

1 **Title: Mitochondrial dysfunction and pulmonary hypertension: Cause, Effect or Both**

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3 Jeffrey D. Marshall MD^{1*}; Isabel Bazan MD^{1*}; Yi Zhang PhD¹, Wassim H. Fares MD MSc¹

4 Patty J. Lee MD¹;

5

6 ¹: Yale University School of Medicine, Section of Pulmonary, Critical Care, & Sleep Medicine,

7 New Haven, CT. *equal contributors

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10 Corresponding author:

11 Jeffrey D. Marshall

12 333 Cedar Street

13 PO Box 208057

14 New Haven, CT 06520

15 jeffrey.marshall@yale.edu

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26 **ABSTRACT:**

27

28 *Pulmonary hypertension* describes a heterogeneous disease defined by increased pulmonary
29 artery pressures, and progressive increase in pulmonary vascular resistance due to pathologic
30 remodeling of the pulmonary vasculature involving pulmonary endothelial cells, pericytes, and
31 smooth muscle cells. This process occurs under various conditions, and though these
32 populations vary, the clinical manifestations are the same: progressive dyspnea, increases in right
33 ventricular (RV) afterload and dysfunction, RV-pulmonary artery uncoupling, and right-sided
34 heart failure with systemic circulatory collapse. The overall estimated 5-year survival rate is 72%
35 in highly functioning patients, and as low as 28% for those presenting with advanced symptoms.

36

37 Metabolic theories have been suggested as underlying the pathogenesis of pulmonary
38 hypertension with growing evidence of the role of mitochondrial dysfunction involving the major
39 proteins of the electron transport chain, redox-related enzymes, regulators of the proton gradient
40 and calcium homeostasis, regulators of apoptosis and mitophagy.

41

42 There remain more to characterize in mitochondrial dysfunction leading to impaired vascular
43 relaxation, increase proliferation, and failure of regulatory mechanisms. The effects on
44 endothelial cells and resulting interactions with their microenvironment remain uncharted
45 territory for future discovery. Additionally, based on observations that the “Plexigenic lesions”
46 of pulmonary hypertension resemble the unregulated proliferation of tumor cells, similarities
47 between cancer pathobiology and pulmonary hypertension have been drawn, suggesting
48 interactions between mitochondria and angiogenesis. Recently, mitochondria targeting has
49 become feasible, which may yield new therapeutic strategies. We present a state-of-the-art
50 review of the role of mitochondria in both the pathobiology of pulmonary hypertension and
51 potential therapeutic targets in pulmonary vascular processes.

52

53

54 **Our current understanding of Pulmonary Hypertension**

55
56 The first pathological description of pulmonary arterial hypertension (PAH) was described in a
57 German publication in 1891. The article titled *On Sclerosis of the Pulmonary Artery: From the*
58 *Medical Clinic of Leipzig*, described the autopsy findings of patients who suffered from a
59 constellation of progressive dyspnea, cyanosis, fatigue, and ultimately heart failure with “general
60 hydrops” (generalized edema)(136). Pathologically, the patients all had enlarged right ventricles
61 and pulmonary arteries with notable “congestion”. It was not until 50 years later, however, that
62 the hemodynamic implications of these pathological findings would truly be known, with the
63 development of right heart catheterization(115). In 1951, the first article fully describing the
64 clinical entity of pulmonary hypertension (PH), as we understand it today, was published(39).
65 This allowed for a greater understanding of the pre-mortem features of PH. In 1973, the World
66 Health Organization (WHO) recognized three specific categories or etiologies of PH based on
67 additional pathological data(73), and fourteen years later the first series was published(51).
68

69 Currently, we recognize five categories of patients with PH, defined as “groups” by the WHO.
70 These groups have been periodically updated as our understanding of the underlying mechanisms
71 expands. PH is now defined by both hemodynamic measures and pathological findings,
72 unchanged from 70 years ago when first described by Dresdale et al. Hemodynamically, PH is a
73 mean pulmonary artery pressure ≥ 25 mmHg at rest. This basic hemodynamic measure applies
74 to all five WHO groups. PAH, which is a subgroup of PH and is WHO group 1 PH, is
75 characterized in addition by a normal pulmonary artery wedge pressure (≤ 15 mmHg) and a
76 pulmonary vascular resistance >3.0 Wood units (>240 dynes \cdot sec \cdot cm $^{-5}$).
77

78 Pathologically, there is greater variation amongst the different WHO groups. Classically, WHO
79 group 1, which includes idiopathic PAH, is characterized by hypertrophic pulmonary artery
80 remodeling with arteriolar muscularization, intimal fibrosis, and in-situ thrombosis with
81 neovascularization and occasionally the presence of “plexiform” lesions. In WHO group 1 PH,
82 these hypertrophic and plexigenic lesions lead to increased vascular resistance within the
83 pulmonary system, and ultimately to maladaptive responses of the right ventricle to an increased
84 pressure load. In this category, what remains unknown is exactly what mechanism initiates the
85 maladaptive response of the vasculature.
86

87 **Historic Mechanisms of Pulmonary Hypertension**

88
89 PH was considered as a disease of vascular sclerosis and vasoconstriction (arteriolar
90 muscularization), possibly due to “vascular hyperreactivity”, and frequently complicated by
91 thrombotic disease, leading to increased vascular resistance throughout the pulmonary system. It
92 was suggested that the protective mechanism of transient hypoxic pulmonary vasoconstriction
93 was dysregulated or constitutively active, leading to remodeling, and thus the phenotype
94 described above(51). As such, the first mechanisms underlying PH to be studied involved
95 vasoactive pathways within the vasculature. These early studies led to the vast majority of
96 available therapies, namely Endothelin-1, Nitric oxide (NO), and Prostacyclin pathways.
97

98 The first and most potent vasodilator of the vascular smooth muscle to be discussed is NO.
99 Originally termed “endothelial derived relaxing factor” by Furchgott et al.(50), this vasoactive

100 compound is synthesized by NO synthase in endothelial cells (eNOS), which is then secreted as a
101 dissolved gas to be taken up by nearby vascular smooth muscle cells (SMCs). Within SMCs, NO
102 increases production of cGMP via activation of soluble guanylate cyclase (sGC), which in turn
103 decreases calcium influx, thus promoting relaxation of the SMC and vasodilation when in
104 concert with other surrounding SMCs. This effect on cGMP also leads downstream to decreased
105 DNA synthesis, thus inhibiting proliferation of SMCs. Patients with PAH have been found to be
106 deficient in NO and its downstream products (83). Low NO is potentially due to inactivation of
107 eNOS by aberrant phosphorylation in vascular endothelial cells(56). Several therapeutic options
108 specifically address these deficiencies by delivering NO directly to the lungs via inhalation, or by
109 increasing its downstream effector molecule cGMP either via decreased breakdown
110 (phosphodiesterase inhibitors)(81), or via upregulation by stimulating soluble guanylate
111 cyclase(55).

112
113 Similar to NO, another important pulmonary vasodilator is the prostaglandin PGI₂ or
114 *prostacyclin*. This product of the arachidonic acid pathway is synthesized in the endothelium in
115 response to vascular injury or stress, and is released in a paracrine fashion, exerting its action on
116 nearby vascular SMCs, platelets, and other endothelial cells. Prostacyclin acts on the
117 prostacyclin receptor through G-protein coupled receptors resulting in increased adenylate
118 cyclase activity, thereby increasing cAMP levels. This has various effects depending on the cell
119 type affected, including decreased cytosolic calcium and increased break down of myosin light
120 chains in SMCs leading to vasodilation, and inhibition of platelet aggregation through multiple
121 pathways, including via inhibition of thromboxane(126). Additionally, prostacyclin signaling
122 may lead to downstream expression of endothelial NOS leading to NO production via PPAR
123 activation, as well playing an important anti-proliferative role via other analogues of PPAR(44).
124 NOS levels are also reduced in platelets of patients with PAH, highlighting the role of NO as an
125 important regulator of platelet function(13). Patients with PAH have been shown to have
126 decreased levels of prostacyclin synthase(168), contributing to dysregulated endothelial and
127 vascular SMCs in the pathobiology of this disease. Since the discovery of prostacyclin as an
128 important regulator of pulmonary vasculature, several therapeutics have been developed. Initially
129 the focus was on improving delivery of synthetic prostacyclin(14, 126), but more recently efforts
130 to identify the importance of the prostacyclin receptor itself are underway with new non-
131 prostanoid targeted therapies(148).

132
133 The third classic pathway in the pathophysiology of PH is the Endothelin-1 (ET-1) pathway. ET-
134 1 is a potent vasoconstrictor of vascular SMCs, as well as a promoter of their proliferation. Its
135 activity is mediated through the receptors ET-A and ET-B, the antagonism of which has been a
136 target of therapy in PH since the 1990s. Both ET-A and ET-B act upon G-coupled protein
137 receptors affecting concentrations of inositol triphosphate (IP₃); ET-A increases IP₃, thereby
138 stimulating calcium release into the cytosol, leading to SMC constriction, whereas ET-B has the
139 opposite effect on IP₃, ultimately leading to vasodilation and clearance of ET-1. Patients with
140 PAH have been found to have increased circulating and lung tissue levels of ET-1, as well as
141 increased expression of ET-A receptors. Perhaps most significantly, levels of ET-1 have been
142 found to correlate with disease severity(24). Additionally, ET-1 is known to activate RhoA/Rho
143 kinase, a pathway that separately has been shown to significantly contribute to pulmonary
144 vasoconstriction in murine models of both early and late stage PH. Furthermore, inhibition of
145 Rho kinase demonstrated hemodynamic improvement in both models, even when other

146 vasodilator therapies were unsuccessful. Alternatively, when ET-1 is directly antagonized, there
147 is partial reversal of pulmonary vasoconstriction(116, 172). These data suggest that the
148 contribution of reversible pulmonary arterial vasoconstriction to PH pathophysiology persists
149 even to late-stage disease. In 2001, the FDA approved the first endothelin receptor antagonist
150 which non-specifically binds to ET-A and ET-B. Newer drugs have been developed to
151 specifically target the ET-A receptor(81), however, the clinical significance of the ET-1 receptor
152 selectivity is not clear.

153
154
155 The final “pathway” that is of great importance to our understanding of PH, as well as the basis
156 of therapeutic intervention, is calcium handling and regulation within vascular SMCs. As noted
157 in several pathways, as described above, the flow of calcium into different compartments within
158 the cell determines the contractile nature of the SMC(58). Early interventions in PH simply
159 blocked the influx of calcium into the cytosol via calcium channel blockers. Clinically however,
160 very few patients with PH have initial or sustained responses to calcium channel blockade(81,
161 149). Given its importance in all known pathways, calcium handling remains an important
162 mechanism via which PH may develop and is discussed further below.

163
164 As better understanding of vascular and endothelial biology has emerged over the past decade,
165 additional pathways, particularly those involving the mitochondria, have been found to play
166 significant roles in the development of PH. Comprehensive reviews by Archer et al., Huetsch et
167 al., Paulin et al., Pugliese et al. and Schumacker et al. have described the various pathways and
168 mechanisms by which mitochondrial dysfunction may play a role in the development of
169 pulmonary hypertension, and have offered insights into future therapeutic applications(11, 79,
170 124, 128, 146). However, despite this growing literature, therapeutic development has lagged
171 behind the basic and translational sciences. As such, only the aforementioned pathways have
172 been translated so far into actual therapies for PH. In addition to recapitulating previously
173 described pathways, we aim to suggest a paradigm shift in the characterization, and thus, the
174 diagnosis and treatment of pulmonary hypertension, expanding upon already known
175 mechanisms, and pointing toward new therapeutics.

176 177 **Genetic underpinnings of Pulmonary Hypertension**

178
179 Based on the well-known form of familial or hereditary PH, the genetic underpinning of PH has
180 been well established. The most prevalent genetic mutations occur in the bone-morphogenetic
181 protein receptor-2 (BMPR2), which is a member of the transforming growth factor-beta (TGF- β)
182 superfamily. BMPR2 deficiency has been associated with apoptosis-resistance, increased
183 inflammatory responses, and increased proliferation, some of which are related to defects in
184 multiple mitochondrial pathways(32, 35, 151). Additionally, several other receptor types within
185 this superfamily have been identified in cohorts of patients with PH, including BMPR1, activin
186 receptor-like kinase 1(ACVRL1), and endoglin, as well as BMP-related SMADs(131, 152).
187 Recently, several researchers have proposed a “two-hit” hypothesis of PAH given the incomplete
188 penetrance and variable expressivity of phenotype with the above mutations, parallel to that seen
189 with neoplastic lesions. Additional somatic mutations have been observed in the lungs of patients
190 with PAH, though it is not exactly clear whether these result from or lead to these characteristic
191 plexigenic lesions(7, 97). Federici et al. then demonstrated that similar DNA damage and

192 mutations were more easily induced in pulmonary vascular cells from the relatives of patients
 193 with idiopathic or heritable forms of PH, than from healthy controls(47). These findings further
 194 suggest that DNA sensitivity to damage may be a precursor to pulmonary vascular disease.

