



**YSM PRE-AWARD TEAM (YPAT)
CONTRACT INFORMATION FORM**

FOR YSM PRE-AWARD TEAM USE ONLY	
IRES PD#: _____	PHS AGENCIES FAQ
Compliances: <input type="checkbox"/> COI <input type="checkbox"/> PPAA <input type="checkbox"/> SPA <input type="checkbox"/> Effort Verification	
Notified: _____	Final Docs Due: _____

In adherence to [OSP's internal proposal review guidelines](#), YPAT requires email receipt of final proposal documents 7 business days in advance of the sponsor deadline to allow for a full administrative review.

Section 1: Principal Investigator and Project Information	
PI Name:	
Primary Project Location Building Name Room # :	
Project Title:	
Contract Type:	
PI Proposed Effort:	VA appointment? <input type="checkbox"/> Yes <input type="checkbox"/> No
Primary Sponsor Name:	
Primary Sponsor Contact Information <i>name, address, email, phone</i> :	
Project Start	to
Sponsor Deadline (if applicable):	

Section 2: Major Goals Statement
Provide a brief statement 1-2 sentences of the overall objectives of the project, subproject, consortium arrangement or description of activity.

Section 3: Scope of Work

Section 4: Budget Information				
YALE Personnel - List Name, Role, Effort, and select appropriate designations for each				
Name	Role	Effort	Key Personnel	VA Appointment

Section 5: Human Subjects & Vertebrate Animals

HUMAN SUBJECTS

Are Human Subjects Involved? Yes No
Will this be a clinical trial? Yes No

VERTEBRATE ANIMALS

Are Vertebrate Animals Used? Yes No
Involves the Use of Live Vertebrate Animals (laboratory animals or wildlife)? Yes No
Involves the use of live cephalopods (octopuses, squid, cuttlefish, or nautilus)? Yes No

Section 6: Regulatory Questions

Will this project require new space, renovations to existing space, or additional equipment? Yes No
If "yes", please explain:

Will this project involve YNHH services/staff? Yes No
Does this proposal involve special research (either COVID-19 or Stem Cell research)? Yes No
Is there proprietary/privileged information included in the application? (patentable ideas, trade secrets, etc.) Yes No
Does the project have an actual impact on the environment? (threatens the environment or public health) Yes No
Is the research performance site designated, or eligible to be designated, as a historic place? Yes No

WILL EHS MATERIALS BE USED ON THIS PROJECT? YES NO **IF "YES," INDICATE WHICH MATERIALS BELOW:**
 Recombinant DNA Hazardous Chemicals Radioactive Materials/Sources Select Agents
 Human Gene Transfer Biohazards Controlled Substances Radiation Generating Equipment
 Class 3b or 4 Lasers Human Pathogens Human Embryonic Stem Cells
If Human Embryonic Stem Cells will be used on this project provide ESCRO#:

Section 7: Export Questions

Does the proposed sponsored project involve the use of any Controlled Un-Classified Information? Yes No
Controlled Unclassified Information' CUI is information that requires safeguarding or dissemination controls pursuant to and consistent with applicable law, regulations, and government-wide policies but is not classified under Executive Order 13526 or the Atomic Energy Act, as amended. If your proposal seeks funding from a federal agency and you are unsure if CUI will be received or generated in the performance of the proposed research, please consult [Controlled Unclassified Information](#) to determine if CUI is Involved.

Does the proposed project refer to or require any of the following:

Export controls in general or receipt of export-controlled materials Publication Restrictions Restrictions on foreign nationals

Collaboration with a foreign entity or foreign national? Yes No If "Yes," provide name of countries :

Will any part of the proposed sponsored project be conducted outside the US? Yes No

Any foreign travel, especially foreign travel with a laptop or other electronic device? Yes No

Will this project involve the transfer or shipment of equipment, materials, software, or data or provision of services outside the US? Yes No
If "Yes", provide countries and description of what you are shipping or transferring, or what services you are providing:

Does the project involve any technology or software which involves encryption, possible military applications or the possibility to use such technology in development of weapons? Yes No
If yes, provide a description of the technology and software involved: