

# Impurities In Biomolecules

Institute for International Research

## Monitoring & Predicting Biomolecular Aggregation Using Light Scattering

Kevin Mattison

*Malvern Instruments*



Ewa Folta-Stogniew

*Yale University*



# Outline

- ▶ Light Scattering Technologies
- ▶ Batch Light Scattering Applications
  - Kevin Mattison – Malvern Instruments
- ▶ Flow Mode Light Scattering Applications
  - Ewa Folta-Stogniew – Yale University
- ▶ Closing
- ▶ Appendix

# Biomolecular Stability

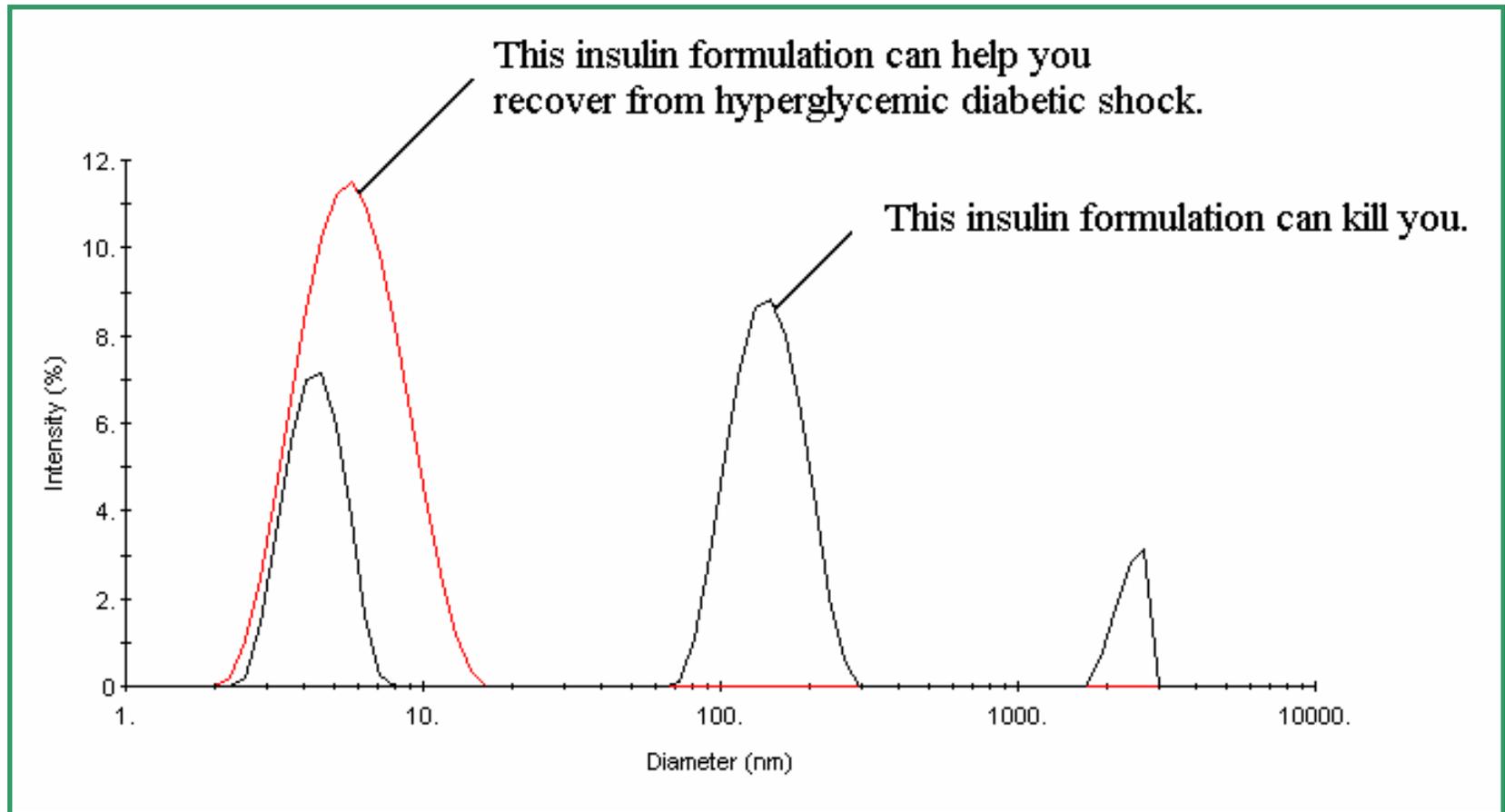
*With regard to aggregation:*

- ▶ In the absence of stabilizing “conditions”, when small particles collide, London forces can dominate the interaction, leading to particle aggregation.
- ▶ In order to stabilize a formulation against aggregation, particle collisions must be minimized. This can be accomplished using:
  - ▶ Electrostatic Effects - wherein the presence of charge leads to a repulsive force between the particles.
  - ▶ Steric Effects - wherein the presence of adsorbed or attached additives (known as chaotropic agents) prohibit particles from getting close enough together for London forces to dominate.



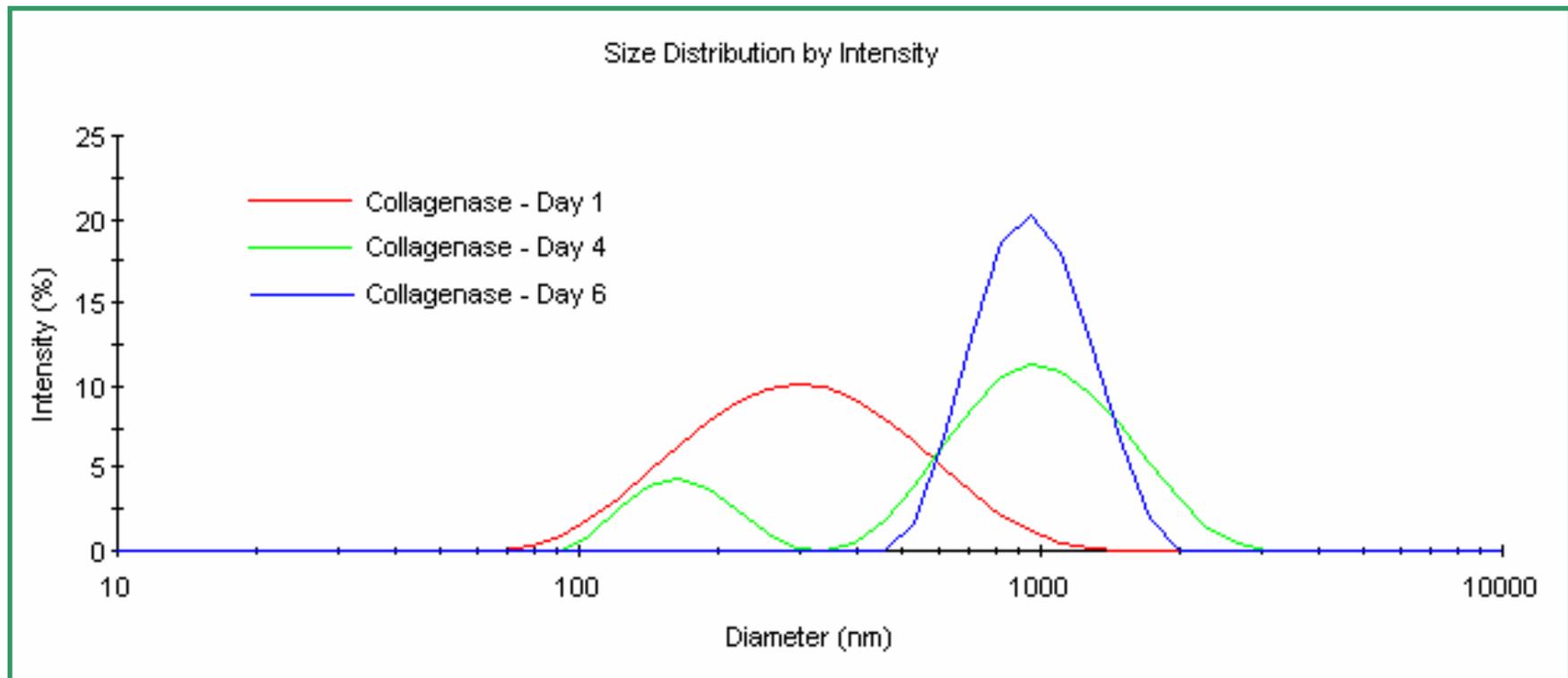
# Evidence Of Instability?

Insulin formulations at t = 0 and 12 months



# Common Approach – Time Trials

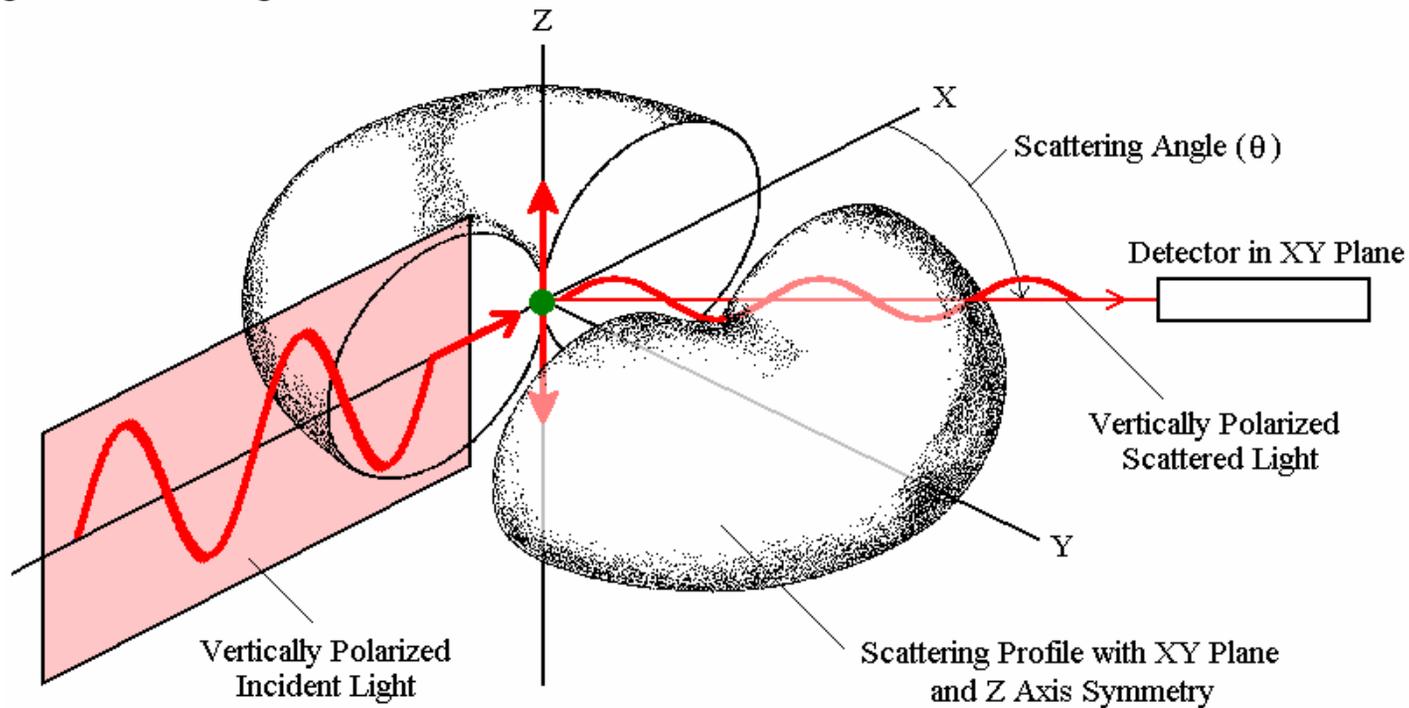
According to sizing results, the sample is completely aggregated within 7 days of preparation.



# But Can We Predict?

**Light Scattering:** Low energy photon induces an oscillating dipole in the electron cloud. As the dipole oscillates, energy is radiated in all directions. This radiated energy is called “scattered light.”

## *Rayleigh Scattering Profile*

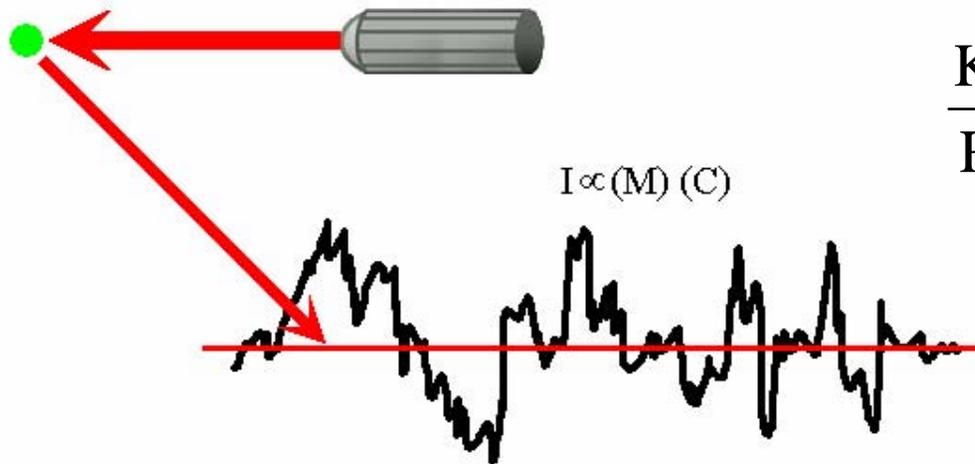


# Static Light Scattering (SLS)

Average scattering intensity leads to the particle molecular weight, 2nd virial coefficient, and radius of gyration ( $R_g$ ).

## *Rayleigh Equation*

$$\frac{KC}{R_\theta} = \left( \frac{1}{M} + 2A_2C \right) P(\theta)$$



K = Optical constant  
M = Molecular weight  
 $A_2$  = 2<sup>nd</sup> Virial coefficient

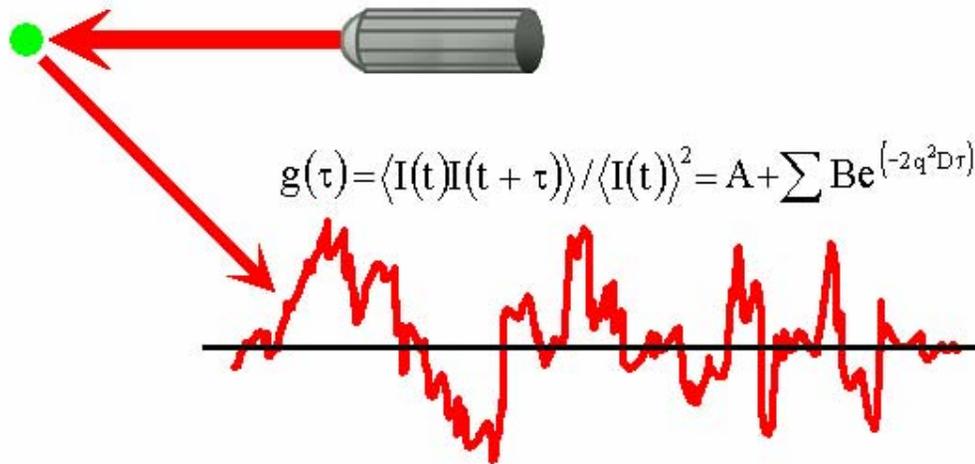
C = Concentration  
 $R_\theta$  = Rayleigh ratio  
 $P(\theta)$  = Shape factor

# Dynamic Light Scattering (DLS)

Correlation of short time scale ( $\mu\text{s}$ ) intensity fluctuations gives the diffusion coefficient, hydrodynamic size, polydispersity, and particle size distribution.

*Stokes-Einstein*

$$R_H = \frac{kT}{6\pi\eta D}$$

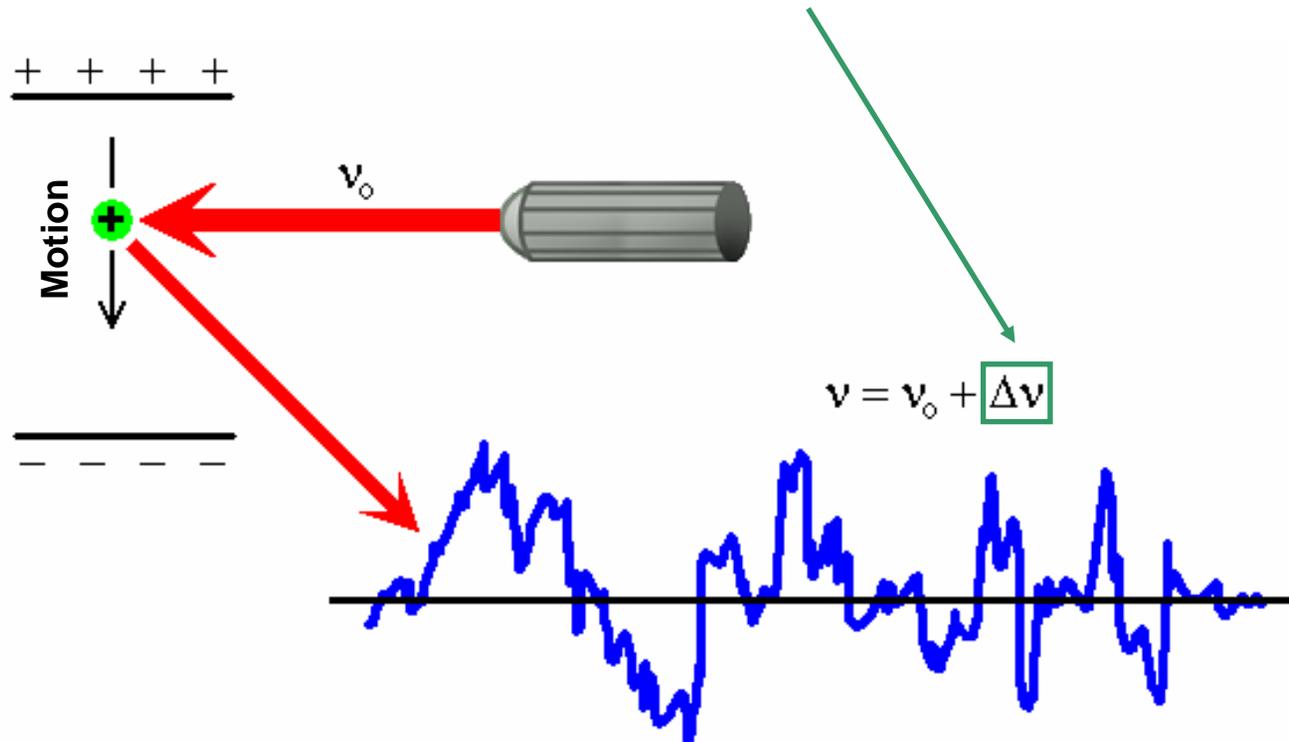


$q$  = Scattering vector  
 $R_H$  = Radius  
 $T$  = Temperature

$D$  = Diffusion coefficient  
 $k$  = Boltzmann constant  
 $\eta$  = Solvent viscosity

# Electrophoretic Light Scattering (ELS)

Measured parameter is the frequency shift of the scattered light.