195
 196 Epigenetic modification of genes has also been found to play a role in the development of PAH,
 197 particularly microRNA (miRNA) regulation of gene expression. Microarray profiles of patients
 198 with PAH were analyzed, identifying over 20 different miRNAs across several studies that
 199 regulate expression of various genes and signaling pathways germane to the development of
 200 PH(45, 76, 113, 156). Several miRNAs specifically target BMPR2 related pathways, whereas the
 201 majority are unrelated, and without a clear underlying connection. The table highlights a number
 202 of these small non-coding RNAs and their relationship with BMPR2, modified from Negi et
 203 al(113). This is not a comprehensive list of all epigenetic phenomena known to effect pulmonary
 204 hypertension, as this list is continually expanding. Rather, this is only a brief review of some of
 205 the micro RNAs relevant to our discussions below.
 206

miRNA	Target and effect
miR-17/92	Antagomir attenuates PH in animal models by directly targeting BMPR2
miR-20a	Antagomir prevents development of remodeling in PH animal models by directly targeting BMPR2(21)
miR-302	Cyclic feedback relationship with BMPR2, inhibits PASMC proliferation and migration
miR-21	reduces expression of BMPR2, though <i>in vivo</i> inhibitors attenuate hypoxic vasoconstriction and subsequent vascular remodeling; targets the HIF pathway
miR-322	acts upon BMPR1-a and SMADs, and promotes proliferation of PASMCs
miR-125a	increases protein concentrations of BMPR2 in PAECs leading to inhibition of cell proliferation. Hypoxia leads to upregulation of miR-125a in mouse models. However, in human subjects with PH, miR-125a circulating levels are decreased when compared to normal controls(78)
miR-138 and miR-25	Impair calcium signaling via downregulation of a component of the mitochondrial calcium uniporter (MCUC). This increases cytosolic calcium within pulmonary arterial SMCs leading to vasoconstriction and a pro-proliferative environment(76)
miR-204 and BRD 4	Down regulation of miR-204 leads to upregulation of the “epigenetic reader” bromodomain-containing protein 4 (BRD4), which in turn leads to over expression of the oncogenes NFAT, Survivin, and Bcl-2. The upregulation of these genes has been implicated in abnormal cellular proliferation in cancer cells, as well as in patients with pulmonary hypertension(106)

207
 208
 209 **Brief overview of cell types affected in Pulmonary Hypertension**
 210

211 There is complex interplay between the various cells that make up the pulmonary vasculature,
212 with dysregulated inter-cellular communication as the origin of PH. It was first hypothesized that
213 SMCs and myofibroblasts were the critical cell types affected in PH, however, newer evidence
214 points to complicated communications between all cells within the vasculature. In 2005, Sakao et
215 al. hypothesized that the cascade of events that lead to the vascular remodeling characteristic of
216 pulmonary vascular disease begins with early apoptosis of the pulmonary endothelial cell,
217 leading to hyper-proliferation of “apoptosis-resistant” endothelial cells(141). In general,
218 endothelial cells display a propensity to proliferate, a necessary feature to rapidly repair when
219 injured. There are, however, different subpopulations that possess a greater propensity for
220 proliferation than others. Alvarez et al. demonstrated that pulmonary microvascular cells in
221 particular, grow nearly two times faster than other populations. Furthermore, they demonstrated
222 that the pulmonary vasculature contains a significant proportion of progenitor cells with much
223 higher vasculogenic capacity(8). Sakao et al. further demonstrated that when naïve endothelial
224 cells were placed in media that was conditioned with apoptotic cells, the plated endothelial cells
225 would adopt an apoptosis-resistant phenotype(141). Helenius et al. also demonstrated this
226 phenotype, a result of vascular SMC migration and downregulation of CD39 on the surface of
227 endothelial cells leading to extracellular accumulation of ATP, and resultant increase in
228 perivascular inflammatory cells(74). These disorganized and hyper-proliferative endothelial
229 cells are precursors to the plexiform lesions in small precapillary pulmonary arterioles, which are
230 the histopathologic hallmarks of PAH(157).

231
232 Plexiform lesions form in response to specific stimuli or injury, which can include hypoxemia,
233 shear stress, inflammation, drug or toxin, likely in a genetically susceptible host. Injury alters
234 endothelial cell proliferation, apoptosis, and homeostatic functions such as coagulation
235 pathways, and response to growth factors and vasoactive agents(80). Defects in growth
236 suppressive genes and increased levels of angiogenic factors such as PDGF and VEGF have been
237 found in plexiform lesions(140, 184), which are often characterized by clonal populations of
238 endothelial cells, suggesting these sites play a role in endothelial proliferation(167).
239 Additionally, the crosstalk between endothelial cells and pericytes is important to vascular
240 remodeling. Ricard et al. hypothesized that pulmonary endothelial cell dysfunction leads to
241 abnormal microvascular pericyte distribution, causing pulmonary arterial medial thickening, via
242 abnormal fibroblasts growth factor-2 and interleukin-6 signaling(134).

243
244 SMCs and myofibroblasts also play an important role in the vascular remodeling of precapillary
245 arterioles. SMCs migrate distally along the arteriole towards the respiratory acinus, adding SMCs
246 to precapillary pulmonary arterioles that were previously non-muscularized. A new layer of
247 myofibroblasts and extracellular matrix forms between the endothelium and the internal elastic
248 lamina, termed the neointima. This altered extracellular matrix has increased expression
249 of collagens, matrix metalloproteinase 19, disintegrin, and metalloprotease 33 in both intimal
250 and medial layers(75, 154). The cellular mechanisms of these processes are not well understood,
251 however, hypoxia models suggest that fibroblasts in the adventitia may be the first to
252 differentiate to myofibroblasts and lead to the cascade of migration and proliferation(154).
253 Evidence suggests that the serotonylation of fibronectin by tissue transglutaminase likely plays a
254 role in this tissue migration, as demonstrated in hypoxia-induced PH animal models(125, 176).
255 Neovascularization occurs following the formation of the neointima, with blood vessels forming
256 in the now thickened adventitia and media(80, 128). There is also evidence from animal models

257 that SMCs play a role in balancing cytosolic and mitochondrial ROS in response to cyclic
258 stretching leading to downstream expression of growth factors for both endothelial cells and
259 SMCs. In models already demonstrating a propensity for proliferation, this leads to a so-called
260 “feed forward” mechanism of growth(174).

261
262 Macrophages and lymphocytes have also been found histologically near plexiform lesions in a
263 subset of patients, suggesting an inflammatory component to this pathogenesis(167), although
264 the specific contribution from the adaptive immune system is not well characterized. Maston et
265 al. found that genetic deletion of the recombination-activating gene 1 in mice (RAG1 -/-), which
266 lack mature B and T cells, results in diminished right ventricular systolic pressures and less
267 vascular remodeling compared with wild type mice that were exposed to hypoxia. In fact, they
268 found that RAG1 -/- mice that were given T helper 17 cells developed PH independent of
269 hypoxia(102). IL-13, a T-helper type-2 cell effector cytokine, has also been implicated in the
270 pathogenesis of PAH. In one study, IL-13 stimulated cellular proliferation in human pulmonary
271 artery SMCs(27). Additionally, chronic inflammation or immune dysregulation may be the
272 inciting injury that causes PAH to develop in patients with human immunodeficiency virus
273 infection or in patients with connective tissue diseases. For example, some patients with systemic
274 lupus erythematosus have had clinical benefit of their PH from immunosuppressive therapy,
275 underscoring the role inflammation may have in subsets of patients(38, 107).

276
277

278 **Metabolic pathways and mitochondria in Pulmonary Hypertension – the “Metabolic** 279 **theory”**

280
281 The “Metabolic theory” of disease suggests that alterations in the bioenergetics of an organism
282 lead to dysfunctional processes downstream, with the subsequent development of disease. This
283 theory has been most thoroughly described in cancer biology(28, 37, 147, 159, 170) but more
284 recently has been expanded to the pathobiology of PH(10, 65, 109, 147).

