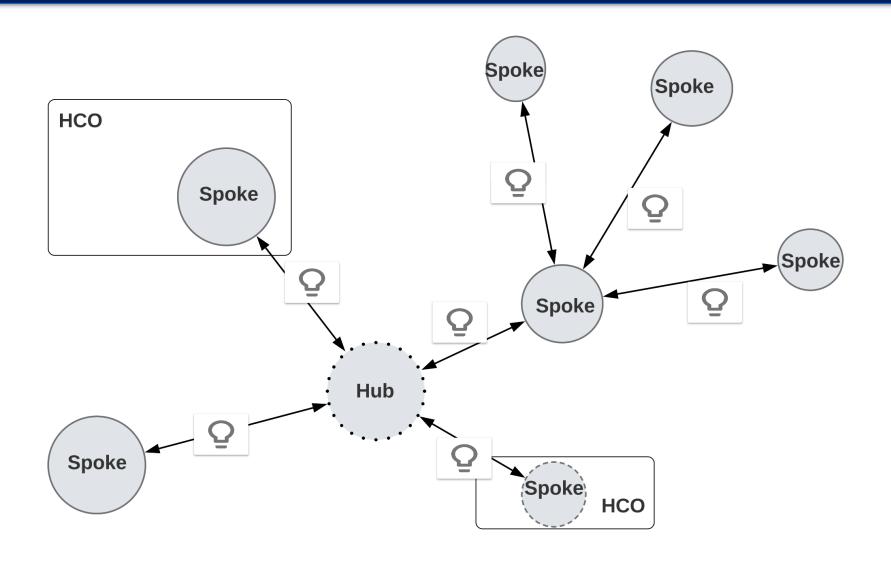


A Learning Health System occurs when "science, informatics, incentives, and culture are aligned for continuous improvement and innovation...and new knowledge is captured as an integral by-product of the care experience"

Roundtable on Value and Science-Driven Health Care, Institute of Medicine. National Academies Press (US); 2013

# Dissemination: A variety of models

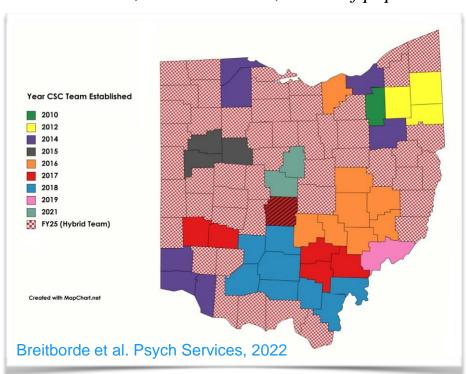




## Ohio: implementation of early intervention



18 CSC Teams, 39/88 Counties, ~70% of population

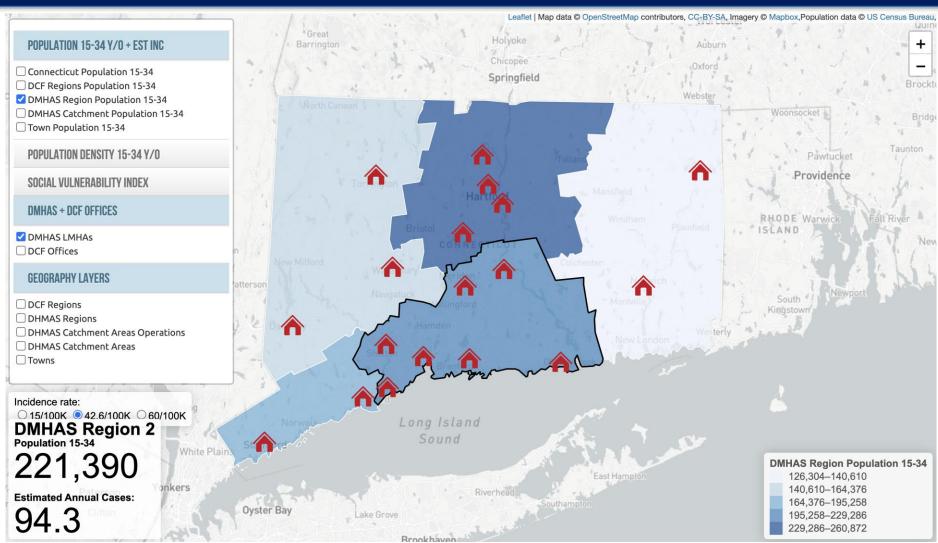


'First-break' ~ 1000/yr

- Improve Access to High Quality Care
- Do this within a Learning Health System

# **Developing a Learning Health System across Connecticut**





www.step.yale.edu; www.ctearlypsychosisnetwork.org; nina.levine@yale.edu