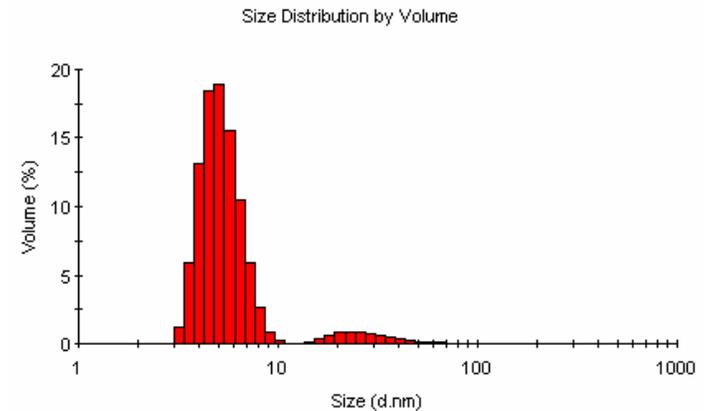
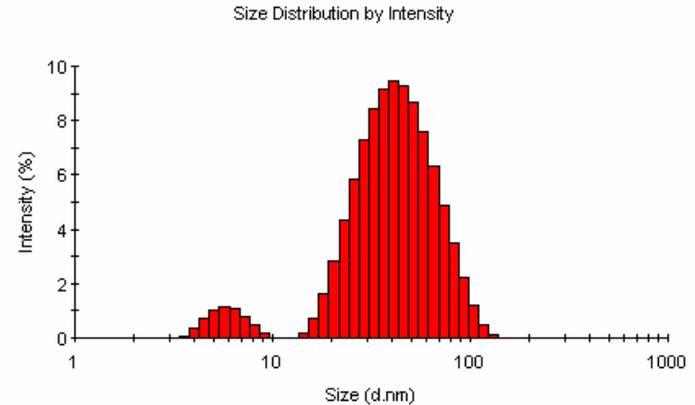
The frequency shift is proportional to the electrophoretic mobility, which is a function of the particle surface potential. Hence ELS gives us information regarding the charge on the particle.

$$\mu = K \left( \frac{\Delta\nu}{E} \right)$$

# Why Light Scattering?

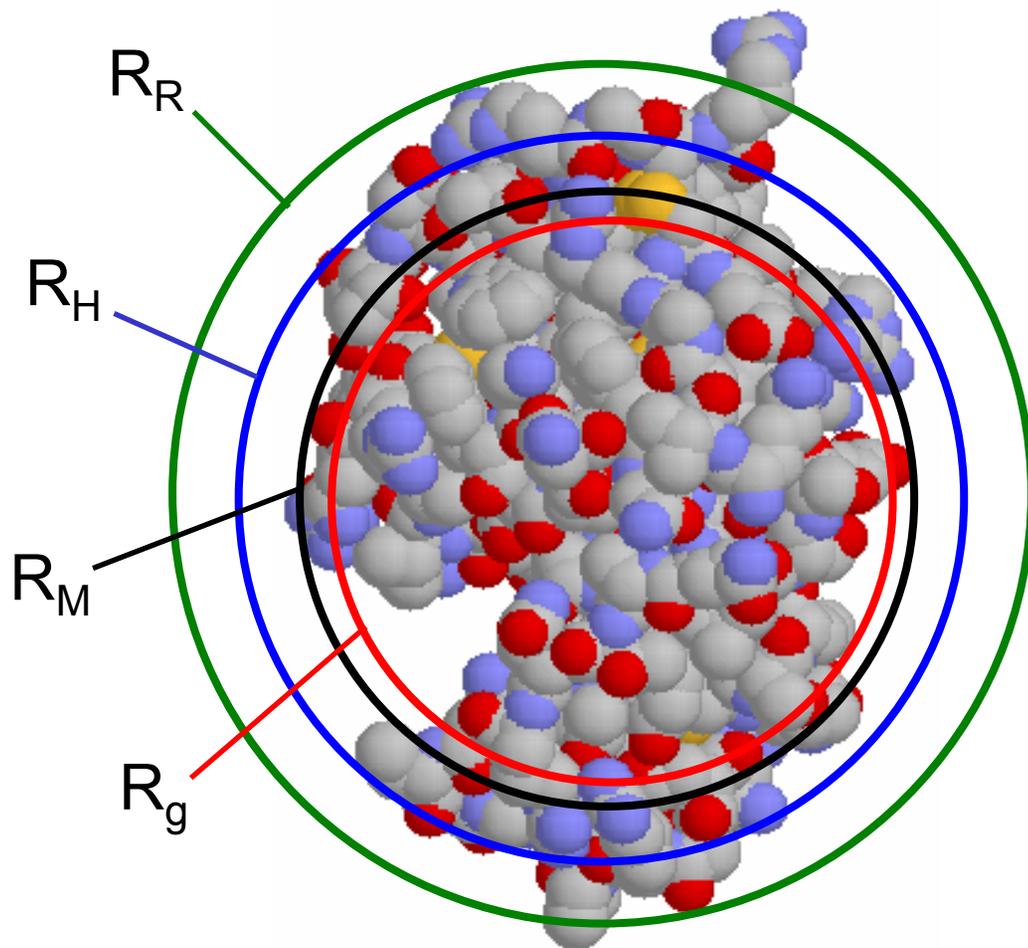
The scattering intensity:

- ▶ varies with the mass and concentration according to the Rayleigh Expression
- ▶ is proportional to
  - $M_w$
  - $M_n^2$
  - $R^6$
- ▶ is non-invasive
- ▶ is ideal for aggregate detection & quantification in low volume, low concentration biological samples.



Peak	$D_1$ (nm)	%I	%M
1	5.95	5	93
2	46.0	94	6

# Lysozyme - Comparison Of Radii



## Lysozyme

$M = 14.5 \text{ kDa}$

$V_p = 0.73 \text{ mL/g}$

$R_R = 2.25 \text{ nm}$

$R_H = 1.90 \text{ nm}$

$R_M = 1.61 \text{ nm}$

$R_g = 1.47 \text{ nm}$

For	$R_g$
Sphere	$= 0.774 R_H$
Coil	$= 0.816 R_H$
Cylinder	$= 1.732 R_H$

# What Is $M_w$ ?

$M_w$  is the mass or weight average molecular weight.

*Number Average*

$$M_N = \frac{\sum_i N_i M_i}{\sum_i N_i}$$

*Weight Average*

$$M_w = \frac{\sum_i m_i M_i}{\sum_i m_i}$$

$N_i$  = the number of particles in each weight class

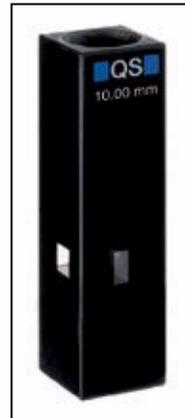
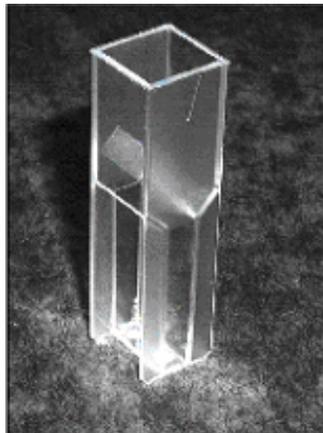
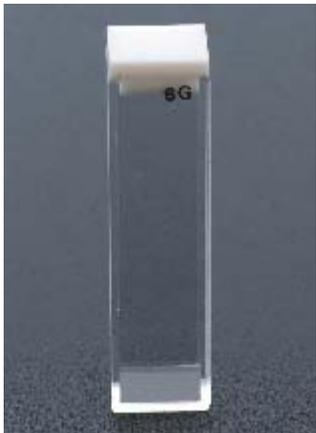
$M_i$  = the molecular weight of each weight class

$m_i$  = the mass of particles in each weight class

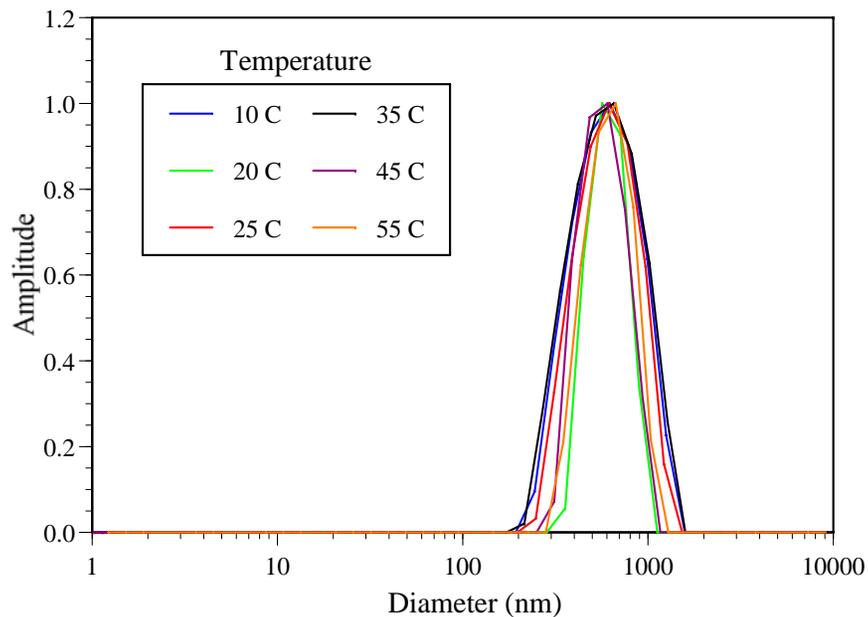
*As a consequence,  $M_w$  is more heavily weighted by larger particles in the sample.*

# Light Scattering Applications

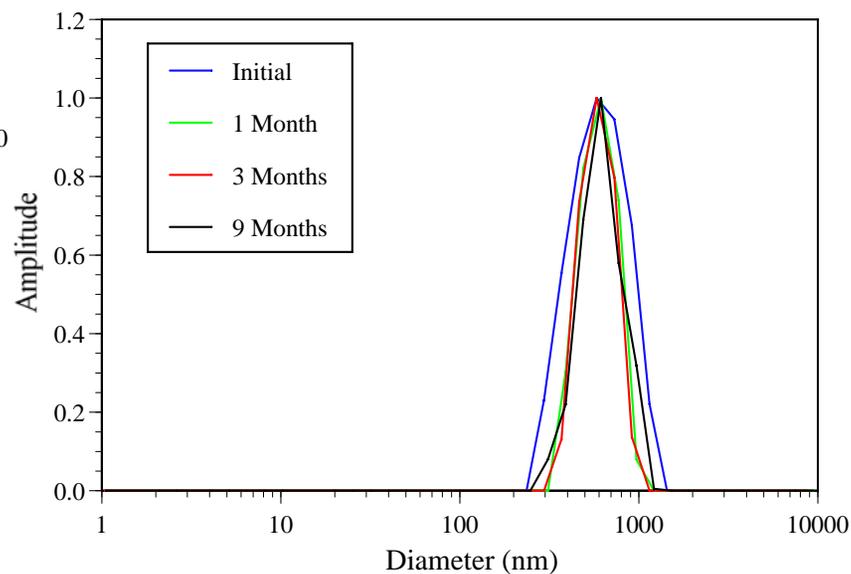
## Batch Mode Measurements



# Monitoring Stability



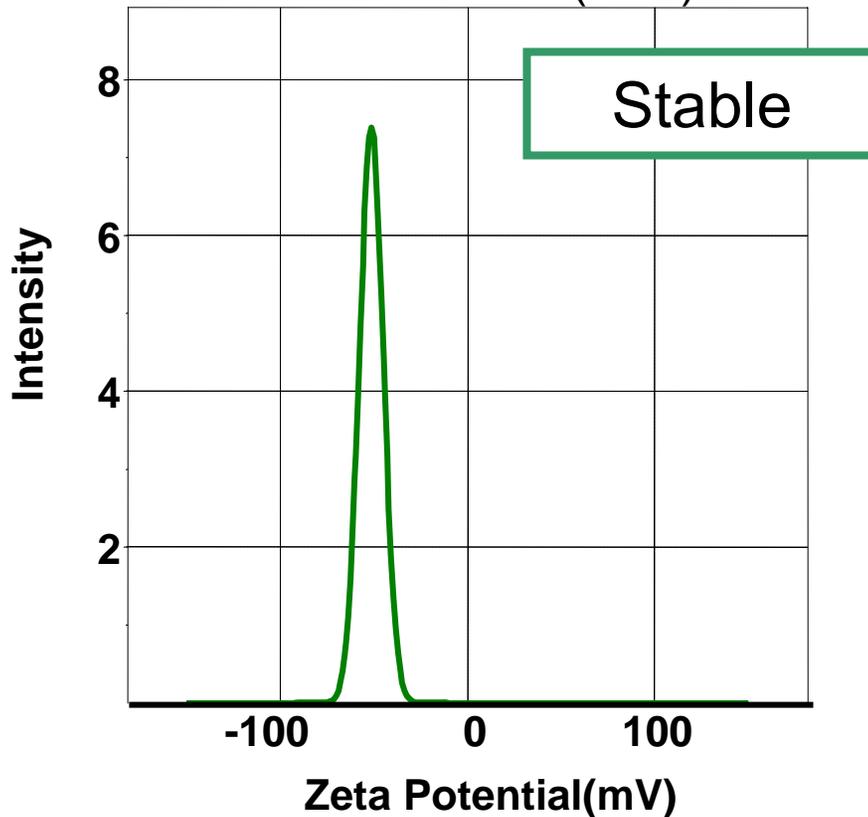
40% Propofol emulsion



# Predicting Stability

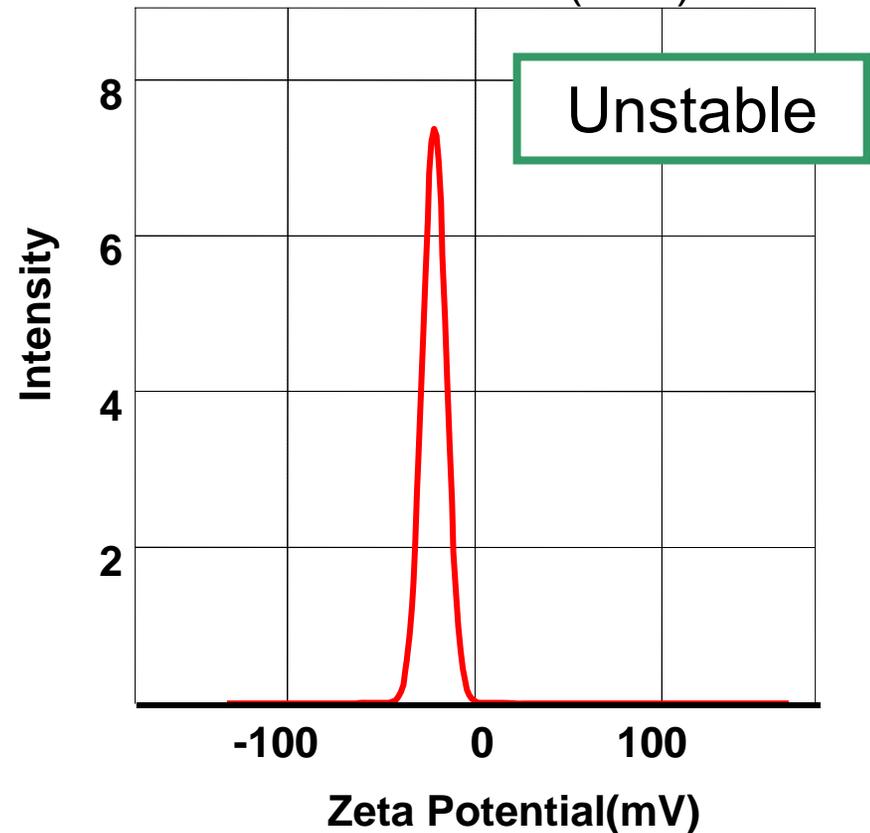
## Formulation A

Mean = -52mV ( $\pm 0.8$ )



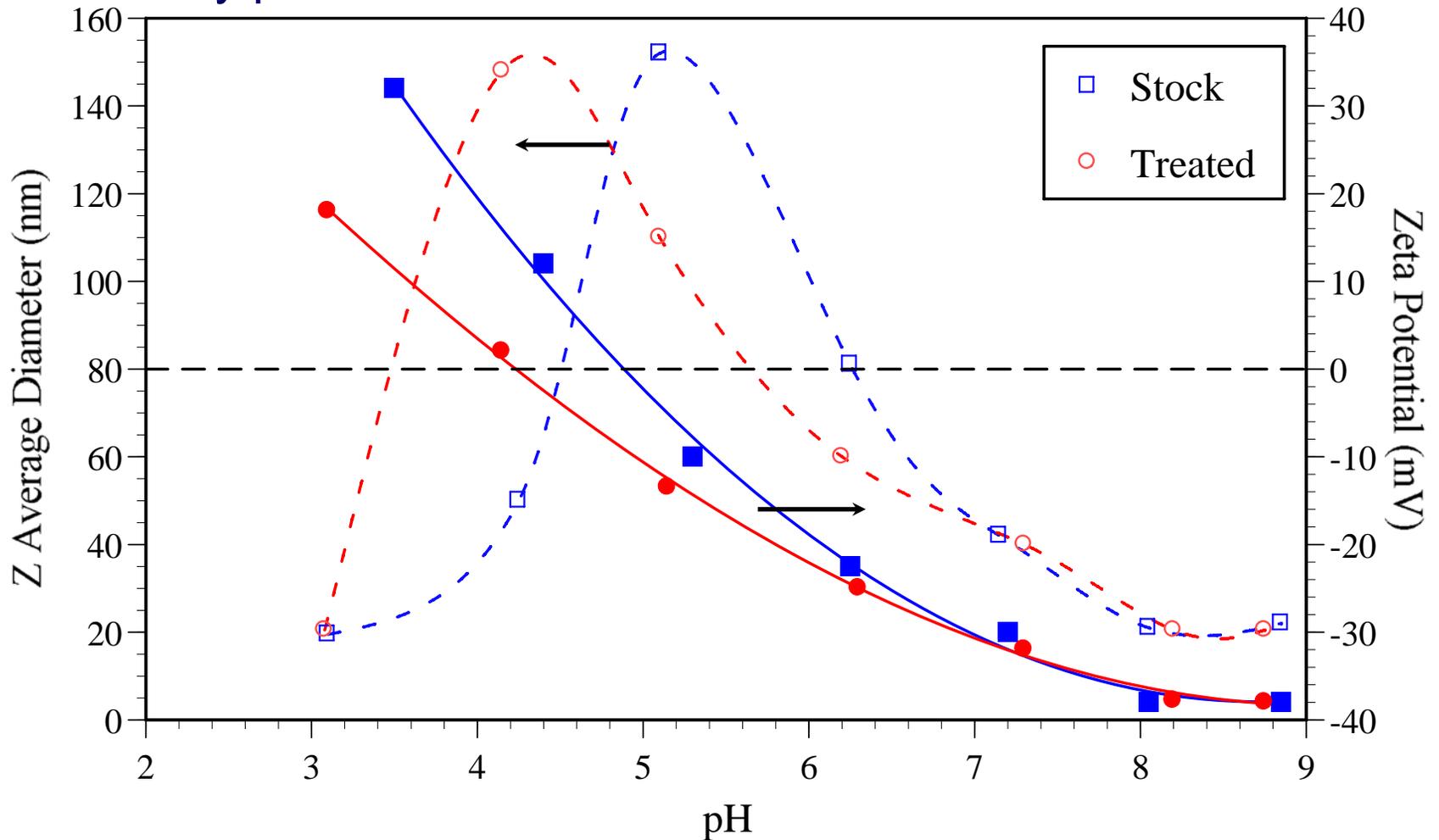
## Formulation B

Mean = -24mV ( $\pm 0.1$ )



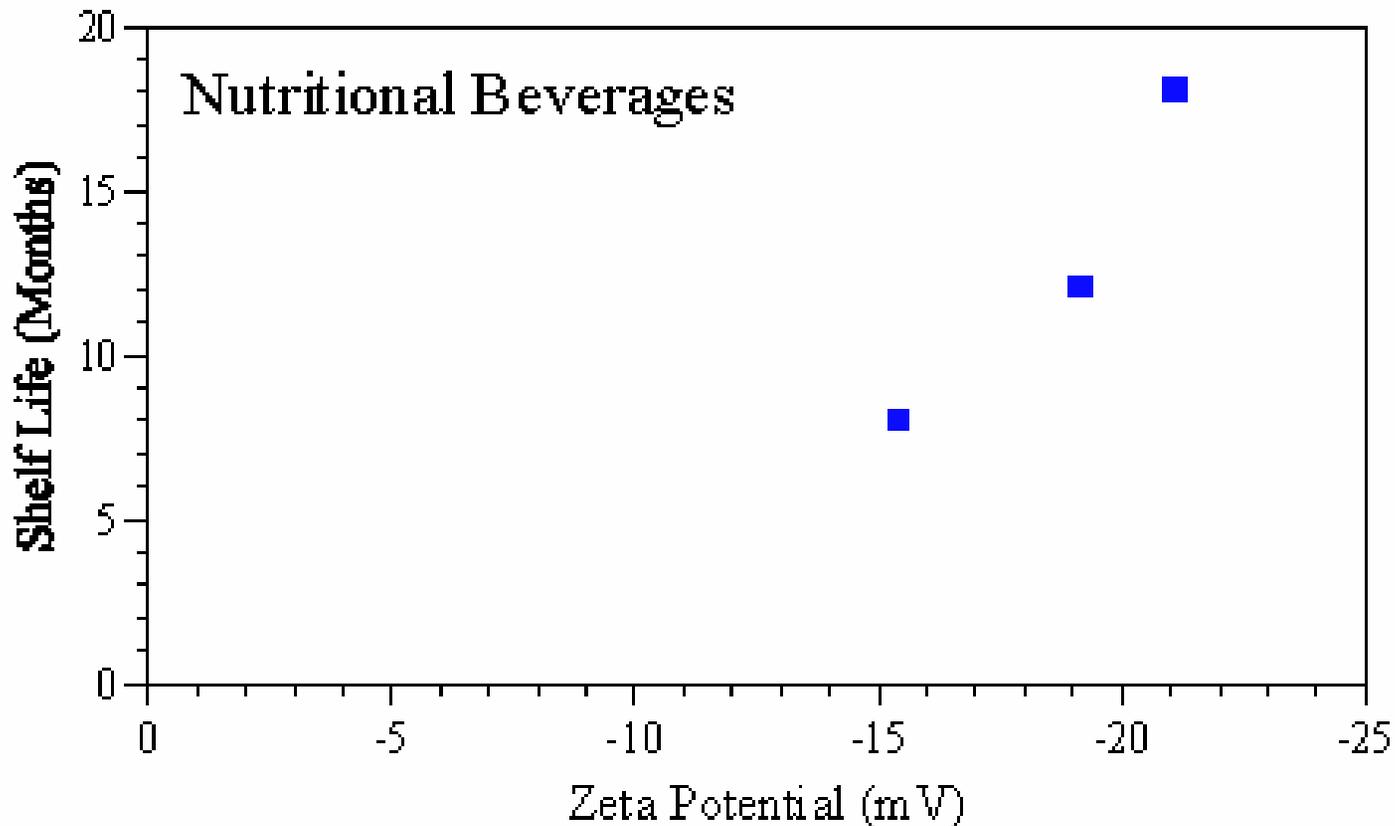
# Prediction & Observation

## Soy protein formulation



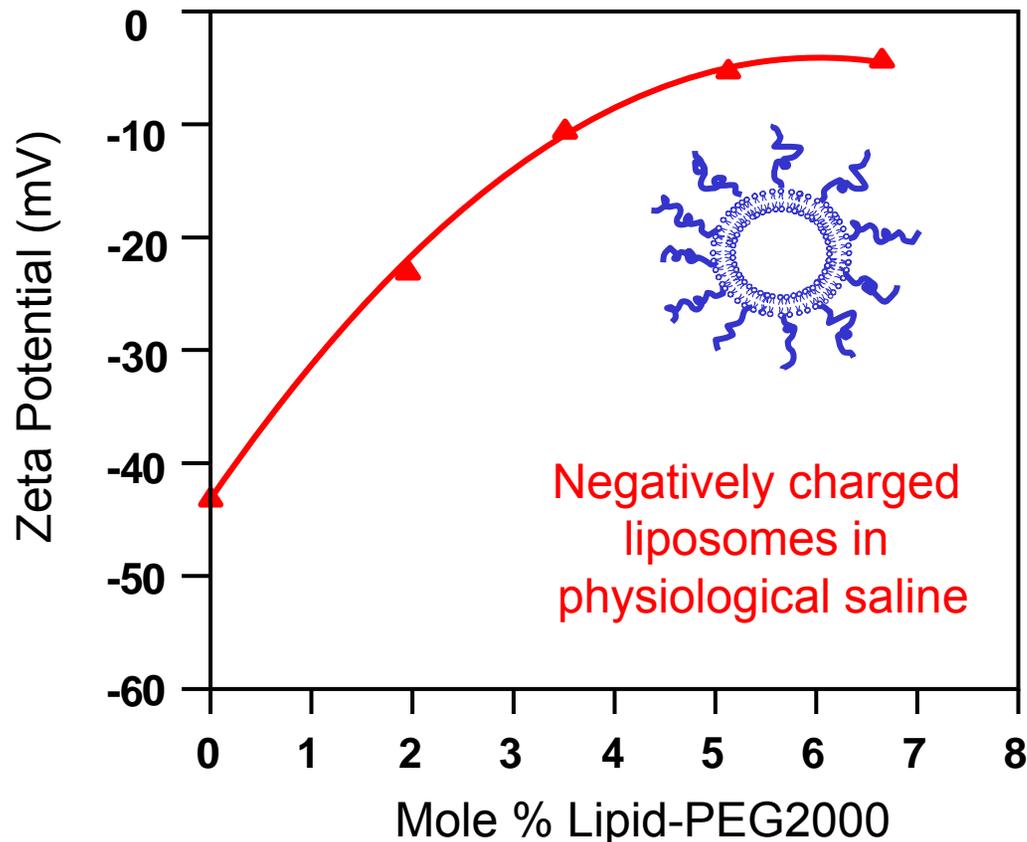
# Zeta Potential - Shelf Life Correlation

Formulations with varying soy fiber content.



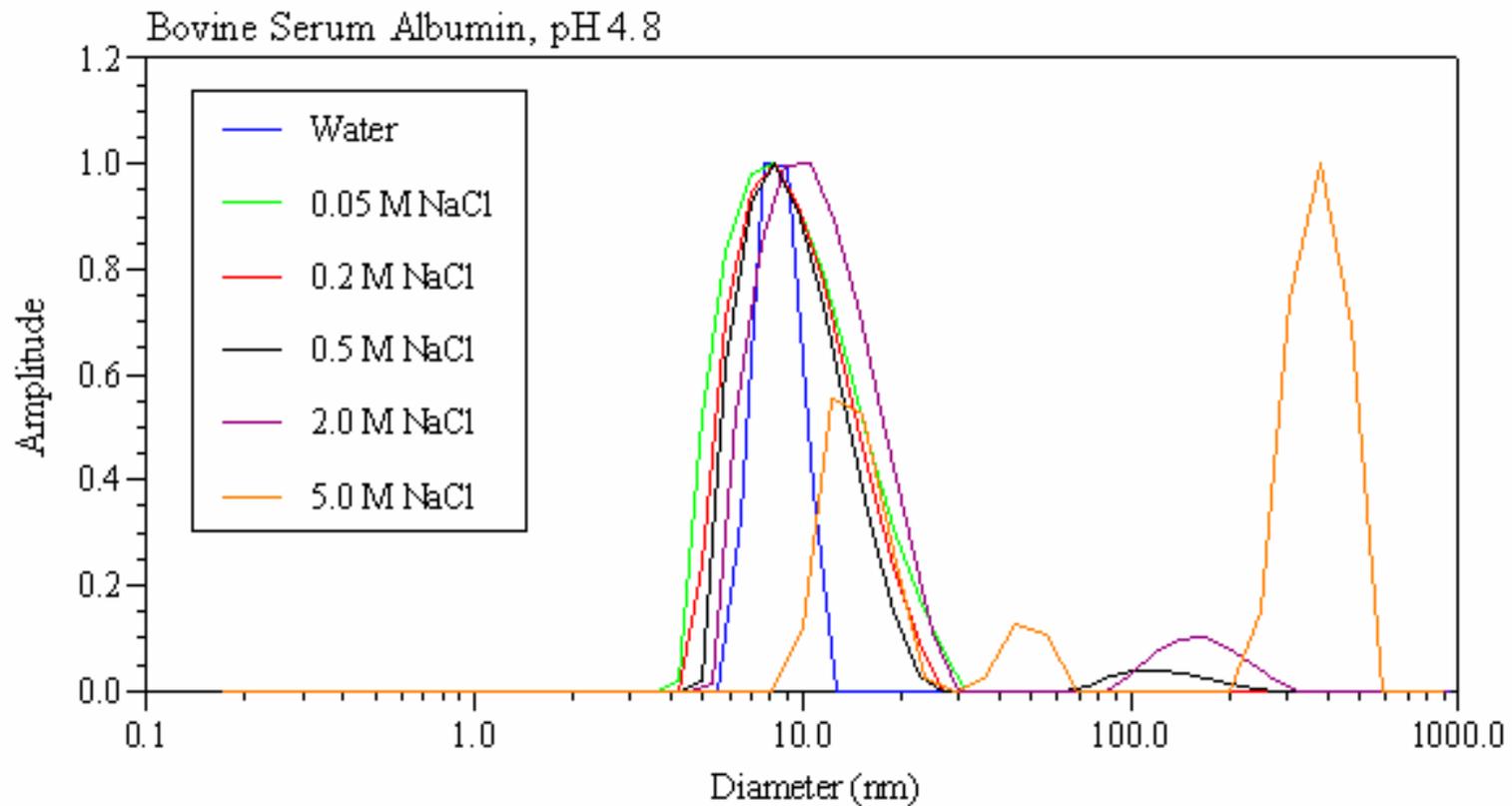
# Electrostatic vs. Steric Stability

Polymer adsorption to cationic liposomes in PBS reduces the electrostatic while enhancing the steric stabilization. All are stable.



# Salt Effects On Aggregation

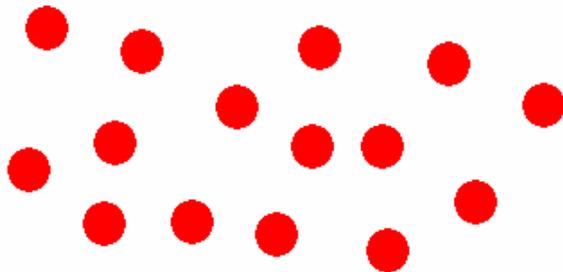
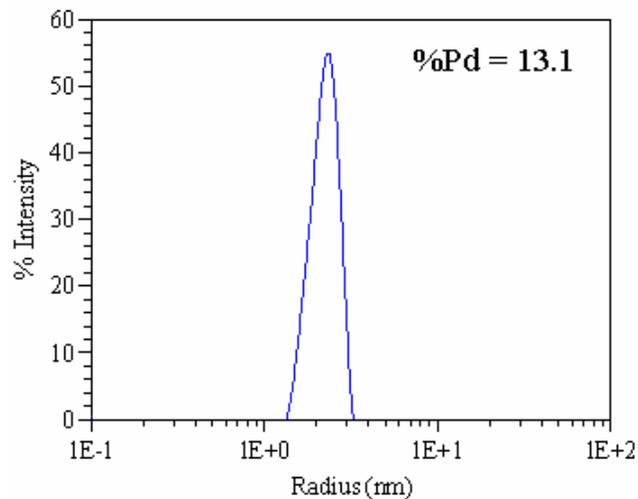
Electrostatic shielding enhances BSA aggregation for NaCl concentrations  $\geq 500$  mM at the isoionic point. But the aggregation is reversible, suggesting that it is “non-denaturing”.



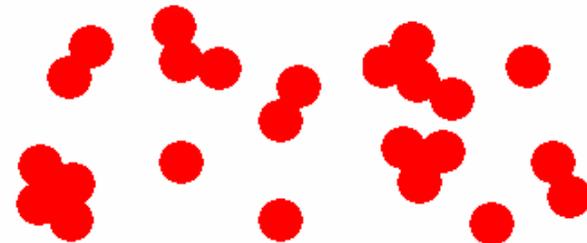
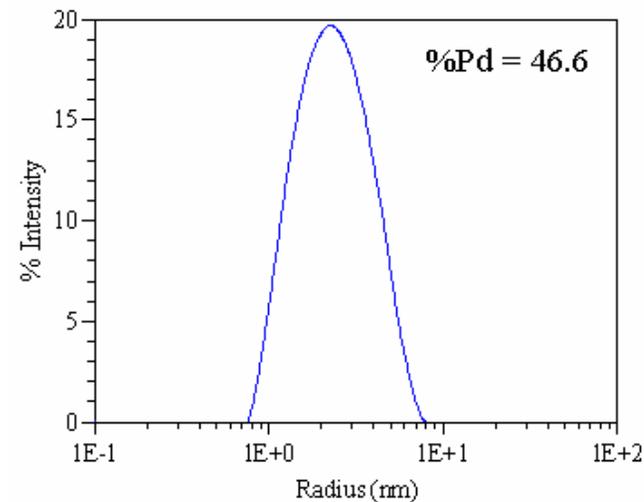
# Polydispersity (Pd) From DLS

Pd is representative of the particle size distribution width, with high polydispersity being indicative of oligomerization and/or aggregation.