285
286 Specifically, the metabolic shift within an organism from energy production predominantly via
287 aerobic respiration to that of glycolysis and fermentation leads to a number of adaptive and
288 maladaptive downstream effects. Endothelial cells are very sensitive to this change, particularly
289 as they are the first to ‘sense’ an internal environment low in oxygen, and their importance in
290 signaling to surrounding cells. Notably, endothelial cells from different vascular beds are quite
291 different in their responses to stress, circulating factors, and surrounding cells(54). Due to their
292 unique environment, some of the cells within the pulmonary vasculature rely more heavily on
293 glycolysis, such as the pulmonary microvascular endothelial cells which use aerobic glycolysis
294 as the predominant source of energy(120). Other cells, such as the pulmonary artery endothelial
295 cells, however, depend more highly on cellular respiration for their energy requirements(121,
296 180). These differences between cell types allows the pulmonary vasculature to be highly
297 sensitive to small changes in oxygen concentration, and a metabolic shift to increased glycolysis
298 is important in inducing a signaling cascade that leads to rapid vasoconstriction of the pulmonary
299 bed to preserve ventilation-perfusion matching(177). In patients with PH, this metabolic shift
300 occurs at higher or even normal oxygen concentrations(128). This “glycolytic shift” in the face
301 of normoxia has been termed the “Warburg effect” after the German physician who first

302 described this phenomenon in the 1920's, and is associated with a more highly proliferative
303 phenotype (54, 146, 170, 177).

304
305 Aside from simply being less efficient in energy production, this process leads to sudden shifts in
306 reactive oxygen species (ROS) production with impaired handling of oxidative stress(22, 48),
307 alterations in oxygen-sensing potassium channels (Kv 1.5 channels), resultant shifts in cytosolic
308 calcium, and constriction of the pulmonary vasculature(11).

309
310 Mitochondrial and cellular biology rely on the presence of ROS for signaling and internal
311 regulation, however, the hallmark of metabolic or mitochondrial disease is an imbalance of
312 oxidative stress(89, 94, 146). Typically, the production and removal of ROS is tightly regulated,
313 particularly mitochondrial ROS (mROS). This allows for changes in ROS content within
314 compartments to signal downstream targets, some of which include signal transducers and
315 transcription factors that regulate apoptosis, cellular proliferation, angiogenesis and even gene
316 expression. In the vascular compartment, NADPH oxidases (NOXs) are a significant source of
317 ROS and mROS(62). Multiple endogenous and exogenous oxidants activate NADPH, and many
318 have been used to induce cellular injury in animal and in vitro models. Examples include
319 hyperoxia, hypoxia, inhaled particles, xanthine oxidase, cigarette smoke, and other reactive
320 oxygen species themselves, all of which contribute to mitochondrial dysfunction by
321 overwhelming enzymes within the OXPHOS metabolic pathway(9, 18, 69, 158). For example,
322 Ghouleh et al. recently demonstrated increased expression of Nox-1 in the pulmonary
323 endothelium of patients with PH. This correlated to increased overall ROS production, and
324 increased expression of an antagonist to bone morphogenetic protein (BMP) and the
325 proangiogenic factor sonic hedgehog (SHH)(57). Conversely, deficiency in Nox1 expression
326 within PSMCs, which leads to decreased mROS production, was shown to be associated with
327 SMC proliferation and vascular remodeling(82). Other studies of hypoxia-induced pulmonary
328 hypertension have demonstrated overexpression of Nox-4 with associated increase in ROS levels
329 and down-regulation of thioredoxin 2, a mitochondrial redox regulator(1). This is to highlight the
330 fact that different cellular compartments may experience different degrees and different types of
331 ROS, a fact that has significant impact on downstream expression(173).

332
333 Ultimately, unregulated oxidative stress leads to dysfunction and ultimately removal of impaired
334 mitochondria through a process called mitophagy(4). In the pathobiology of disease, this
335 contributes to reduced mitochondrial mass and impairs ATP production, further promoting a
336 glycolytic state(89, 138). Specifically in PH, glycolysis promotes hyperpolarization of the inner
337 mitochondrial membrane, preventing the release of pro-apoptotic chemicals and, in part, leading
338 to a so-called "apoptosis-resistant" phenotype(170). Additionally, inhibitors of apoptosis are
339 released from mitochondria when cells are under stress. These changes, in addition to other pro-
340 angiogenic factors that are upregulated or altered in patients with PAH, are the basis of the
341 metabolic theory of PH.

342
343 The resistance to apoptosis is a major factor in the pathobiology of pulmonary hypertension.
344 First described in tumor cells, Dohi et al. identified mitochondrial pools of the caspase inhibitor
345 *survivin* were shown to be released into the cytosol when tumor cells had received pro-apoptotic
346 signals(37). McMurtry et al. then demonstrated that survivin is also upregulated in patients with
347 PAH, as well as in monocrotaline rat models of PAH. Furthermore, they were able to show that

348 levels of survivin expression in PASMCs correlated to severity of disease, and when survivin
349 was inhibited, measures of pulmonary hypertension were attenuated in this animal model(104).
350 Michelakis et al. provided further evidence of the role of apoptosis-resistant PASMCs in the
351 development of pulmonary hypertension, when they used the metabolic modulator
352 dichloroacetate (DCA). In addition to promoting oxidative phosphorylation via activation of
353 pyruvate dehydrogenase, DCA also depolarizes the mitochondrial membrane via upregulation of
354 Kv 1.5 channels, which then leads to caspase activation and increased apoptosis. Administration
355 of this molecule to rats who developed PH after exposure to chronic hypoxia successfully
356 reversed evidence of the disease in these animals. They then demonstrated that administration of
357 DCA along with chronic hypoxia prevented the development of pulmonary hypertension(108).
358 McMurtry et al. recapitulated this effect in a model of monocrotaline induced PH, again
359 demonstrating an increase in apoptosis leading to reversal of the PH phenotype(105).

360
361 For some time, the transcription factor hypoxia inducible factor 1-alpha (HIF-1 α) has been at the
362 center of this theory. HIF-1 α expression, which controls energy metabolism, erythropoiesis,
363 vasomotor tone, and angiogenesis, is typically upregulated by hypoxia(11). However,
364 Fijalkowska et al. demonstrated that pulmonary endothelial cells in idiopathic PAH patients have
365 greater HIF-1 α accumulation under normoxia and hypoxia, as compared to controls(49). The
366 expression of HIF-1 α and its transcriptional target carbonic anhydrase IX were also increased in
367 the endothelial cells of blood vessels with plexiform lesions *in vivo*(49). HIF-1 α pathway is
368 thought to be regulated by KLF5, a transcription factor that when genetically silenced, attenuates
369 hypoxia-induced pulmonary hypertension(93). Chettimada et al. also found that increased
370 glucose-6-phosphate dehydrogenase (G6PD) activity increased HIF-1 α , which directed cells to
371 synthesize less contractile proteins, and more proliferative proteins in PASMCs(25, 26).