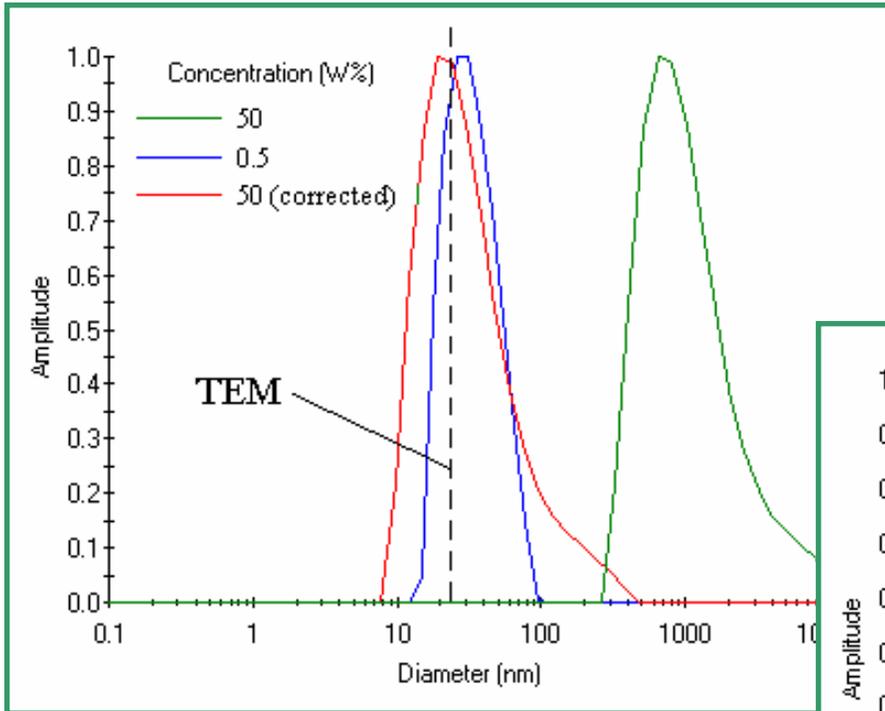
*Monodisperse*



*Polydisperse*

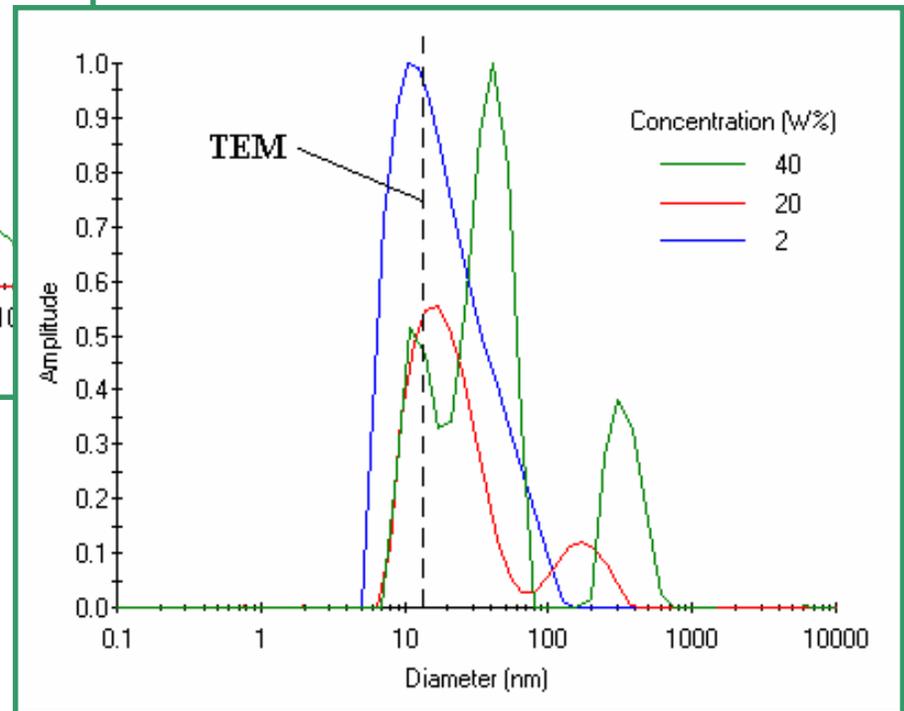


# High Concentration Sizing



**Restricted Diffusion**  
*Stable – Long Shelf Life*

**Equilibrium Aggregation**  
*Unstable – Short Shelf Life*

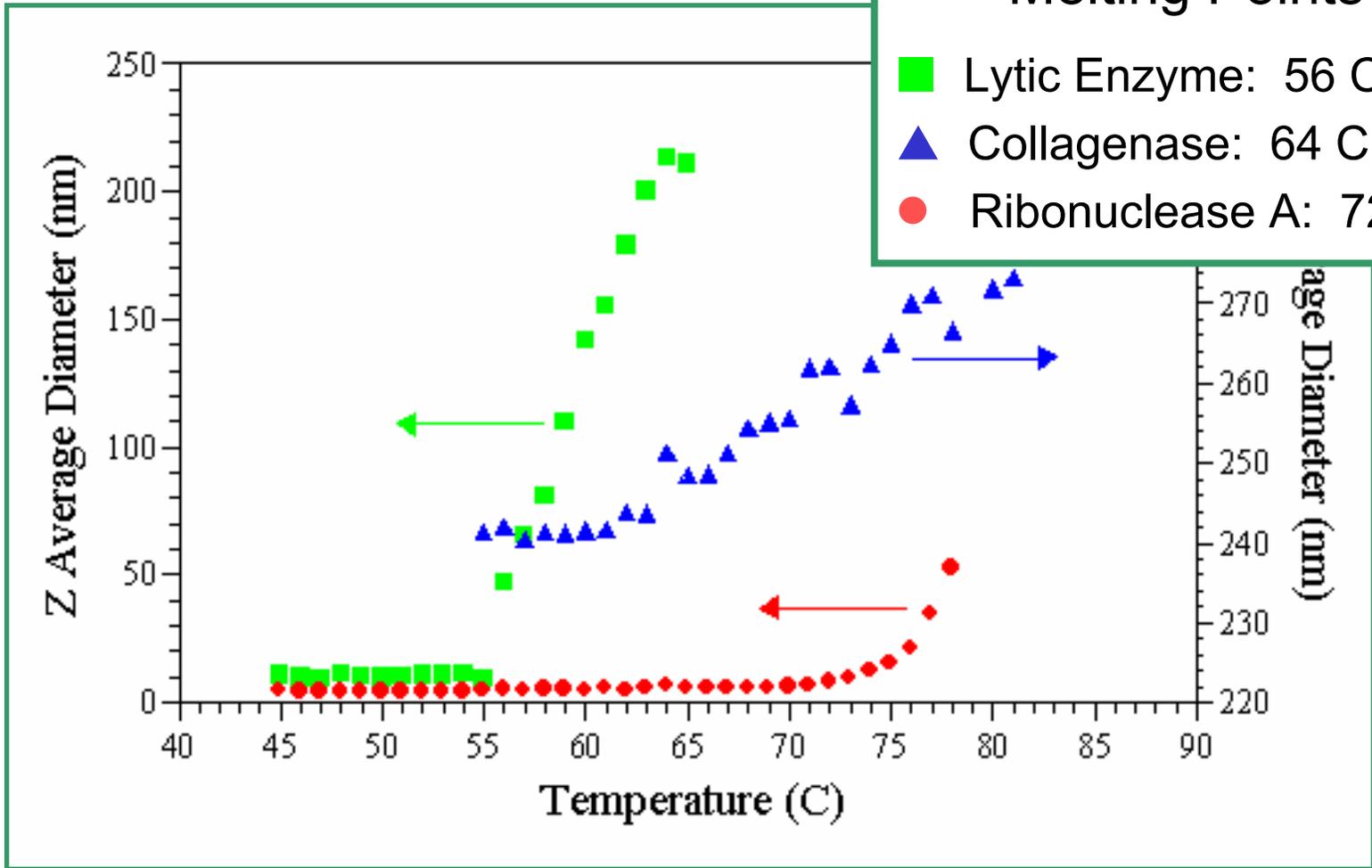


# Using $T_M$ As A Stability Predictor

In phosphate buffered saline

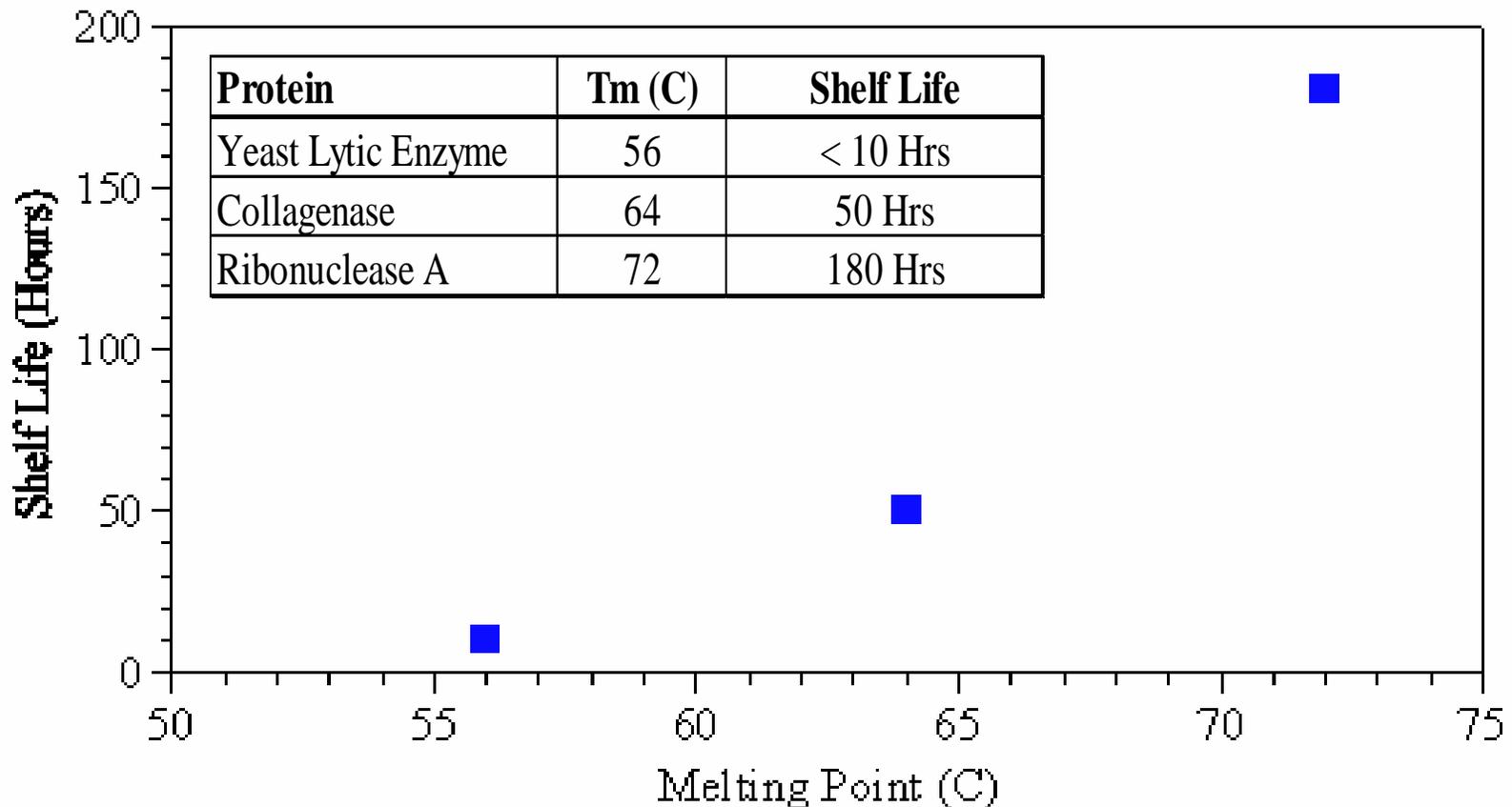
### Melting Points

- Lytic Enzyme: 56 C
- ▲ Collagenase: 64 C
- Ribonuclease A: 72 C



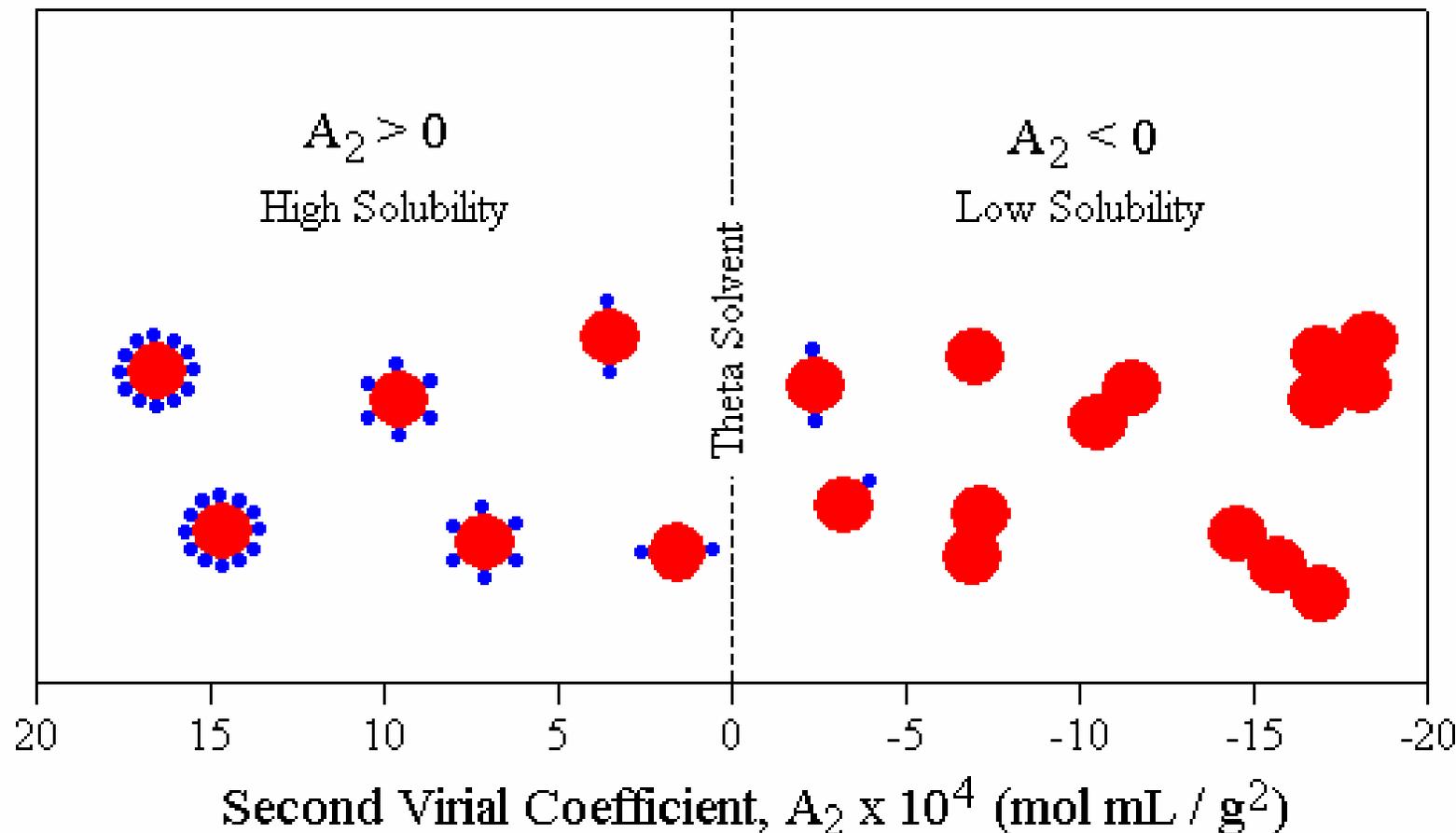
# Melting Point - Shelf Life Correlation

Samples prepared in phosphate buffered saline at 1.0 mg/mL protein concentration.



# Using $A_2$ As A Stability Predictor

$A_2$  is closely correlated with sample solubility



# Batch Mode Challenges – Oligomers!

## ▶ Static LS

### ▪ *Classical*

- elevated weight average Molar Mass ( $M_w$  weight average)
- angle dependent intensity

## ▶ Dynamic LS

### ▪ *Quasi-elastic*

- autocorrelation function cannot be fit to single exponential (Cumulant)
- high polydispersity (%Pd > 15%)

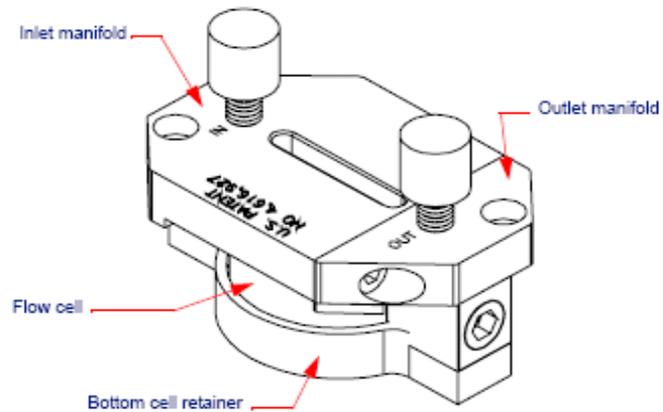
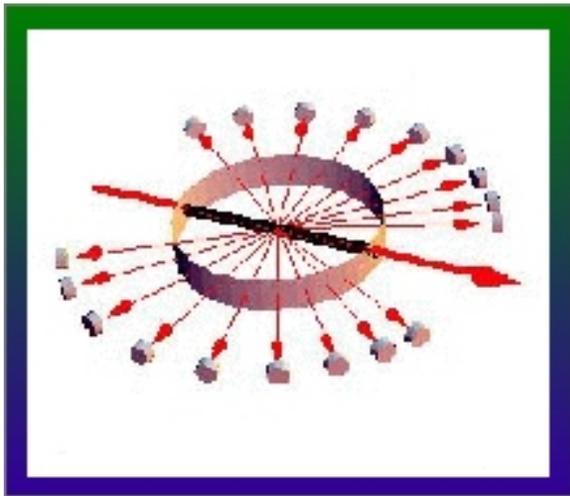
**Missing information: how much and/or what size?**

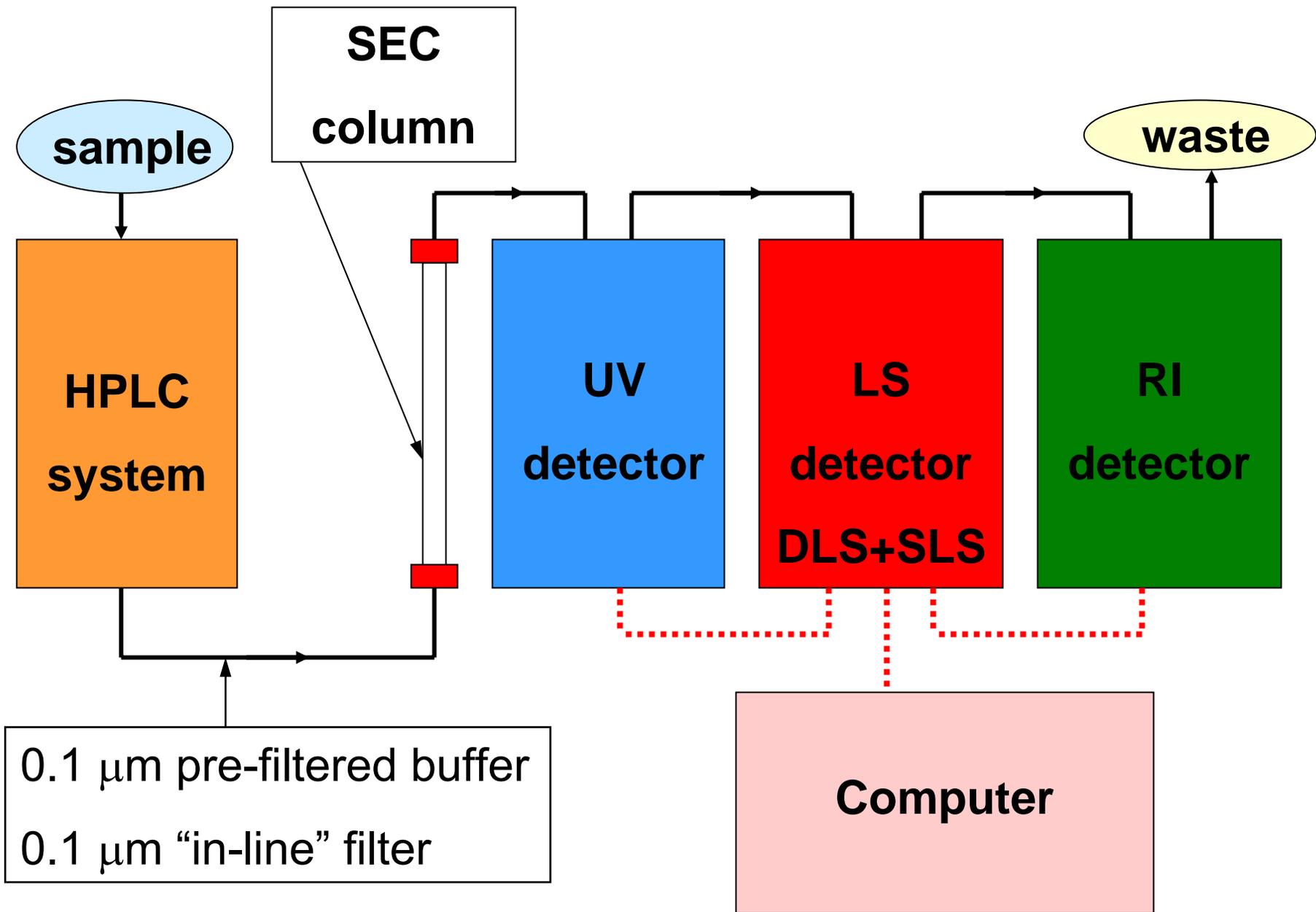
## Solutions

- Sample fractionation followed by batch measurements
- **Column separation with simultaneous LS characterization**

# Light Scattering Applications

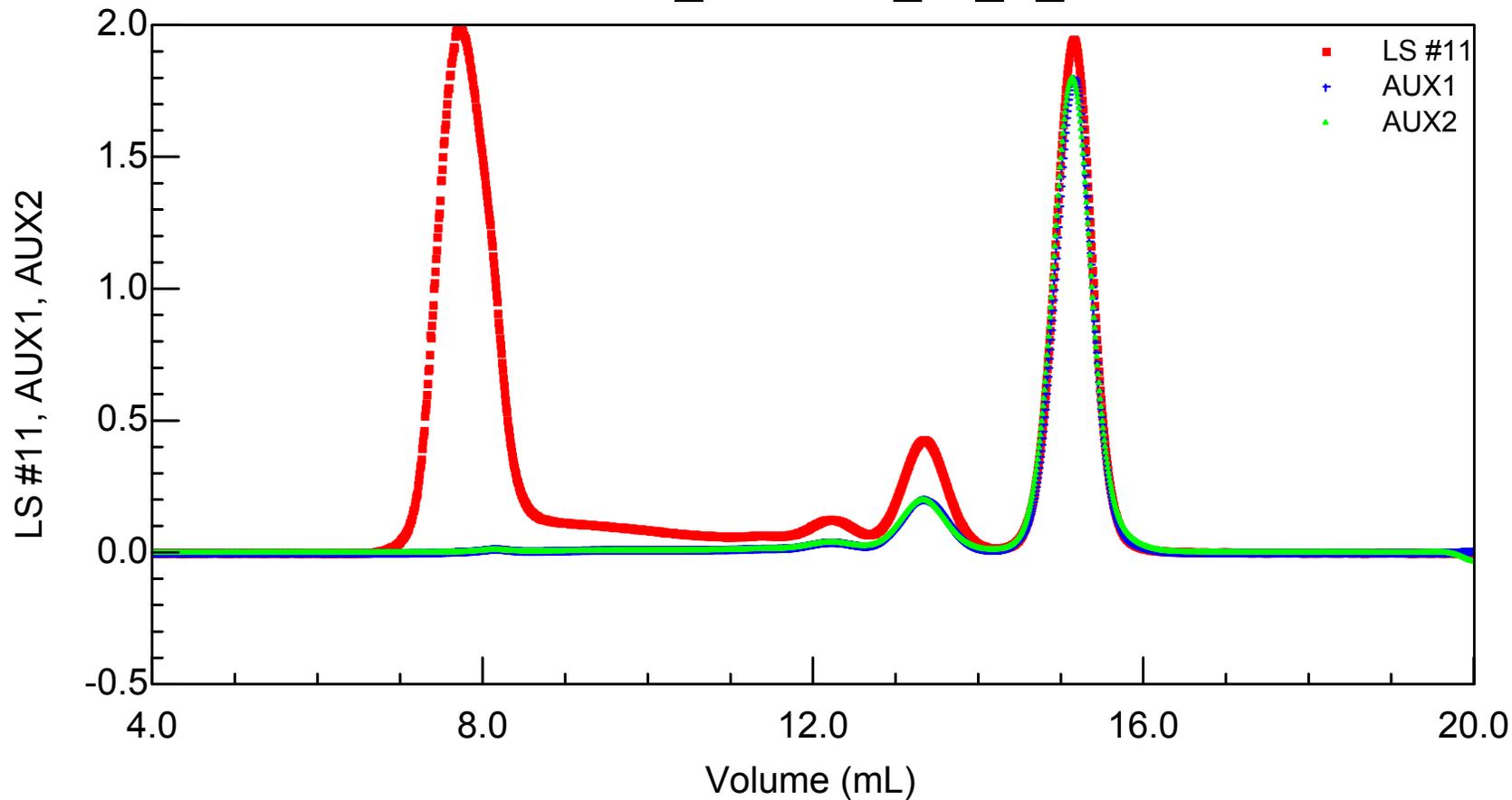
## Flow Mode Measurements





# Three Detector monitoring

Peak ID - Ova\_071305a\_01\_P\_N



— UV at 280 nm

— RI

— LS at 90°

# Ovalbumin 43 kDa

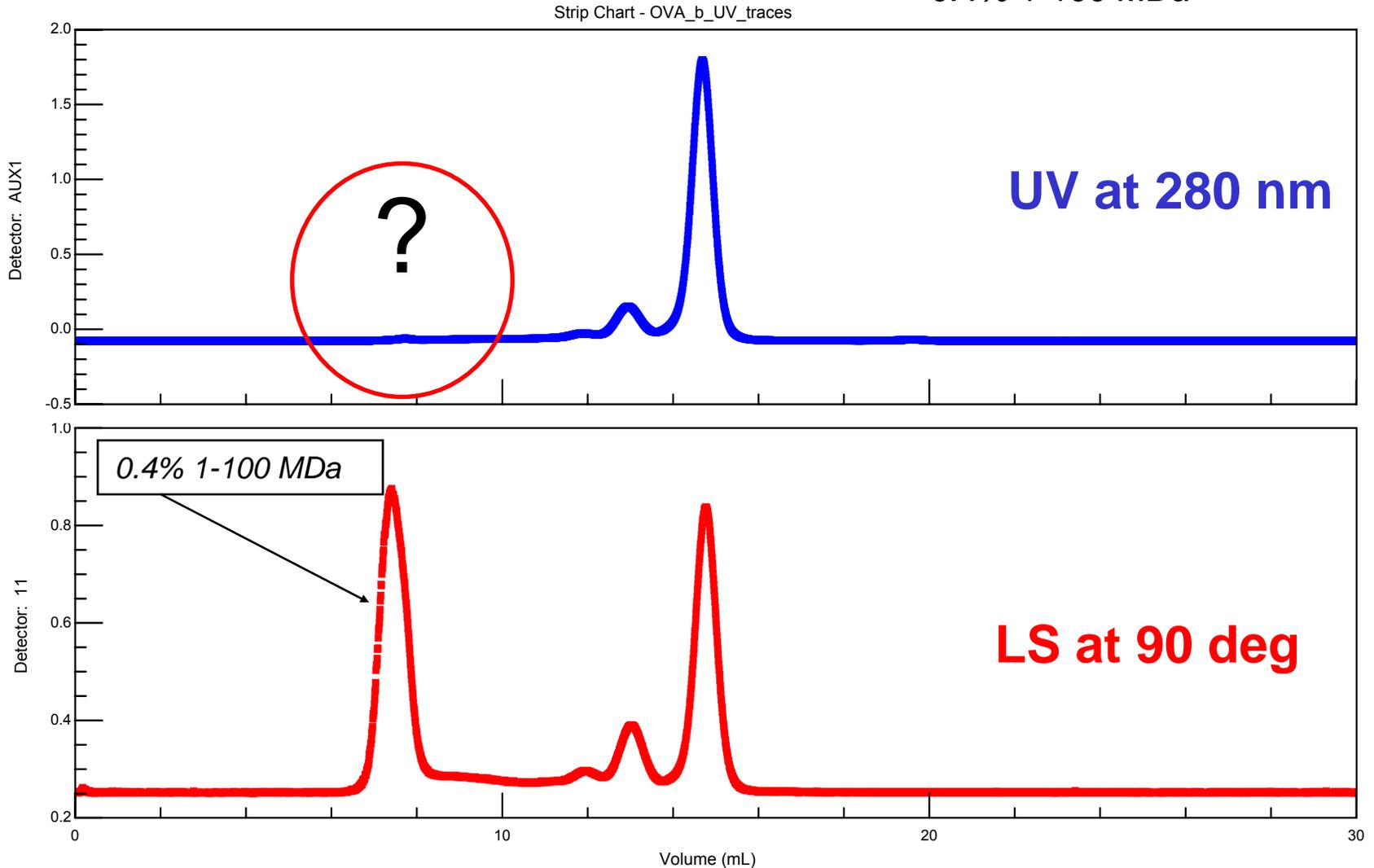
88% monomer

8% dimer

1.5% trimer

3% aggregates < 1MDa

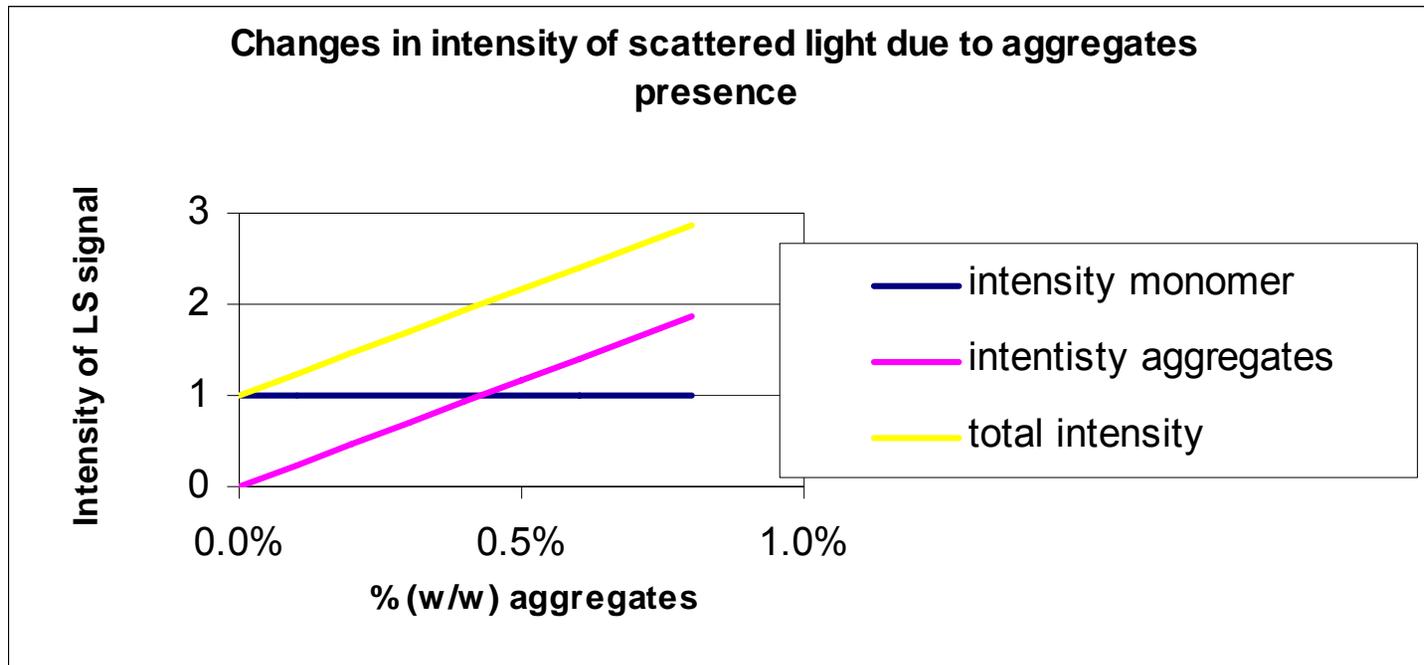
0.4% 1-100 MDa



Intensity of scattered light  $\sim M_w * c$

due to their high Mw aggregates scatter very strongly

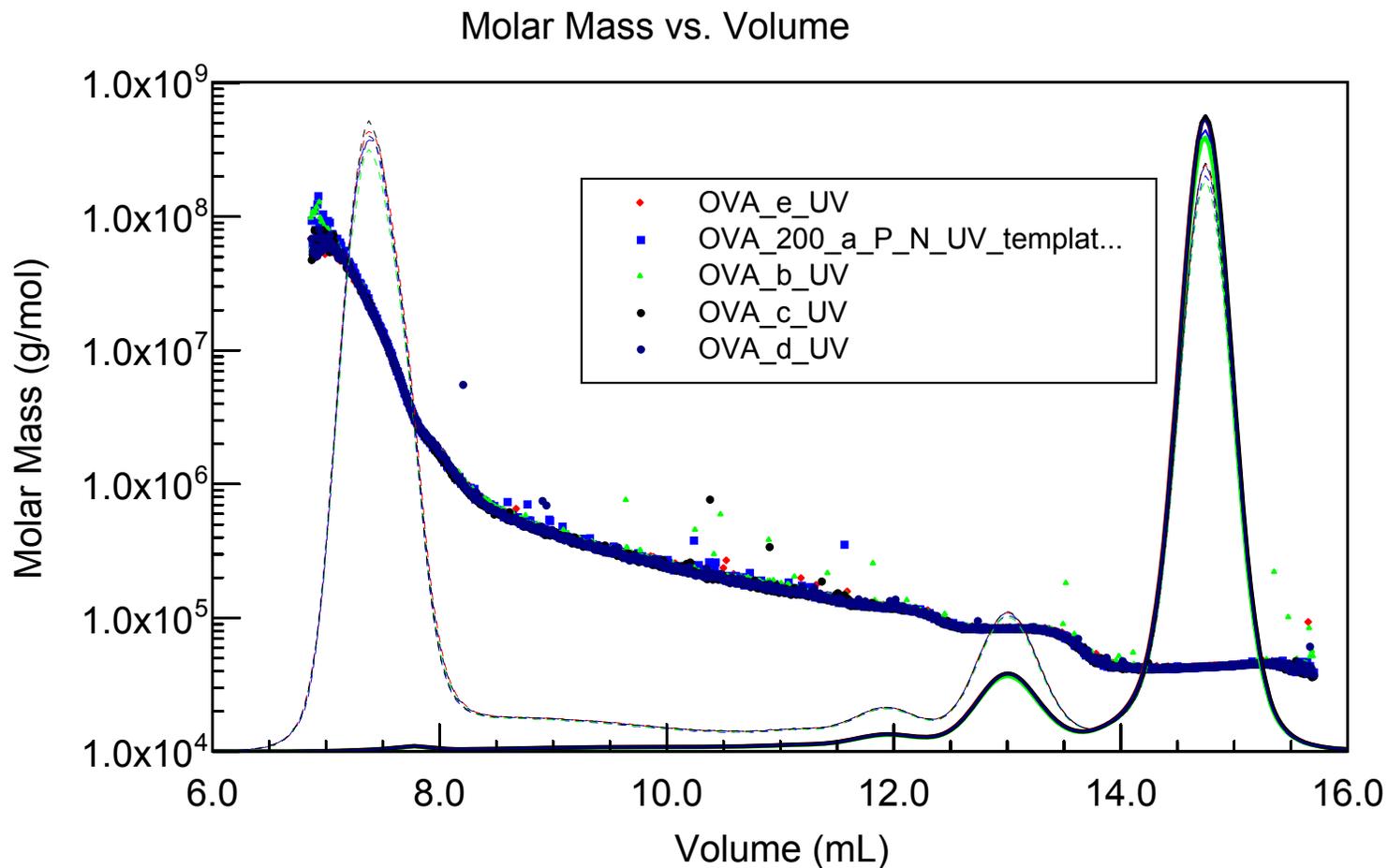
*A monomeric protein 43 kDa and aggregates 10 MDa at 2 mg/mL:*



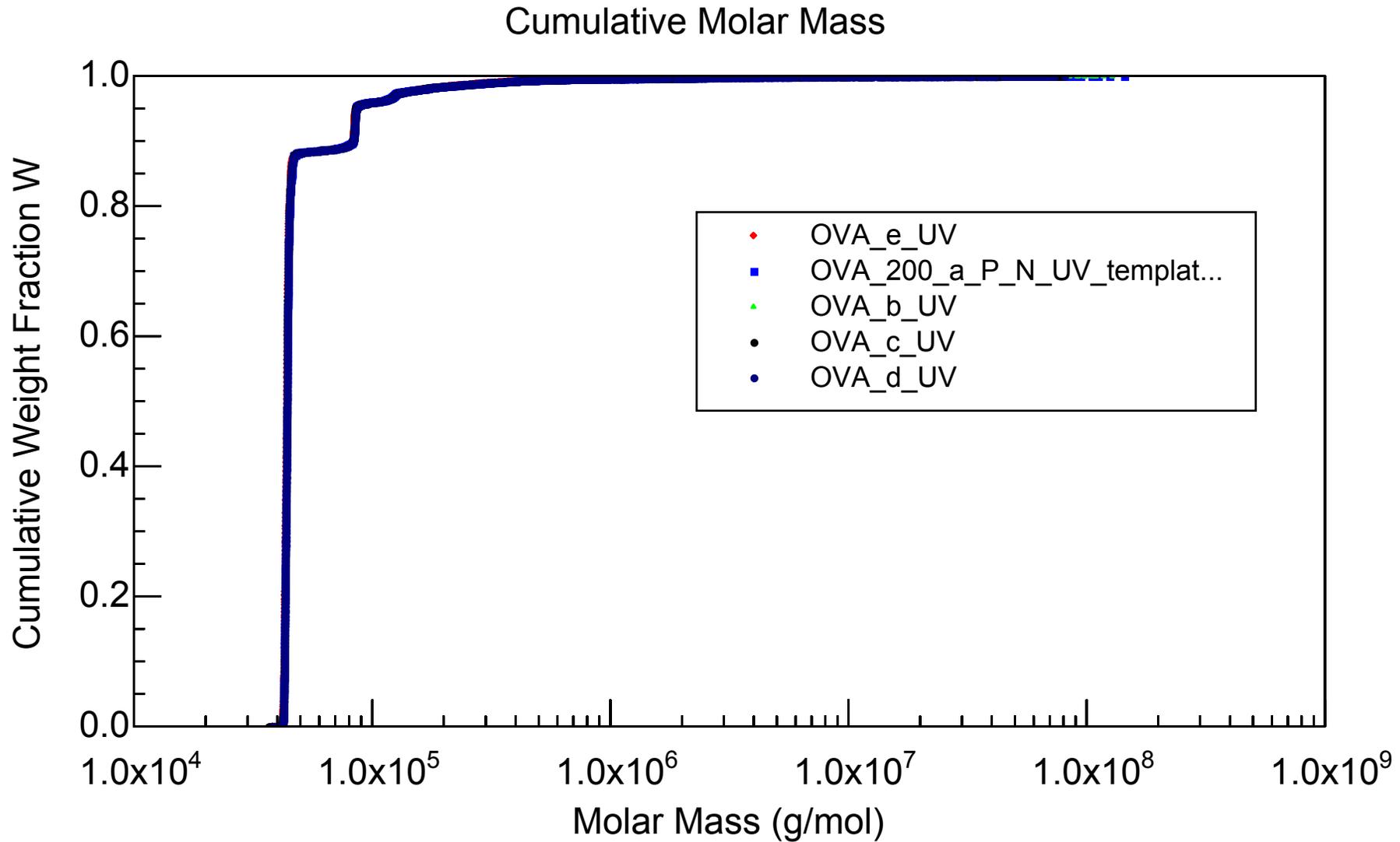
# Molar mass distribution for multiple analyses