372
373 Increased HIF-1 α is also caused by decreased levels of NO and manganese superoxide dismutase
374 (MnSOD or SOD2) activity. Sato et al. first published on SOD2 deficiency in fawn-hood rats
375 leading to the spontaneous development of pulmonary hypertension(142), and the induction of
376 other isoforms of superoxide dismutase have been associated with the development of pulmonary
377 hypertension(132), though exact mechanisms had not been clear. Fijalkowska et al. showed that
378 decreases in SOD2 in normal endothelial cells was sufficient to increase HIF-1 α expression
379 under normoxia. Furthermore, HIF-1 α knockout mice exhibit increased numbers of
380 mitochondria(49), suggesting that increased expression of HIF-1 α likely contributes to decreased
381 mitochondria, often seen in PH endothelial cells. Additionally, Bonnet et al. demonstrated this
382 same mechanism in the fawn-hood rats, further establishing this connection(19). SOD2 is the
383 enzyme responsible for converting superoxide, which is produced early in the electron transport
384 chain, into H₂O₂. Presence of this redox molecule is necessary for signaling to “oxygen-sensors”
385 that the environment is “normoxic” by keeping Kv 1.5 channels open, thereby preventing
386 stabilization of HIF-1 α . If SOD2 is deficient, then H₂O₂ levels fall. When SOD2 activity is
387 restored, HIF-1 α expression is again suppressed(10).

388
389 As most mitochondrial proteins, SOD2 is not produced within the mitochondria, but rather is
390 nuclear-derived, and assembled within the cytosol, then requires transport into the mitochondria.
391 This process is regulated by the heat shock protein iHSP70. Afolayan et al. describe the process
392 whereby impairment of this chaperone mechanism due to low ATP levels leads to increased
393 cytosolic degradation of SOD2, and thus decreased mitochondrial levels of the enzyme,

394 impairing conversion of superoxide(3). This further impairs redox signaling as described above.
395 Similarly, SOD1 deficiency leads to impaired conversion of superoxide to hydrogen peroxide.
396 However, the mechanism seems to be different in that SOD1 (-/-) mice also develop PH
397 spontaneously, but the phenotype is not augmented by chronic hypoxia, and appears to be driven
398 by activation of the transcription factor NFAT(132). Bonnet et al. demonstrated that NFAT
399 activation led to changes in calcium handling (increased cytosolic Ca²⁺), and decreased density
400 of Kv 1.5 channels leading to similar mechanistic outcome as described above(20).

401
402 Interestingly, new evidence suggests that there may be alternative mechanisms responsible for
403 chronic hypoxia-induced PH compared to acute hypoxia-induced pulmonary vasoconstriction
404 (HPV). Sommer et al. demonstrated that SMCs of mice with Cox4i2^{-/-} (a subtype of cytochrome
405 C, or complex IV of the ETC) were resistant to acute HPV, though continued to develop
406 characteristic PH when exposed to chronic hypoxia. In this model, HIF-1 α stabilization was not
407 affected, suggesting that mitochondrial ROS production alone is not sufficient for its
408 stabilization(150).

409
410 Additionally, both pulmonary and total body NO is reduced in PAH patients(59, 83, 98). In
411 normoxia, the presence of NO mimics the effects of hypoxia and HIF-1 α levels increase,
412 whereas low levels of NO decrease HIF-1 α levels. In hypoxia, however, increasing levels of NO
413 reduce HIF-1 α in the endothelial cells of normal hosts(95) by blocking cellular respiration,
414 which enables a higher level of overall intracellular O₂ that results in HIF-1 α degradation(68). In
415 the endothelial cells of patients with PH, high levels of NO resulted in increased HIF-1 α
416 expression under normoxia, whereas low levels of supplemented NO reduced HIF-1 α . High
417 levels of NO decreases the need for the endothelial cells to synthesize their own NO. This
418 suggests that the loss of NO production, via decreased endothelial NO synthesis, may result in
419 the activation of HIF-1 α under normoxia in patients with PH(49).

420
421 NO regulates cellular respiration and mitochondrial biogenesis. In models of primary pulmonary
422 hypertension, there is decreased eNOS activity which is associated with mitochondrial
423 impairment and decreased ATP levels, and dysregulated endothelial angiogenesis(2, 85). When
424 treated with inhaled nitric oxide (iNO) or an NO donor such as detaNONOate, mitochondrial
425 biogenesis was restored along with ATP levels(2). Similarly, Xu et al. showed that the
426 endothelial cells of patients with PH had decreased mitochondrial dehydrogenase activity, lower
427 numbers of mitochondria and mitochondrial DNA content per cell, all of which increased after
428 exposure to NO(180). This restoration of mitochondrial mass and return to appropriate redox
429 balance in response to increased NO appears to be mediated by PGC-1 α with downstream
430 effects on AMPK α , Sirt-1, and eNOS. In fetal lambs, this balance was negatively affected by
431 increased oxygen levels(2). Xu et al. also found that although ATP content under normoxia was
432 similar in the endothelial cells of subjects with PH as compared to normal controls, cellular ATP
433 levels did not change significantly in PH cells under hypoxia. This suggests that the endothelial
434 cells of normal subjects are more dependent on cellular respiration for energy under hypoxia than
435 PH cells. Additionally, the endothelial cells of subjects with PH were found to have a three-fold
436 greater glycolytic rate, which provides evidence of altered metabolism in these cells(180).

437
438 More recently, a role for the transcription factor STAT3 has been described. Originally known
439 for its role in acute phase reactions, such as activation by the cytokine IL-6 and interacting with

440 JAK(182), STAT3 has also been identified as a promotor of VEGF and other angiogenic factors.
441 Its role in vessel proliferation and pro-survival mechanisms has again been demonstrated in
442 tumor cell lines(114), and evidence has accumulated for a similar role in PH. STAT3 activation
443 is significantly increased in endothelial cells of subjects with PH, and specifically localizes to
444 areas of plexiform lesions. Furthermore, when STAT3 is inhibited, so is the hyperproliferative
445 phenotype observed in PH(101). STAT3 leads to the activation of survivin, NFAT and Bcl-2, all
446 of which promote an apoptosis-resistant environment(123), and promotes HIF-1 α expression and
447 signaling, further supporting a pro-remodeling phenotype(101, 155). Independent of its
448 transcriptional activity, STAT3 has also been shown to directly regulate mitochondrial function.
449 Wegrzyn et al. discovered that STAT3 localizes to complexes I and II of the electron transport
450 chain(175), and further work has identified its role in regulating a number of functions, including
451 calcium homeostasis(182).

452
453 As alluded to earlier, intracellular calcium handling is a complex process and an important
454 function of mitochondria that has only more recently been understood. Calcium homeostasis has
455 a role in mitochondrial respiration, oxygen-sensing, and cell survival, amongst others (66).
456 Increases in mitochondrial calcium leads to activation of mitochondrial and TCA cycle enzymes,
457 accelerating oxidative metabolism and thereby increasing ROS production(41). Oxygen-sensitive
458 potassium channels (Kv 1.5) affect L-type voltage gated calcium channels. As the surface
459 expression of potassium channels declines in the presence of hypoxia (low H₂O₂), there is a
460 downstream increased influx of calcium via these L-type calcium channels. In pulmonary SMCs,
461 this leads to contraction (thus vasoconstriction) acutely(10, 169).