**Ovalbumin 43 kDa**

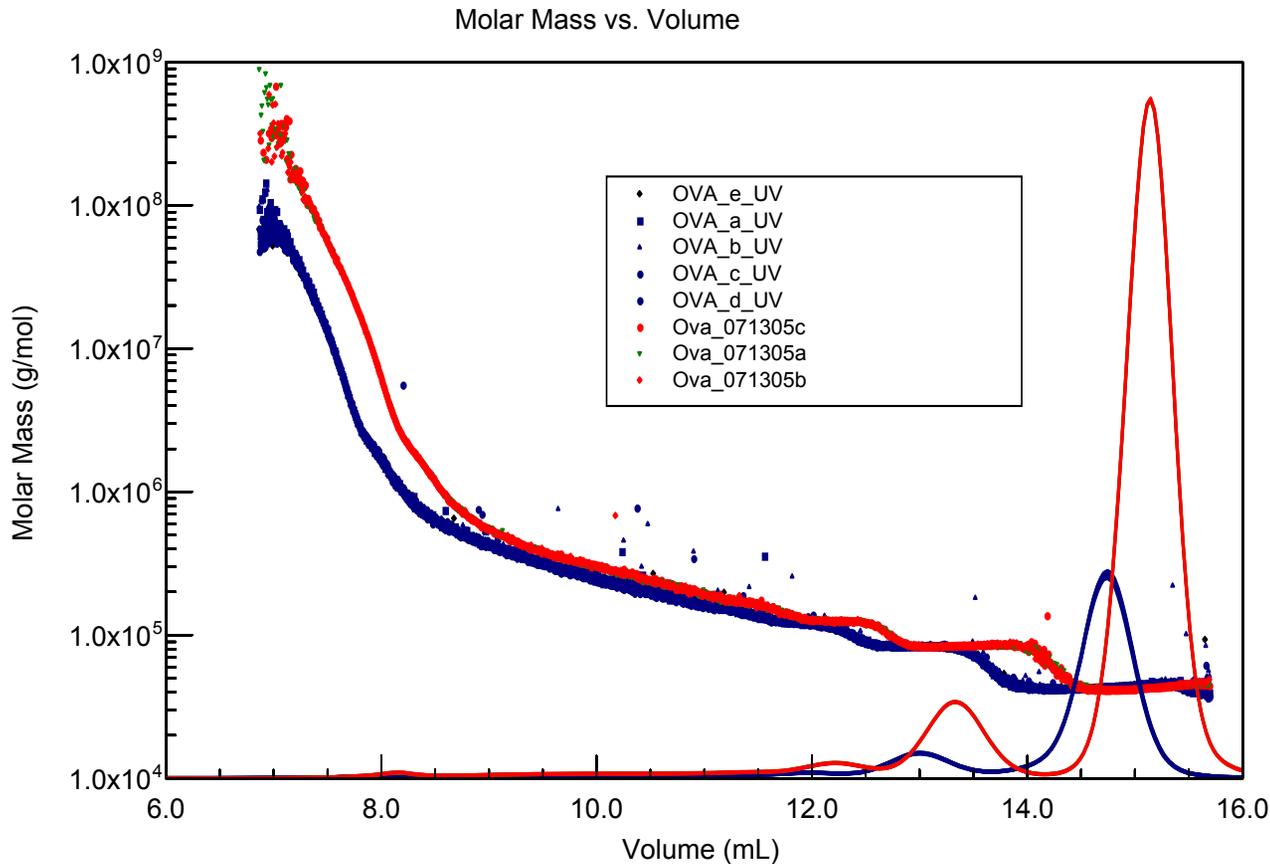
automated template processing of five data sets



# Determination of Weight Fractions



# Differences in population based on molar mass distribution



**Ovalbumin (5 runs)**

**Mw =  $108 \pm 17$  kDa**

**Polydispersity Mw/Mn**

**$2.3 \pm 0.4$**

**Ovalbumin (3 runs)**

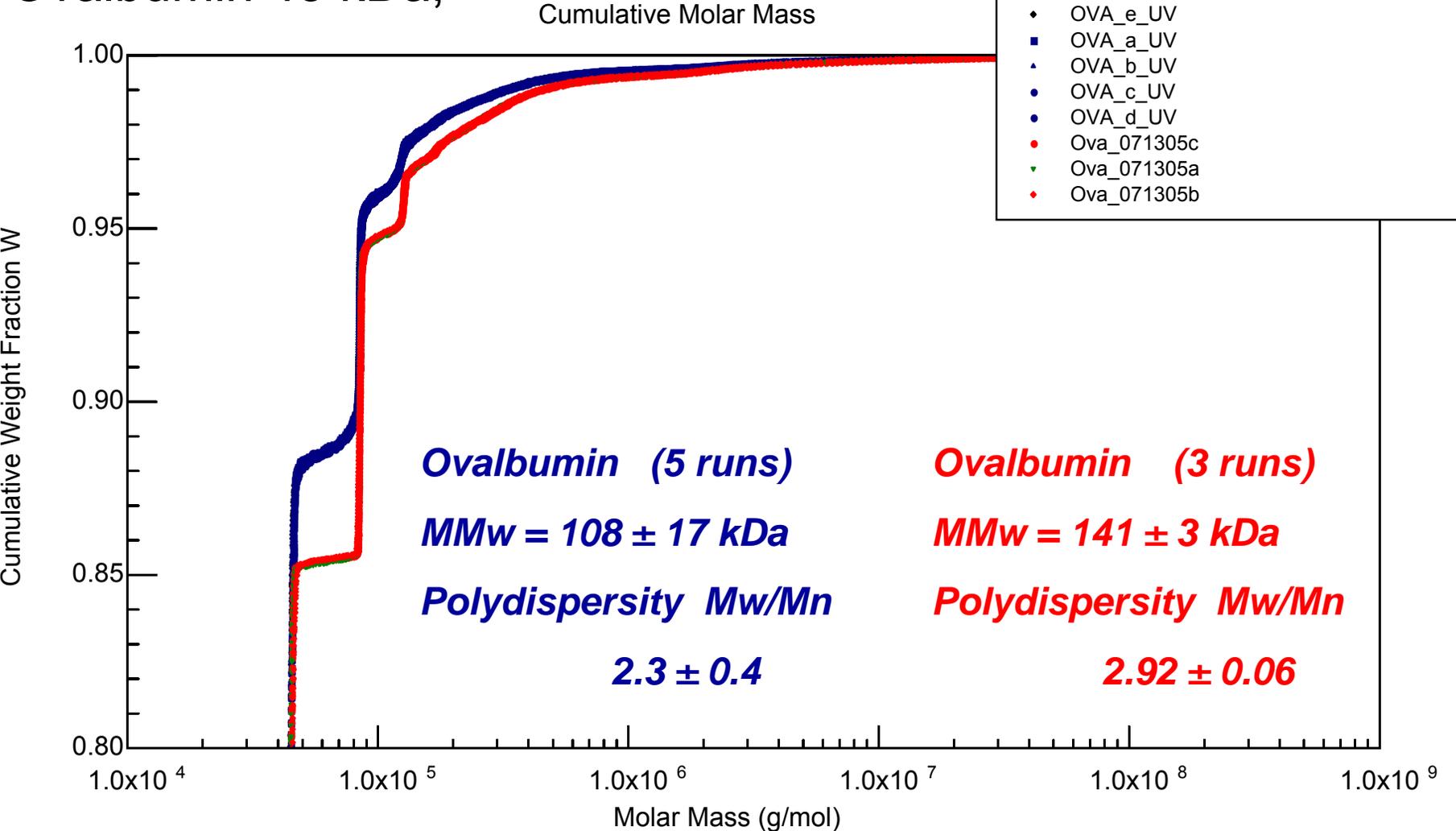
**Mw =  $141 \pm 3$  kDa**

**Polydispersity Mw/Mn**

**$2.92 \pm 0.06$**

# Differences in population based on molar mass distribution

Ovalbumin 43 kDa,



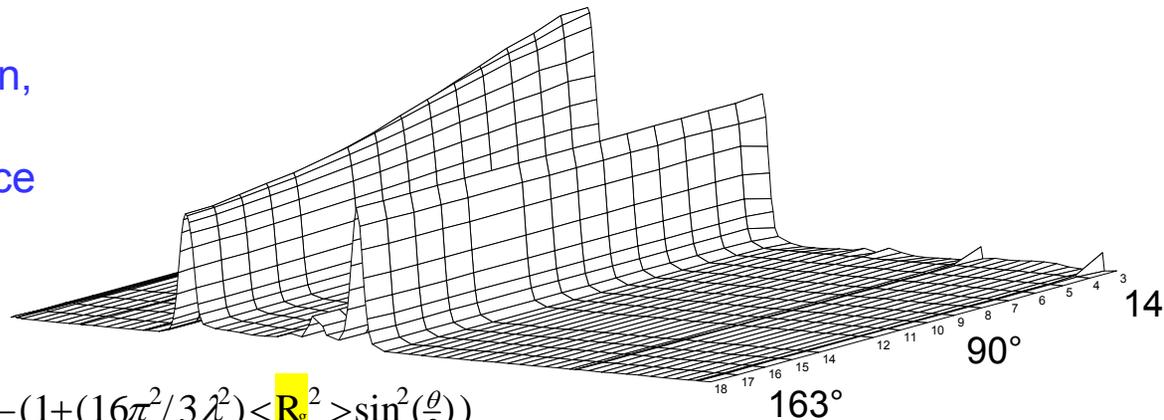
# Differences in population based on molar mass distribution

## Ovalbumin 43 kDa

Oligomeric state	Average Mw $\pm$ SD [kDa] (5 analyses)	Average Mw $\pm$ SD [kDa] (3 analyses)	Fraction of Mass [% of total] (5 analyses)	Fraction of Mass [% of total] (3 analyses)
	Mw = 108 $\pm$ 17	Mw = 141 $\pm$ 3	Mw = 108 $\pm$ 17	Mw = 141 $\pm$ 3
Mono (20-50 kDa)	43.0 $\pm$ 0.1	42.80 $\pm$ 0.02	88.1 $\pm$ 0.1	85.23 $\pm$ 0.06
Di (50-96 kDa)	82.7 $\pm$ 0.4	84.1 $\pm$ 0.2	7.68 $\pm$ 0.04	9.4 $\pm$ 0.0
Tri (96-130 kDa)	114 $\pm$ 4	121.8 $\pm$ 0.7	1.54 $\pm$ 0.05	1.9 $\pm$ 0.0
Agg. (0.13 –1 MDa)	270 $\pm$ 10	284 $\pm$ 2	2.18 $\pm$ 0.08	2.87 $\pm$ 0.06
Agg. (1 –100 MDa)	10 $\pm$ 1 $\times 10^3$	10.9 $\pm$ 0.4 $\times 10^3$	0.4 $\pm$ 0.0	0.6 $\pm$ 0.0

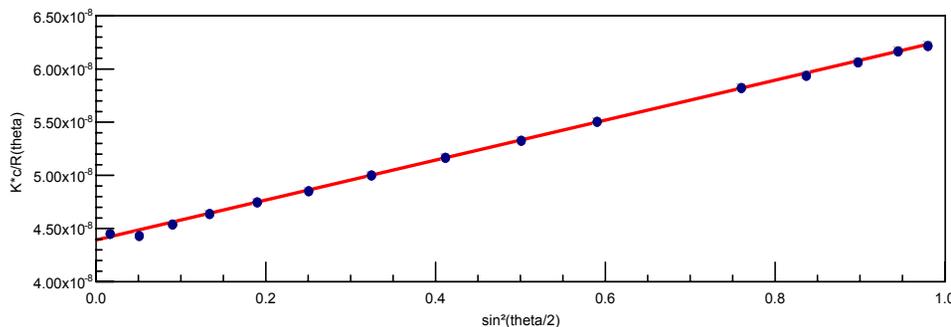
# Morphology of aggregates from angular dependence of LS signal; size determination- Rg

Determination of radius of gyration,  $R_g$ , (root mean square radius, R.M.S.,) from angular dependence of scattered light



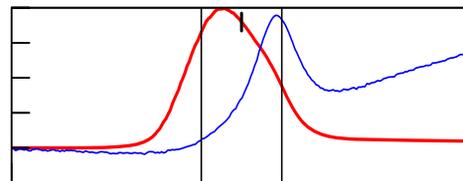
## Zimm Plot

$$\frac{K^*c}{R(\theta)} = \frac{1}{M_w} (1 + (16\pi^2/3\lambda^2) \langle R_g^2 \rangle \sin^2(\frac{\theta}{2}))$$

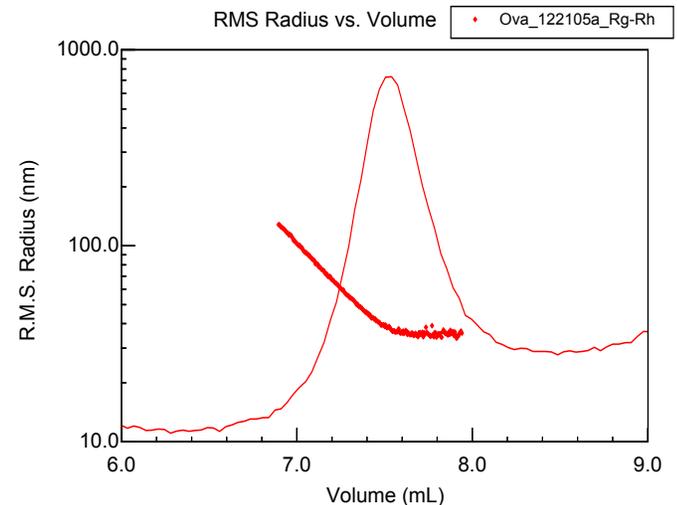


Peak, Slice : 1, 944  
 Volume : 7.867 mL  
 Fit degree : 1  
 Conc. :  $(1.915 \pm 0.020)e-6$  g/mL  
 Mw :  $(2.277 \pm 0.024)e+7$  g/mol

Radius: 46.8±0.2 nm



90° & AUX detector



# Inferring conformational information from the relationship between molecular size ( $R_g$ ) and molecular weight (Molar Mass)

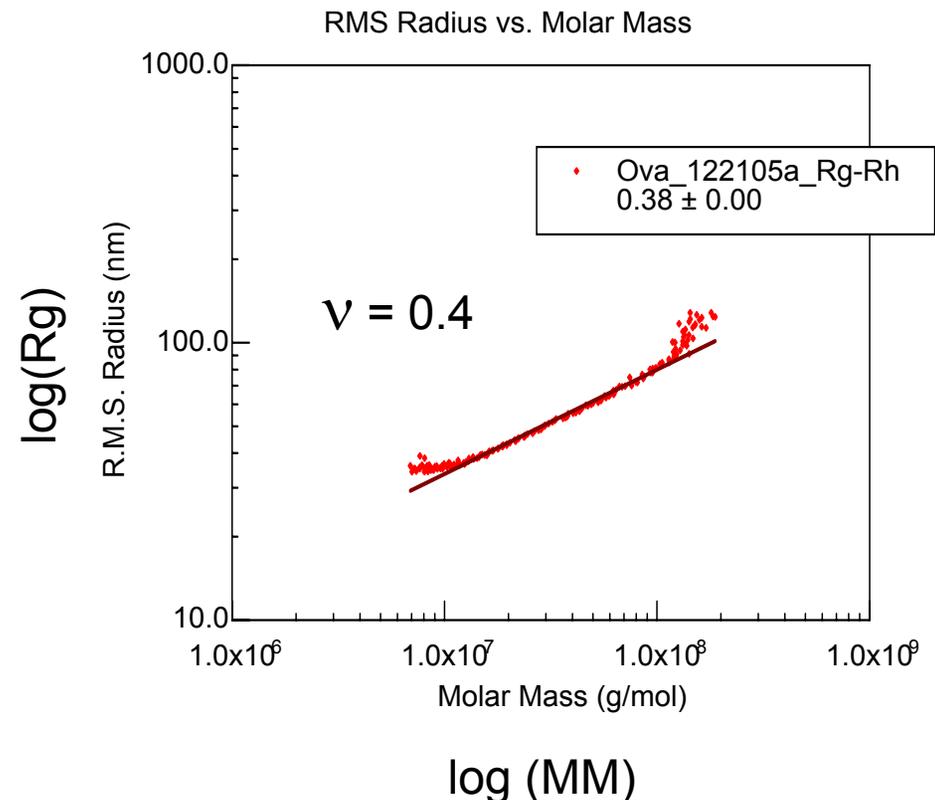
$$R_g \sim M^{\nu}$$

$\log(R_g)$  versus  $\log(MM)$

Slope =  $\nu$

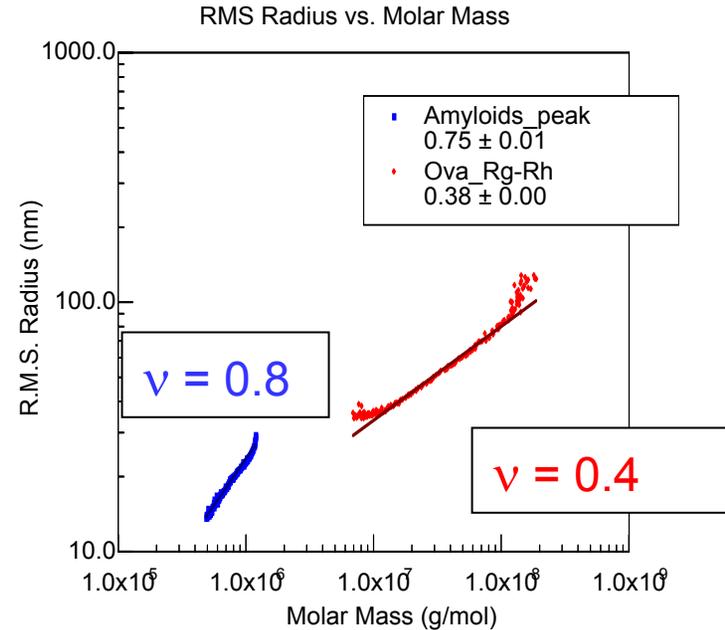
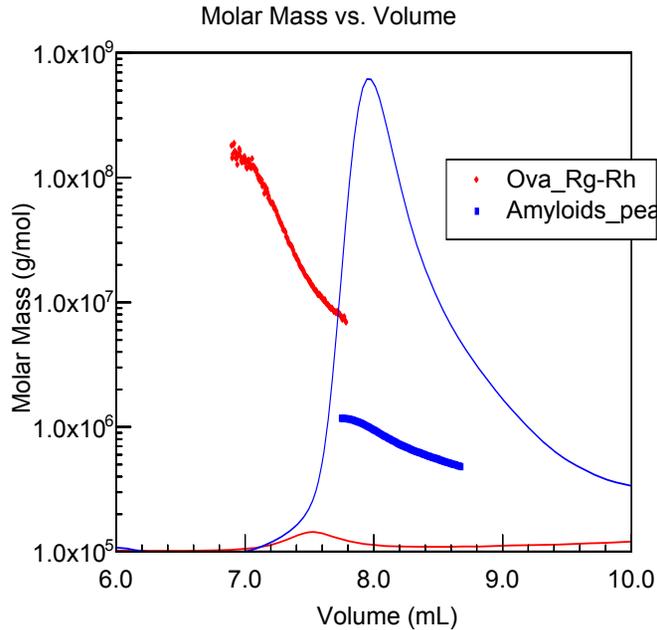
For	$\nu$
Sphere	0.33
Coil	0.5
Rod	1