462
463 In addition to the classic L-type calcium channels, a group of incompletely understood non-
464 selective channels has been identified that regulates calcium signaling in vascular cells, called
465 transient receptor potential channels (TRPCs)(163, 171, 183, 190). In patients and in animal
466 models with PH, there are also greater numbers of calcium sensitive receptors (CaSR),
467 particularly on SMCs. These CaSRs enhance calcium transport through certain TRPCs, which
468 plays a significant role in the pathogenesis of PH(163). TRPC3, has been found to localize to the
469 inner mitochondrial membrane of SMCs, and augment mitochondrial influx of calcium(188).
470 Wang et al. demonstrated that the presence of TRPC3 lead to increased vasoconstriction, and
471 thus increased systemic hypertension in an animal model. Furthermore, inhibition of TRPC3 by
472 telmesartan reduced ROS production, and improved mitochondrial respiration(171).
473 Additionally, Teshima et al. identified that overexpression of the mitochondrial protein,
474 uncoupling protein-2 (UCP2) in cardiomyocytes prevented excessive influx of calcium into
475 mitochondria and reduced ROS production(165). Conversely, if mitochondrial calcium is
476 reduced, mitochondrial function is impaired. Dromparis et al. observed that when the
477 mitochondrial protein uncoupling protein-2 (UCP-2) was deficient in pulmonary SMCs, calcium
478 transfer into mitochondria from nearby endoplasmic reticulum (ER) declined, resulting in a
479 switch to glycolysis. Additionally, these UCP2-deficient cells demonstrated impairment in
480 calcium-sensitive pyruvate dehydrogenase, an important enzyme in the TCA cycle, further
481 perpetuating the glycolysis pathway(40). Similarly, overexpression of the protein Nogo-B, a
482 protein that tethers the ER to the mitochondria allowing for efficient calcium transfer, has been
483 associated with the development of PH. During ER-stress, ATF6 is activated and Nogo-B is
484 upregulated leading to mitochondrial hyperpolarization, suppression of Kv 1.5 channels, and
485 stabilization of HIF-1 α , thereby stimulating a pro-survival and apoptosis resistant environment.

486 Conversely, inhibition of Nogo-B promotes apoptosis in PASMCs and prevents development of
487 the PH phenotype in animal models(160).

488
489 As illustrated by Sutendra et al., and Dromparis et al., the proximity of mitochondria to ER also
490 plays an important regulatory role. There is evidence that ER wrap around mitochondria marking
491 several points of contact for the fission protein DLP1 to promote mitochondrial fission. This
492 process is enhanced during periods of stress or hypoxia, when DLP1 is upregulated(88).
493 Delmotte et al. expand upon this relationship with sarcoplasmic reticulum (SR) and describe a
494 mechanism by which mitochondria move within cells based on cytosolic concentrations of
495 calcium. They demonstrate that calcium concentrations, and thus mitochondrial movement, is
496 affected by certain inflammatory cytokines, leading to decreased proximity to SR and potential
497 inability to meet metabolic demands of the cell, and resulting in increased metabolic stress(33,
498 34). Also interestingly, during hypoxia, mitochondria have been shown to localize to the
499 perinuclear region within a cell. This is associated with increased nuclear ROS accumulation and
500 subsequent oxidative base damage to so-called hypoxic responsive elements (HREs) of certain
501 genes. Specifically, modification to VEGF promoter regions allows incorporation of HIF-1 α ,
502 thereby upregulating VEGF expression(6, 122). Al-Mehdi et al. demonstrated that when
503 mitochondrial localization was inhibited, overall ROS production was not altered, though nuclear
504 oxidative base damage was impaired, thereby preventing hypoxia induced upregulation of
505 VEGF(6). These observations suggest that alterations in ROS production alone is not sufficient
506 to effect phenotypic changes, but rather location of mitochondria within the cell plays an
507 important role in the development of PH. With ongoing advances in subcellular imaging such as
508 CEPIA and live imaging techniques (LIT)(135, 162), the importance of the physical relationships
509 of organelles and their molecular messengers (i.e. calcium and ROS) may be further elucidated
510 in future studies.

511
512 Uncoupling proteins have other important effects on mitochondria. In addition to regulation of
513 calcium handling, Teshima et al. also demonstrated that increased UCP2 was associated with
514 maintenance of mitochondrial membrane potential, suppression of cell-death markers, and
515 ultimately with cardioprotection(165). The importance of well-regulated mitochondrial
516 membrane potential has already been discussed, and hyperpolarization of this membrane has
517 been clearly associated with the development of PH. Pak et al. demonstrated that the
518 mitochondrial membrane potentials of SMCs of subjects with PH were hyperpolarized when
519 compared to SMCs of normal controls. This was recapitulated in monocrotaline-induced PH
520 animal models, and again in UCP2-knockout mice. Characteristic of other PH models, the
521 UCP2-knock mice also demonstrated the pro-proliferative and anti-apoptotic phenotype (118).

522
523 Following from the identification of UCP2 as an important mediator in the development of a PH-
524 phenotype in SMCs, interest arose in exploring other cell types. Our group examined the role of
525 UCP2 in endothelial cells. We used intermittent hypoxia as a model of oxidant-induced PH to
526 identify the role of mitophagy via mitochondrial UCP2 in the development of PH(72).
527 Mitophagy is the selective autophagy of mitochondria, and is an important quality control
528 mechanism that eliminates damaged mitochondria, though defects in mitophagy has been
529 implicated in certain cancers and a number of pulmonary diseases(4, 28, 87, 139). Specifically,
530 the imbalance between mitochondrial biogenesis and mitochondrial turn-over leads to functional
531 impairment of the cell. This relationship has been demonstrated in various pathological

532 processes, as well as in the process of ageing(119). In terms of its role in the development of
533 pulmonary hypertension, there is evidence of increased mitophagy and decreased mitochondrial
534 biogenesis in human patients with PAH as well as in experimental mouse models of the
535 disease(72, 138).

536
537 Mitophagy is initiated by a change in mitochondrial membrane potential which leads to the
538 accumulation of PTEN-induced kinase 1 (Pink1) on the outer mitochondrial membrane, leading
539 to the recruitment of cytoplasmic Parkin, and subsequent ubiquitination of damaged
540 mitochondria(61). Oxidative injury increases mitophagy(4, 187) and excessive mitophagy can
541 lead to cell death (12). Our group found that the loss of endothelial UCP2 increased levels of
542 mitophagy-associated proteins Pink1 and Parkin, which led to increased mitophagy.

543 Additionally, Haslip et al. demonstrated decreased levels of PGC-1 α , a transcriptional co-factor
544 involved in multiple pathways promoting mitochondrial biogenesis. Increased mitophagy and
545 inadequate mitochondrial biosynthesis was shown to be associated with increased apoptosis in
546 endothelium. These changes were associated with physiologic evidence of PH in mice, such as
547 increased right ventricular systolic pressure and right ventricular hypertrophy. We also found
548 that even at room air, the loss of endothelial UCP2 resulted in increased Pink1 and Parkin, and
549 resulted in the development of spontaneous PH(72), emphasizing the role of endothelial
550 mitophagy and the UCP2 pathway in the development of pulmonary vascular remodeling.