Rollings, J.E. (1992) in "Laser Light Scattering in Biochemistry", Eds. S.E. Harding, D. B. Sattelle and V. A. Bloomfield; p. 275-293



# Shape analysis: $\log(R_g)$ versus $\log(MM)$

Aggregates of **Ovalbumin** vs. “amyloid-type” fibers



For	$v$
Sphere	0.33
Coil	0.5
Rod	1

Ova\_aggr  $v = 0.4$  Sphere/Coil

Amyloids  $v = 0.8$  Coil/Rod

# Shape analysis: shape factor $\rho = R_g/R_h$

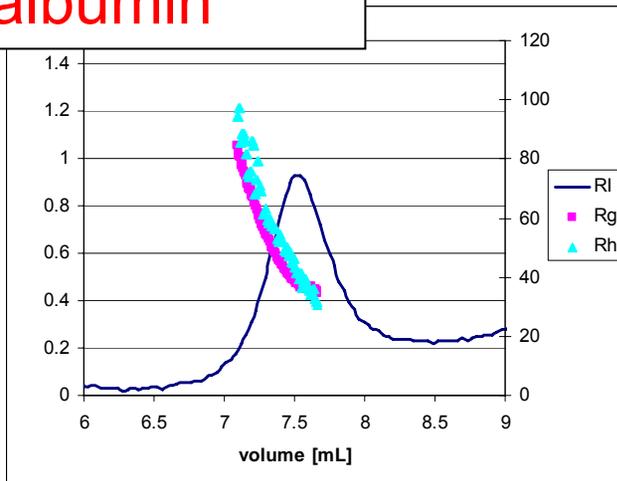
Aggregates of **Ovalbumin** vs. amyloid fibers

Shape factor:  $\rho = R_g/R_h$

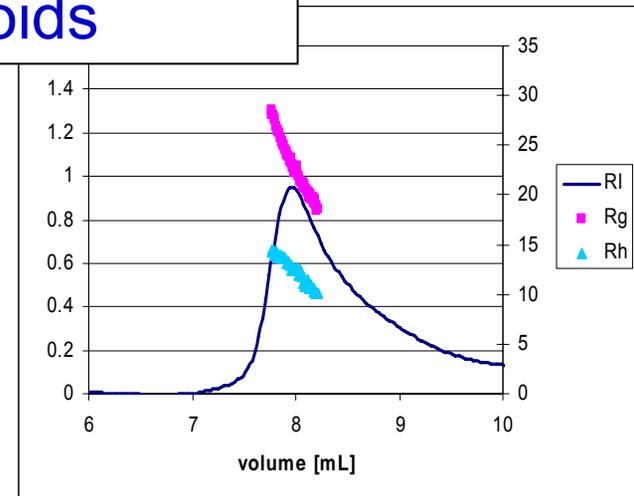
Combination of MALS ( $R_g$ ) and DLS ( $R_h$ )

For	$\rho = R_g/R_h$
Sphere	0.774
Coil	0.816
Rod	1.732

## Ovalbumin



## Amyloids

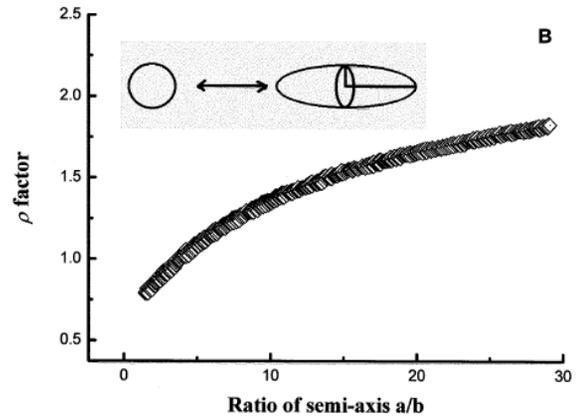


# Shape analysis: shape factor $\rho = R_g/R_h$

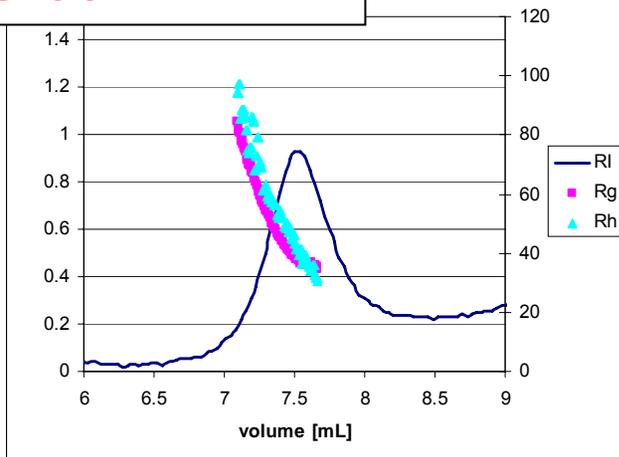
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor:  $\rho = R_g/R_h$

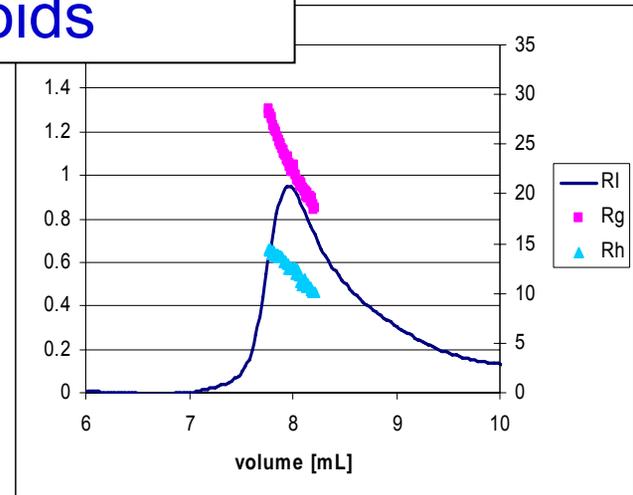
Combination of MALS ( $R_g$ ) and DLS ( $R_h$ )



**Ovalbumin**



**Amyloids**

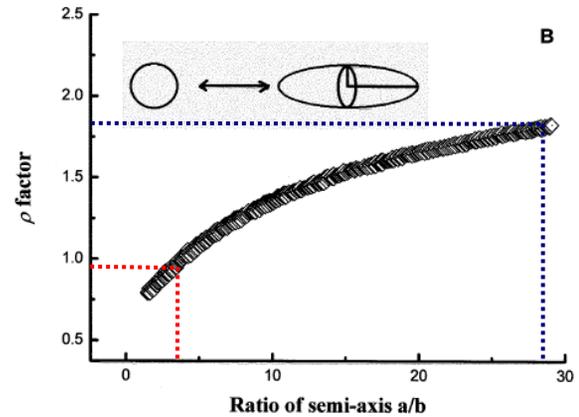


# Shape analysis: shape factor $\rho = R_g/R_h$

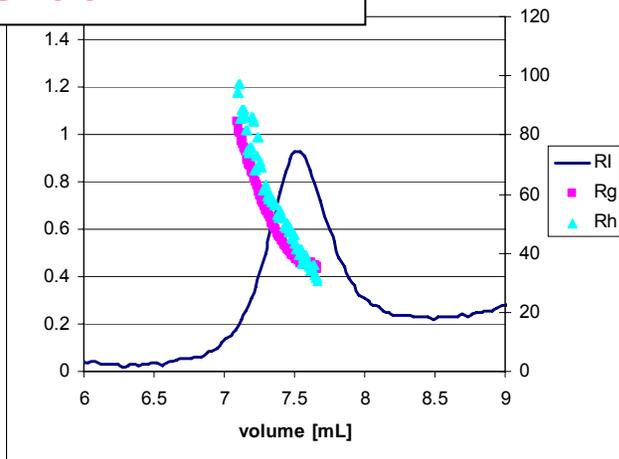
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor:  $\rho = R_g/R_h$

Combination of MALS ( $R_g$ ) and DLS ( $R_h$ )

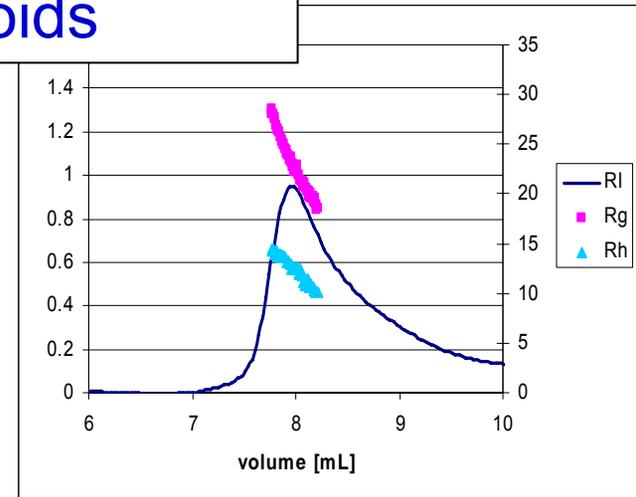


## Ovalbumin



$R_g/R_h = 0.91$  Coil

## Amyloids



$R_g/R_h = 1.84$  Rod

# Shape analysis: shape factor $\rho = R_g/R_h$

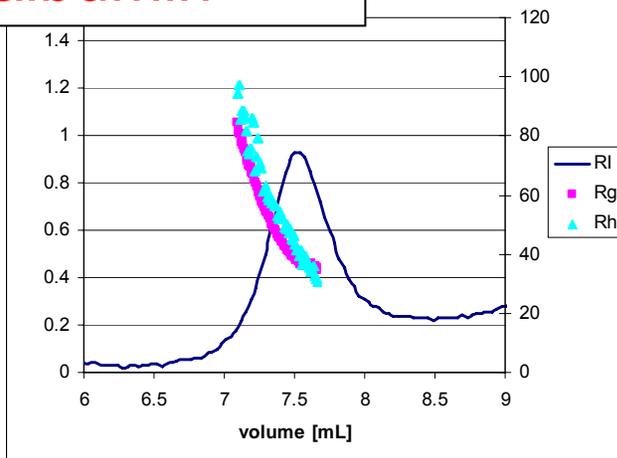
Aggregates of **Ovalbumin** vs. **amyloid fibers**

Shape factor:  $\rho = R_g/R_h$

Combination of MALS ( $R_g$ ) and DLS ( $R_h$ )

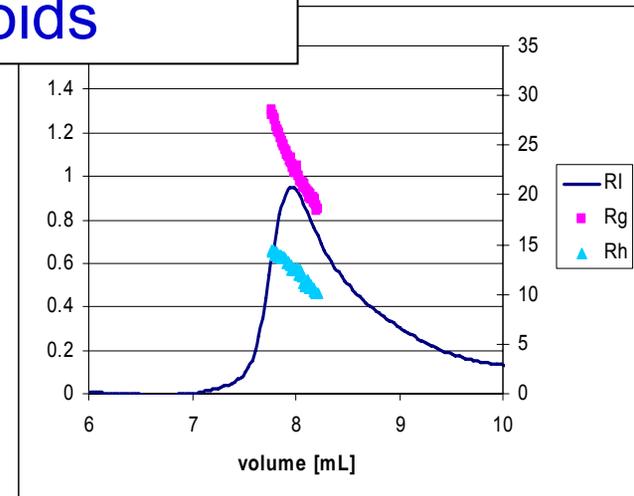
For	$\rho = R_g/R_h$
Sphere	0.774
Coil	0.816
Rod	1.732

## Ovalbumin



$R_g/R_h = 0.91$  Coil

## Amyloids



$R_g/R_h = 1.84$  Rod

Ova\_aggr  $v = 0.4$  Sphere/Coil

Amyloids  $v = 0.8$  Coil/Rod

# Determination of the oligomeric state of modified protein from SEC/LS analysis

1. Glycosylated proteins
2. Proteins conjugated with polyethylene glycol
3. Membrane protein present as a complex with lipids and detergents

## Input:

- Polypeptide sequence
- Chemical nature of the modifier

## Results:

- Oligomeric state of the polypeptide
- Extend of modification (grams of modifier /gram of polypeptide)

**“three detector method”**

# Three Detector Method

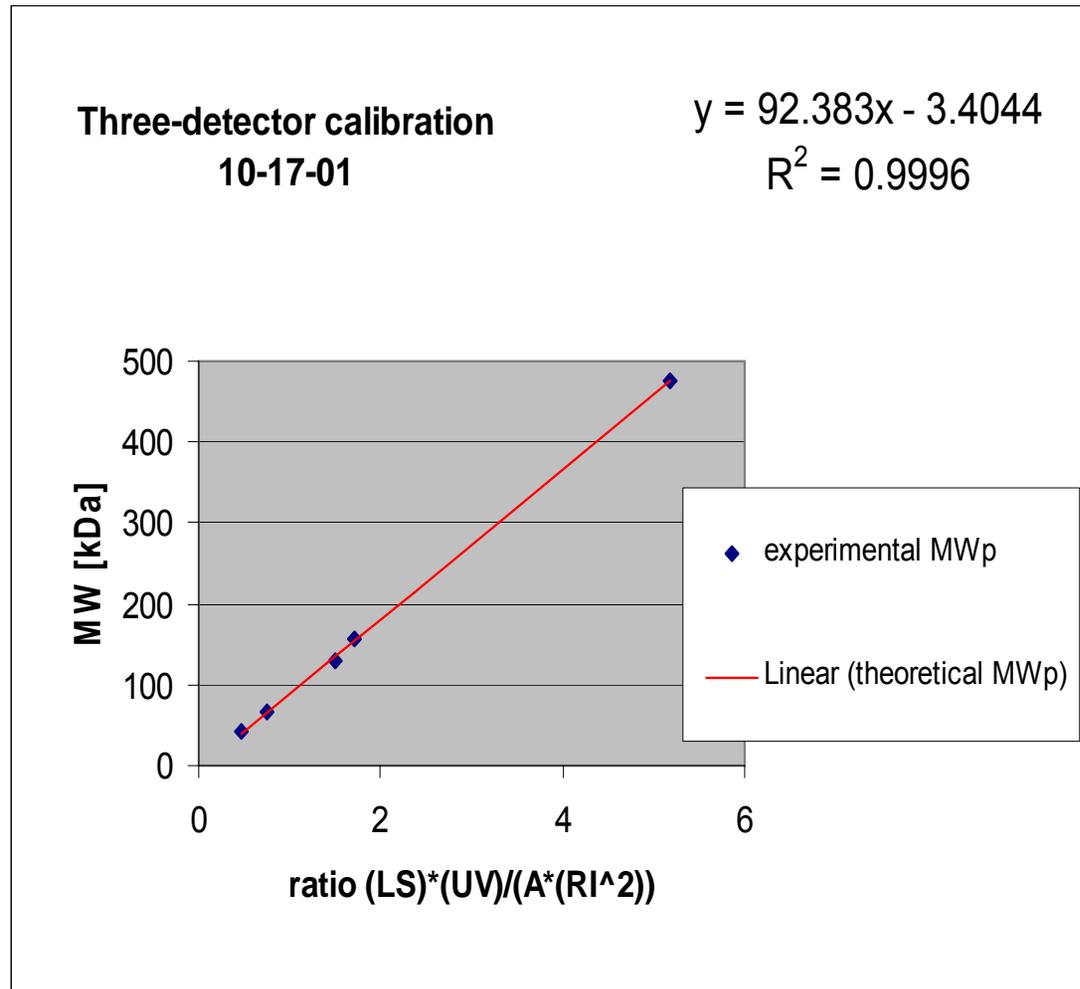
$$MW_p = \frac{k * (LS)(UV)}{\epsilon(RI)^2}$$

MW <sub>p</sub>	Molecular Weight (polypeptide)
ε	extinction coefficient
LS	light scattering intensity
UV	absorbance (ε)
RI	refractive index change
k	calibration constant

Yutaro Hayashi, Hideo Matsui and Toshio Takagi (1989) *Methods Enzymol*, 172:514-28  
Jie Wen, Tsutomu Arakawa and John S. Philo (1996) *Anal Biochem*, 240(2):155-66

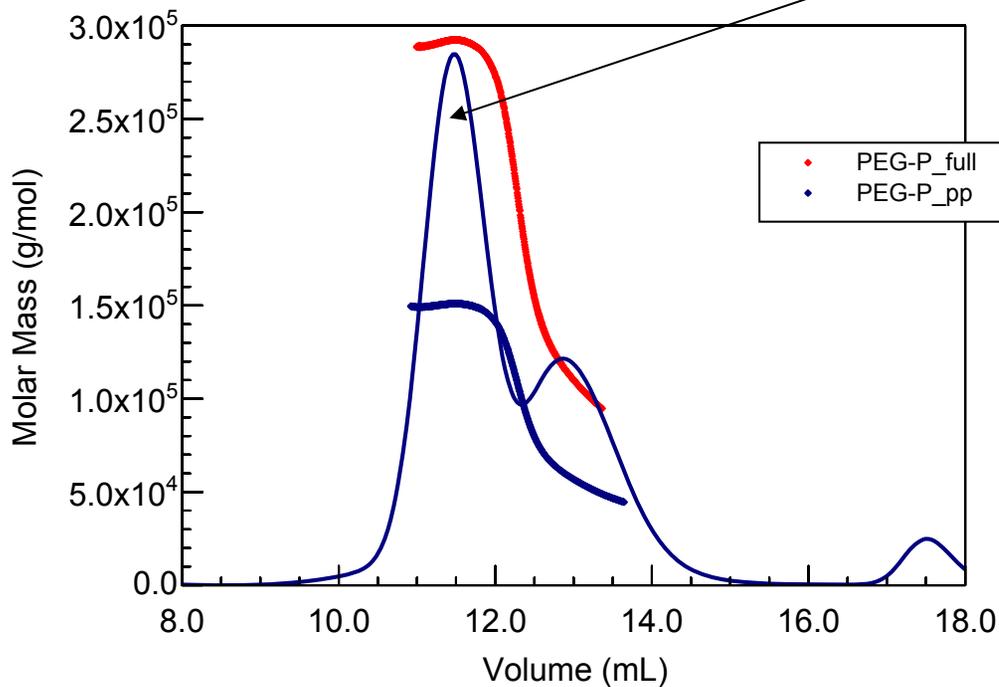
$$MW_p = 91.39 \times \left[ \frac{(LS) \cdot (UV)}{\epsilon \cdot (RI^2)} \right]$$