551
552 Aside from alterations in mitochondrial proteins and pathways, mitochondrial DNA (mtDNA)
553 itself plays a significant role in the regulation of mitochondrial functioning. Though there is
554 evidence of nuclear DNA damage contributing to pulmonary hypertension(133), the effects of
555 mitochondrial DNA damage and mutations have been most thoroughly explored in cancer
556 biology(23). Significant to our discussion, mtDNA is exquisitely more sensitive to oxidative
557 damage than is nuclear DNA, especially when comparing exogenous (i.e. xanthine oxidase)
558 versus mitochondrial derived ROS(29, 36, 63), thereby increasing the risk of function-altering
559 mutations in the genome(23). Interestingly, when mitochondrial oxidative repair enzymes are
560 down-regulated, there is increased cytotoxicity and subsequent apoptosis. Conversely, when
561 these enzymes are over-expressed, there seems to be a protective effect on the cell(146). Ruchko
562 et al. demonstrated in pulmonary arterial endothelial cells that when mtDNA specifically was
563 exposed to exogenous oxidant stress, there was increased mitochondrial dysfunction (as
564 measured by changes in mitochondrial membrane potential as described above), and subsequent
565 increased apoptosis. In this model, if mtDNA repair mechanisms were upregulated via the over-
566 expression of Ogg1, mitochondrial membrane potential was spared, and these cells were
567 protected against oxidant-induced apoptosis(137). These protective effects of Ogg1 were
568 reproduced in other forms of oxidant injury, including ventilator-induced and hyperoxia-induced
569 lung injury(71). Further studies also demonstrated a protective effect on barrier function of
570 endothelial cells, which is not only important in models of lung injury and PH, but also
571 inflammatory models and sepsis(29). Similarly, work that was done by our lab demonstrated the
572 role of mitochondrial dysfunction and impaired turnover, on worse outcomes in sepsis-induced
573 lung injury. Using a model of MKK3 deficient mice, we identified increased turnover of
574 defective mitochondria through a PGC-1 (peroxisome proliferator-activated receptor γ
575 coactivator 1)-mediated mechanism, resulting in decreased ROS production, decreased apoptosis
576 as well as inflammation and improvements in survival(100).

577

578 Attendant to mitochondrial dysfunction and subsequent degradation of mitochondria via
579 mitophagy, is the breakdown and recycling of mtDNA. It has been demonstrated in other models
580 (i.e. sepsis, atherosclerosis, and cancer biology) that mtDNA is released from cells after
581 apoptosis (and perhaps mitophagy alone), either *in toto* or as fragments(146, 178). These
582 fragments are expressed as damage-associated molecular patterns (DAMPs), and have been
583 demonstrated to play a role in innate immunity, and as such, inflammation-mediated end-points,
584 such as plaque rupture and endothelial remodeling(178). DAMPs have been found to play a
585 major role in activating toll-like receptors(178), including TLR4 and TLR9. TLR4 can be found
586 on tumor cells, and its activations leads to tumor progression(92). Additionally, TLR4 activation
587 of platelets is associated with development of PH(16), and in models of sickle cell disease,
588 DAMPs are associated with development of the vasculopathy that leads to PH(127). TLR9
589 activation, however, leads to further damage and fragmentation of mtDNA, suggesting a “feed-
590 forward” mechanism for continued mitochondrial injury(86). DAMPs are also recognized by
591 NOD-like receptors (NLRs), which trigger additional responses by immune cells. Notably, the
592 NLRP3 inflammasome is activated by mitochondrial-associated DAMPs, and has been
593 associated with the pathogenesis of PH(112). Additionally, when the receptor P2X7R, and
594 upstream activator of NLR, was inhibited, the development of PH in an animal model was
595 attenuated(185). This further suggests that mitochondrial damage is associated with perivascular
596 inflammation and the development of PH.

597
598 Additional signaling mechanisms have been described, including ‘mitokines’, or mitochondrial-
599 derived peptides. Mitokines are released in response to mitochondrial stress or dysfunction in
600 one organ, and can signal certain responses of mitochondria in other tissues(161). A number of
601 candidate molecules have been identified, including most prominently humanin and MOTS-c,
602 both of which have been shown to play protective roles in metabolic disease(84). Humanin was
603 first identified in Alzheimer’s disease, but Widmer et al. demonstrated that this peptide is also
604 expressed in vascular endothelial cells, and its expression is upregulated in the presence of
605 endothelial injury or dysfunction with higher levels being associated with improvement in blood
606 flow, a surrogate for endothelial function(179). Humanin is thought to exert its protective effects
607 through improved NO bioavailability, and through both pro-apoptotic and anti-apoptotic
608 mechanisms(84, 166, 179). Zhang et al. demonstrated that when mice were fed a diet
609 supplemented with humanin, they expressed increased anti-angiogenic proteins and inhibited
610 angiopoietin-1(189), a protein that has been implicated in SMC hyperplasia in PH models(53, 96,
611 111), which led to attenuated vascular remodeling and fibrosis. Of note, exogenous humanin
612 administration was also associated with increased expression of STAT3 in this model(189).
613 Further supporting its role in mitochondrial protection, Thummasorn et al. showed significant
614 decreases in myocardial infarction size when exogenous humanin was infused prior to ischemic
615 injury, and concomitant decrements in mitochondrial ROS production. Additionally, the
616 expression of pro-apoptotic proteins, such as Bax and pro-caspase-3, was attenuated in the
617 presence of exogenous humanin(166).

618
619 In addition to humanin, newer mitokines have been discovered that exert similarly
620 “metaboloprotective” effects on cells and cellular systems. Mitochondrial open reading frame of
621 the 12S rRNA-c (MOTS-c), and another related group called small humanin-like peptides
622 (SHLP) 1-8, have been shown to act similarly to humanin in their ability to increase
623 mitochondrial biogenesis, and thus increase oxygen consumption and decrease ROS production.

624 Though these molecules act on similar targets as humanin, such as the AMPK pathway, there is
625 not yet sufficient evidence regarding their roles in disease outside of insulin-resistance and
626 ageing(84).

627

628 **Future directions and therapeutics in PH; why understanding mitochondrial dysfunction** 629 **in PH is relevant to potential therapeutics**

630

631 Current therapies do not cure the disease, and appear to have limited effects on the underlying
632 pathobiology, which we now appreciate involves major changes in endothelial and SMC
633 behavior, with the evolution of a glycolytic, apoptosis-resistant, and proliferative cellular
634 phenotype, enhanced by a complex interplay of inflammation, metabolic derangements and
635 mitochondrial processes.

636

637 Prior to focusing on therapeutics, it may be prudent to reclassify PH based on molecular
638 phenotype. Defining patients by clinical criteria alone is no longer sufficient to produce the
639 advances needed in treating this disease(42). Gurtu et al. argue that a diagnostic, therapeutic, and
640 research-oriented approach to PH should mimic the “precision medicine” paradigm of cancer
641 research and therapeutics(67). In changing this paradigm, we may improve our approaches to
642 treatment, and continue to spark more novel therapeutics in the future(15).

643

644 One such approach would be to further characterize the metabolomics of PH. For example,
645 Lewis GD makes a strong argument for profiling of the NO pathway, and more importantly, of
646 “NO responsiveness” in patients with PH(90), to better understand how patients may benefit
647 from therapy. In a separate report, Lewis et al. demonstrate strong correlations with metabolic
648 profiles and clinical phenotypes(91), and possibly with clinical outcomes.

649

650 Other potential areas of exploration regarding future improved characterization and diagnostics
651 include evaluation of mitochondrial subunits themselves. There are currently well-established
652 methods for comparing circulating mitochondrial DNA and nuclear DNA in other human
653 diseases, namely in cancer(145, 186). Given the numerous similarities to cancer pathobiology, it
654 would not be surprising that we find similar correlations between circulating mitochondrial DNA
655 numbers in PH and disease phenotype or outcomes. Furthermore, Farha et al. demonstrated that
656 independent of germline mutations, mitochondrial genetics may play a role in predisposing
657 groups to the development of PH, making this a very interesting area for further exploration(46).

658

659 The treatment of PH can capitalize on already achieved milestones in precision medicine, by
660 coopting treatments that already exist in other fields. Targeting transcription factors (e.g. STAT3,
661 mTORC, Akt, PI3K, FoxO, NFAT, and NF- κ B) in addition to dysregulated metabolic and
662 mitochondrial signaling networks, may reverse established disease(31, 70, 117, 138). As noted
663 by Tudor et al., upregulation of HIF-1 α/β alone leads to the activation of more than 100 genes
664 individually involved in bioenergetics, apoptosis, angiogenesis, and so on, making this area ripe
665 for therapeutic intervention(169). This makes the repurposing of targeted cancer or immunologic
666 therapies a promising prospect for the treatment of PH(130). To this end, certain cancer
667 treatments have been tested in animal models of PH and have largely provided promising results,
668 particularly with EGFR and PDGFR inhibition demonstrating positive effects on hemodynamics,

669 remodeling, and survival in experimental PH. (17, 77, 99, 144). Unfortunately, many of these
670 have not born out in human studies(64, 110).

671
672 Directly targeting mitochondrial function has been studied in other disease processes as well, and
673 may prove effective in PH. Agrawal and Mabalirajan provide a useful model for considering
674 therapeutics for mitochondria, named the 3Rs: repair, replacement, and reprogramming(5). In
675 our discussion above, we have touched upon each of these areas of mitochondrial biology. In
676 terms of repair, anti-oxidants and other ROS scavengers have long been considered in treatment
677 of many disease processes, including PH, and most have proven either ineffective or not feasible.
678 MitoQ and other mitochondrial-specific scavengers of ROS, have been shown to improve
679 mitochondrial functioning in PH(143), as well as other metabolic disease states, such as diabetes
680 heart disease(164), and cigarette-smoke induced lung injury(9, 69). Additionally, direct repair of
681 mitochondrial DNA has been demonstrated by the addition of exogenous mitochondrial-targeted
682 fusion proteins Ogg1 and Endo III(29). This was associated with normalization of ROS
683 production and apoptotic mechanisms in pulmonary endothelial cells. Though this has not been
684 directly associated with reversal of pulmonary hypertension, there are several corollary studies to
685 suggest this strategy may provide some benefit(29, 35, 86).

686
687 Other more novel repair mechanisms have included mitochondrial regeneration or mitochondrial
688 transplantation. Replacement of diseased or defective mitochondrial via improved mechanisms
689 of mitophagy is being explored. As noted earlier, there may be a role in inhibition of MKK3 in
690 improving mitochondrial turnover(100). Similarly, replacement or upregulation of PGC-1 α may
691 have similar beneficial effects on mitochondrial turnover. Agrawal and Mabalirajan describe the
692 use of pyrroloquinoline quinone (PQQ) as a promoter of this pathway, and may improve the
693 balance of healthy mitochondria(5). Through a similar mechanism, there is evidence that
694 salicylate, the main ingredient of aspirin, also promotes mitochondrial biogenesis through the
695 expression of PGC-1 α (181). Separately, aspirin has also been shown to attenuate hemodynamic
696 changes and RV remodeling in monocrotaline rat models through inhibition of ERK 1/2
697 pathways(52).

698
699 More novel approaches to replace mitochondria have been described, including transfer of
700 healthy mitochondria to injured cells(60, 103, 153). Zhu et al. describe the successful
701 transplantation of femoral-artery derived mitochondria into pulmonary artery endothelial and
702 smooth muscle cells with subsequent reductions in hypoxia induced vasoconstriction. Though
703 they had greater success with direct intracellular transplantation, they were also able to
704 demonstrate the feasibility and efficacy of an intravenous method of mitochondrial
705 delivery(191). Additionally, in a case series of pediatric patients requiring extracorporeal
706 membrane oxygenation (ECMO) after myocardial dysfunction resulting from ischemia-
707 reperfusion injury, mitochondria were successfully autotransplanted from healthy skeletal
708 muscles to diseased myocardium demonstrating safety in human patients(43).

709
710 Other molecular approaches, such as targeting NOTCH3 signaling with DAPT (N-[N-(3,5-
711 difluorophenacetyl)- L-alanyl]-S-phenylglycine t-butyl ester), mTORC1/2 with rapamycin have
712 shown promise in the treatment of PH(130), or SOD2 augmentation with DNA methylation
713 inhibitors, or SOD mimetic therapy (MnTBAP)(10, 79),and augmentation of mtDNA repair

714 mechanisms such as hOgg1),(130),(10, 79),and augmentation are novel approaches targeting
715 specific metabolic pathways that are promising.

716
717 In terms of “reprogramming” mitochondria, we have already described the potential therapeutic
718 role of epigenetic manipulation with the use of small non-coding RNA in the treatment or
719 suppression of the PH phenotype in animal models. Further studies are actively pursuing
720 applications in human models of disease, and have shown potential(21, 30, 129).

721
722 Mitochondria play a number of important regulatory and homeostatic roles, particularly within
723 the vasculature. As summarized, dysfunction of this complex system has been associated with
724 many of the phenotypic changes expressed in PH. Through a better understanding of both the
725 molecular pathways of this system, as well as the regulatory mechanisms of mitochondria
726 themselves, we will have a more systematic and focused approach to the diagnosis and treatment
727 of this heterogeneous and perplexing disease entity.

728
729

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1337 Figure 1: The potential mitochondrial derangements that may be present in pulmonary
1338 hypertension are many and varied. This cartoon illustrates some of the areas where molecular or
1339 targeted therapies are being explored. 1.) HIF-1-alpha pathway has been perhaps the most
1340 explored metabolic pathway in pulmonary hypertension, and has both upstream and downstream
1341 targets. 2.) HIF-1-alpha regulates expression of many genes. In this area, there have been
1342 multiple non-coding RNA molecules with potential to alter downstream gene expression. 3.)
1343 Upstream from HIF-1-alpha, regulation of appropriate redox signaling through augmentation of
1344 redox enzyme SOD2 has proven effective in animal models. 4.) Calcium homeostasis is
1345 necessary for preventing hyperpolarization of membrane potential, and subsequent glycolytic
1346 transition. 5.) Small signaling molecules derived from injured mitochondrial may prevent
1347 propagation of maladaptive phenotypes by attenuating pro-angiogenic and anti-apoptotic
1348 responses.
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