Protein	MW (kDa)
Ova	43
BSA(1)	66
BSA(2)	132
Ald	156
Apo-Fer	475



PEG-ylated protein: 75 kDa

36 kDa polypeptide + 39 kDa PEG

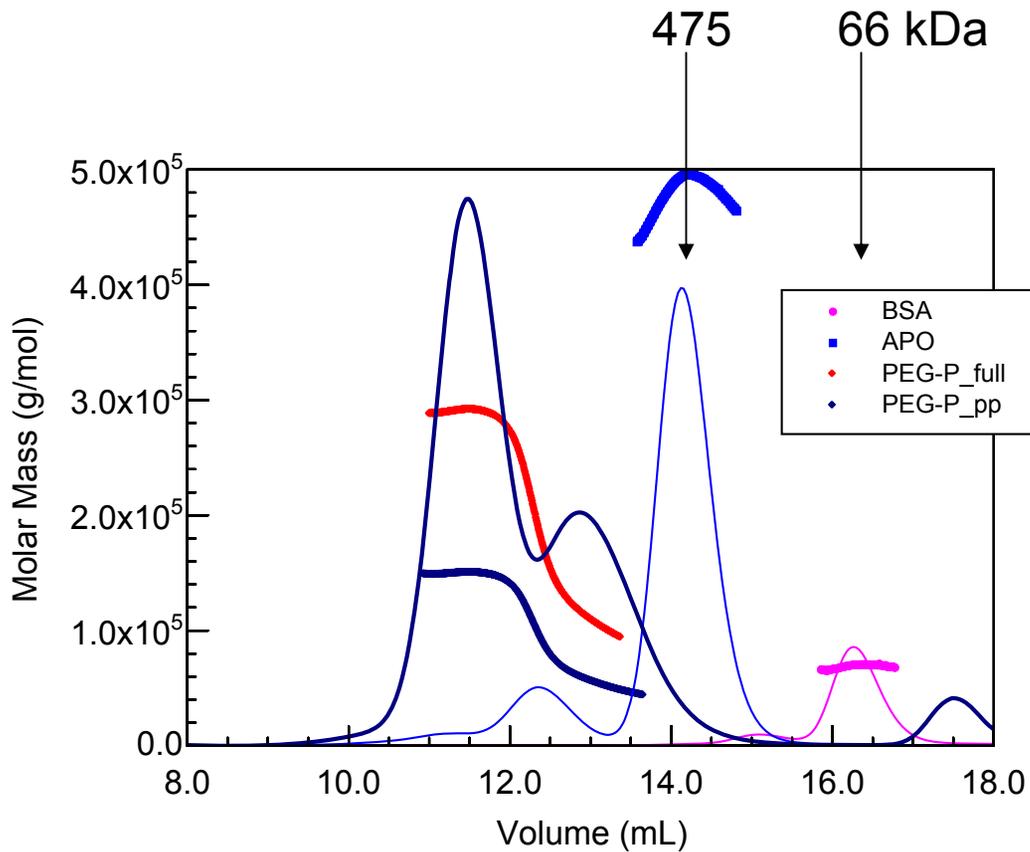


Polypeptide: 146 kDa  
(tetramer: 144 kDa)

Full protein: 291 kDa  
(tetramer: 300 kDa)

PEG-ylated protein: 75 kDa

36 kDa polypeptide + 39 kDa PEG

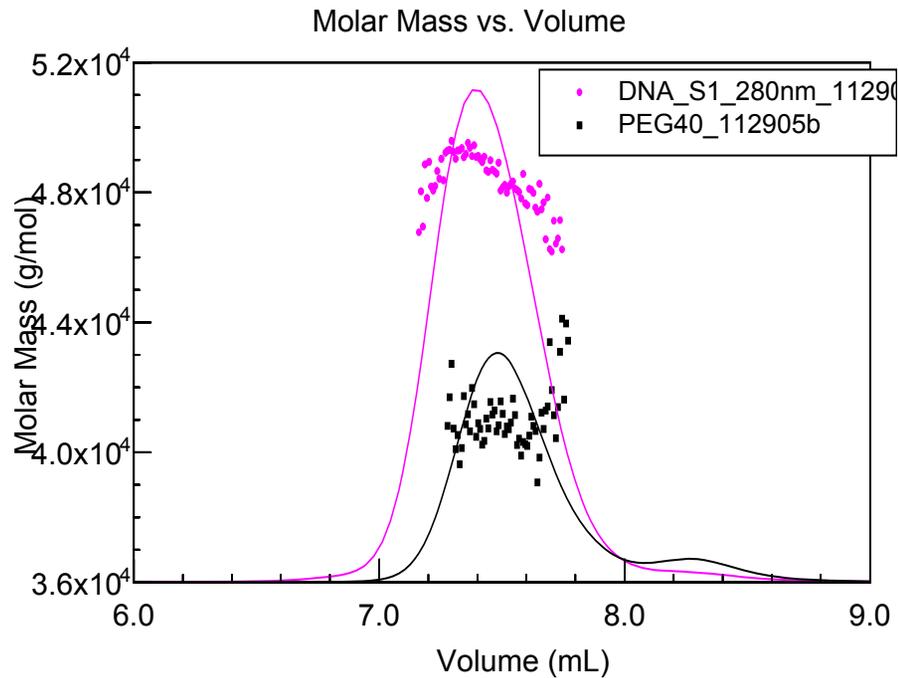


Polypeptide: 146 kDa  
(tetramer: 144 kDa)

Full protein: 291 kDa  
(tetramer: 300 kDa)

PEG-ylated oligo: 48.3 kDa

8.3 kDa oligo + 40 kDa PEG



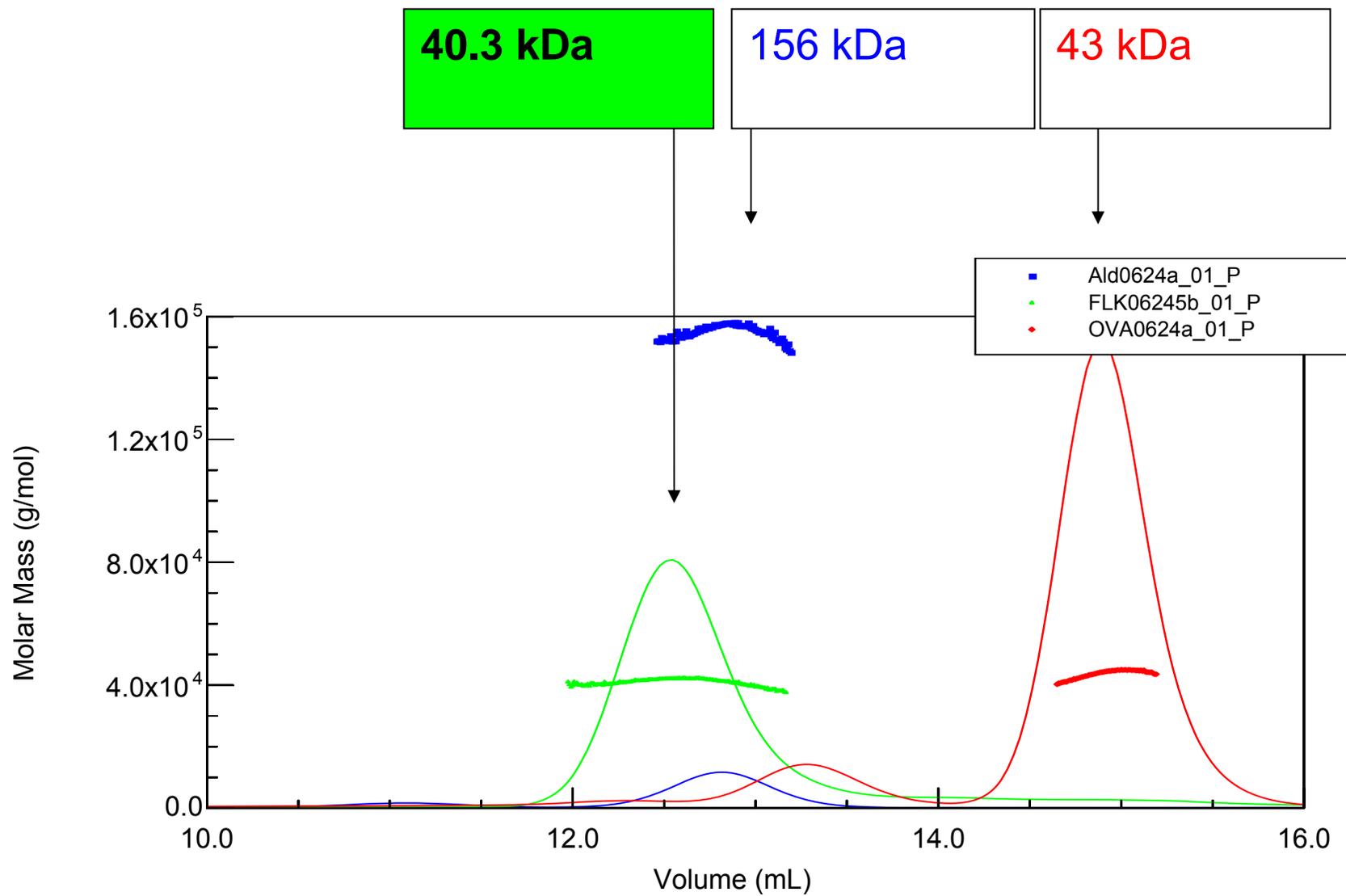
**PEG (40K) MM = 41.0 kDa**

Polydispersity= 1.001

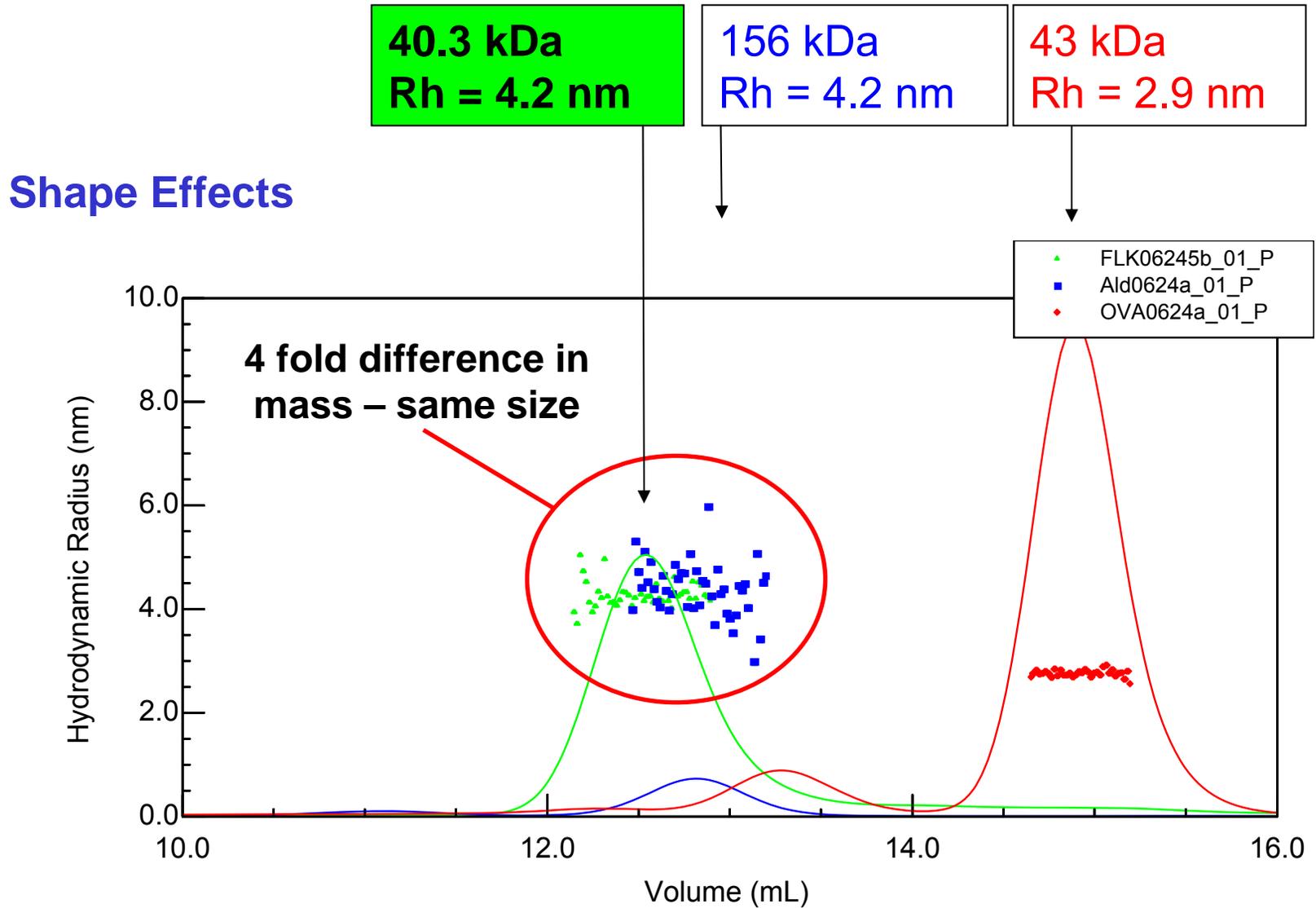
40K PEG + 8.3 kDa oligo

**PEG-oligo MM = 48.5 kDa**

# Protein "F"

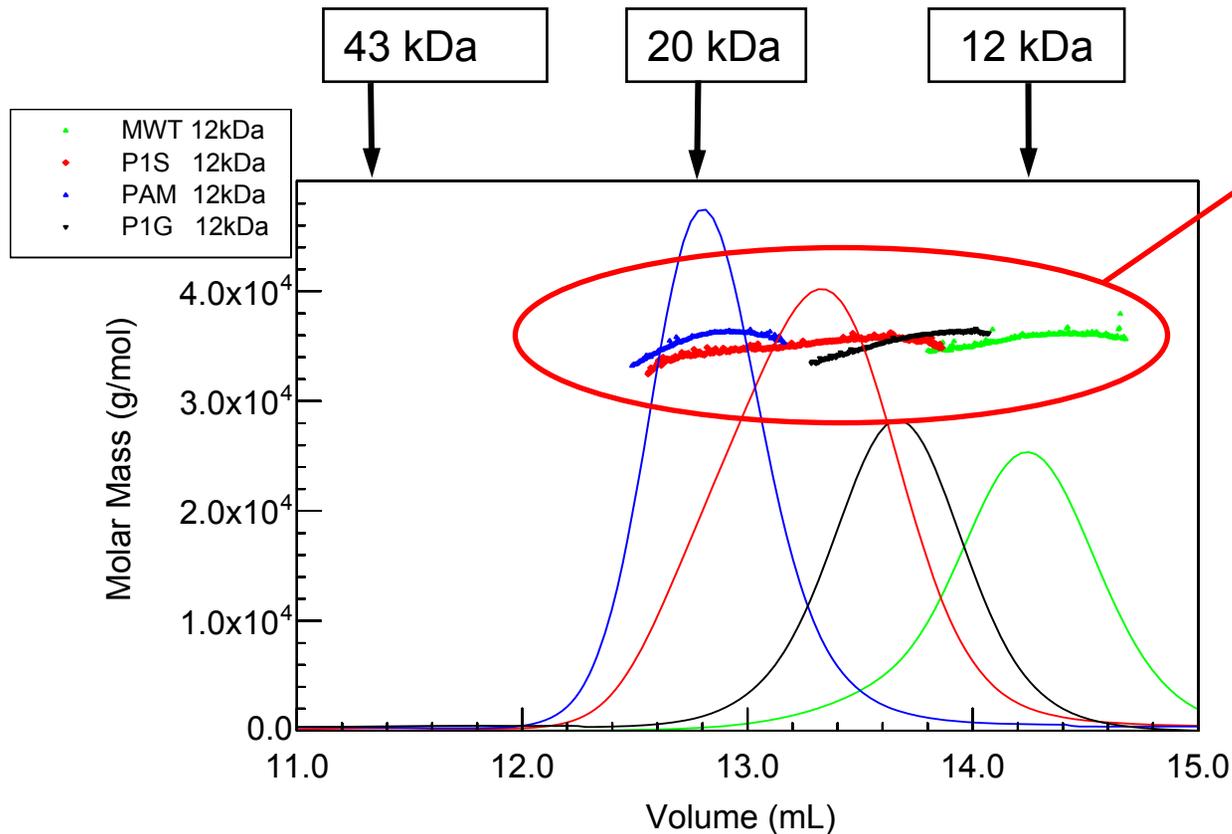


Protein "F" frictional ratio  $R_h/R_s = 1.85$  non-spherical shape



Protein: 12 kDa; WT and three mutants

## Interaction with the column effects



**Trimer:**  
**MW = 36 kDa**

# Capabilities

## Static LS

- ▶ fast and accurate determination of molar masses (weight average)
  - glycosylated protein, conjugated with PEG, protein-lipids-detergent complexes, protein-nucleic acid complexes
- ▶ accuracy of  $\pm 5\%$  in Molar Mass determination
- ▶ easy to implement, fully automated (data collection and data analysis)
- ▶ highly reproducible (no operator bias)
- ▶ SEC/MALS excellent in detecting and quantifying population with various oligomeric state in protein

## Dynamic LS

- ▶ very fast detection of aggregates
- ▶ great dynamic range
- ▶ well suited to study kinetics of aggregation
- ▶ DLS detector available in a plate reader format for high volume analyses

## Combined data about MM, Rg and Rh - shape information (multiangle static and dynamic LS)

- via frictional ratio  $R_h/R_s$
- via shape factor  $\nu$ , from  $\log(R_g)$  vs.  $\log(\text{MM})$  plot
- via shape factor  $\rho$ , from  $R_g/R_h$  ratio

# Limitations

## Static LS

- ▶ measures weight average molar mass – needs fractionation to resolve different oligomeric states
- ▶ possible losses of sample during filtration and fractionation
- ▶ limitation on solvent choices (related to a fractionation step)
- ▶ SEC/SLS/DLS dilution during experiment

## Dynamic LS

- ▶ measures hydrodynamic radius, which is affected by shape
- ▶ cannot discriminate between shape effects and changes in oligomeric states, *i.e.* non-spherical shape mimics oligomerization
- ▶ needs fractionation to resolve low number oligomers when present in mixture

# Common Light Scattering Specifications

<b>Parameter</b>	<b>Specification</b>
Size range - DLS	0.6 nm to 6 um Diam
Size range - Zeta Potential	10 nm to 20 um Diam
Concentration range	0.1 mg/mL (Lys) to 30w%
Minimum sample volume	2 uL
Temperature control	-4 to 130 °C
<b>Accessories</b>	
Polarization filters for rotational correlation measurements	
Wavelength filters for fluorescing samples	
Automatic titrators	
Cross-correlation configurations	
Plate readers for high throughput applications	
Multi-angle configurations for full MW & Rg range	
Flow cells for HPLC applications	

**Ken Williams**

Director of W.M. Keck Biotechnology Resource Laboratory at Yale  
University School of Medicine

**NIH**

**Users of SEC/LS Service**

<http://info.med.yale.edu/wmkeck/biophysics>

Thank You

# Questions?

Kevin Mattison

*Malvern Instruments*

kevin.mattison@malvern.com



Ewa Folta-Stogniew

*Yale University*

Ewa.Folta-Stogniew@yale.edu

