# An Update on Aspects of Autism for Primary Care Providers

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#### **Conflicts of interest**

#### US Grant ACE NIMH





Ami Klin, and Fred R. Volkmar





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Fred & Volkman Lisa A. Wiosher Essential Clinical Understanding Guide to and Treating Autism

Series Driver Rived R. Volkman

#### Overview

- What is autism?
  - Autism as a social learning disability
- Screening and Diagnosis
- Medical Issues
  - Medical Home, approaches to patient
  - Common Problems
- Treatment Updates
- Outcome
- References/Resources





# What is autism?



- Autism is first and foremost a
  - SOCIAL LEARNING DISABILITY
- It shares many features with other disabilities
  - In general people don't `outgrow' it
  - It can be tremendously helped (often)
    - With appropriate supports and realization of what needs and vulnerabilities are



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 It differs from other disabilities given its early onset and pervasive effects<sup>hild Study Center</sup>

# A (quick) discussion of terms

- Autism, Autism Spectrum Disorder(s), Asperger's, PDD, etc. -need better term
- Keep in mind that there is a BROAD range of syndrome expression
  - If you meet one person with autism you have met one person with autism
- Disorder vs. Differences is indeed a discussion



- One of the major findings of past decade
- Normative = neurotypical = some hypothetical population average ild Study Center

Screening and Diagnostic Instruments- Good and Bad News!

- Large number of screeners available
  - At least 37 now available
    - Some for young children, other for school age
  - Several good Diagnostic instruments
    - ADI-R: parent report
    - ADOS: Child Assessment
    - CARS-2: Child assessment





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# Warning signs: birth to 1 year

#### Social Symptoms

- Limited ability to anticipate being picked up
- Low frequency of looking at people
- Limited interest in interactional games
- Limited affection toward familiar people
- Content to be left alone

#### Communication Symptoms

- Poor response to name (doesn't respond when called)
- Does not frequently look at objects held by others

#### Restricted interests and stereotyped behaviors

- Mouths objects excessively
- Does not like to be touched





## Warning signs: 12-36 months

- Restricted interests and stereotyped behaviors
- Hand or finger mannerisms
- Inappropriate use of objects
- Repetitive interest/play
- Unusual sensory behaviors
- Hyper/hyposensitivity to sounds, texture, tastes, visual stimuli

#### Regression

- Loss of words
- Loss of social engagement
- Reported 20% of cases
  - Different patterns
  - Reason for continued vigilance
  - May represent different subtype of autism





# Early Screening Guidelines

#### Level One: Routine developmental surveillance

- Performed on all children at all well-child visits
- Identifies children at risk for atypical development
- Red flags indicate additional screening

#### Level Two: Diagnosis and evaluation of autism

- In-depth evaluation of children identified as atrisk
- Differentiates autism from other developmental disorders
- AAP, AACAP have recommended early screening
- BUT several groups now recommend against
  - NICE
  - US Health Policy Guidelines



(Johnson & Myers, 2007)



## Problems for screeners

- Level I and II screeners
  - In reality mostly level I



- Validity studies tend to be somewhat limited
- More population based studies needed
  - Note in Norway M-Chat
- Controversy regarding screening
  - Conflicting recommendations
- Need for more mobile based app type approaches
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Problems for diagnostic instruments

- These do NOT replace clinical judgement
- Originally focused: school age BOYS (US/UK) children of mild-borderline ID
- Growing body of work on potential biases
  - Social class, cultural issues
  - Work less well for more intellectually disabled and for the higher cognitive functioning
  - And in girls!





# Problems with DSM-5

#### For autism

- Marked reduction in criteria, flexibility
- Problems for
  - Higher functioning
  - Asperger's
  - PDD-NOS



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- Given the increased awareness of the broader autism spectrum this is unfortunate
- "social communication disorder"



## McPartland et al 2012

- JAACAP 2012 Apr;51(4):368-83.

- Re-analyzes data from 933 cases in DSM-IV field trial
- 657 clinician diagnosed ASD, 276 non-ASD
- Cross -alked criteria from field trial to DSM-5
- 60.6% ASD retained DSM-5 diagnosis
- Specificity high (94.9%)
- Se varied in several ways
  - by dx: Autism =.76, Asp= .25, PDD-NOS= .28
  - And by IQ <70 Se=.70, >70=.46





# What happens to cases?

#### From NY Times

#### Action of the second se

In a preliminary analysis, three researchers estimate that far fewer people with autism or a related disorder would meet the criteria for autism spectrum disorder after a change proposed for the fifth edition of the Diagnostic and Statistical Manual of Mental Disorders, or D.S.M. Related Article »





## DSM-5 – 5 years late

- Smith et al JADD 45(7)3541-2552
  - 25 studies of DSM-5
  - Compared to DSM-IV
    - 25-50% of cases LOST diagnosis
    - Esp. higher functioning, Asperger's, PDD-NOS
  - Issues for young children as well
    - Barton et al 2013 (similar to problems with screeners)





# How did this happen?

- A well meaning and informed group of individuals but what were problems
  - In house
    - Not at academic center
  - Use of existing data sets (large but collected in highly standardized way)
    Disraeli
  - Lack of field trials
  - And a very real problem in addition!





#### The culprit! \$\$\$\$\$\$









# DSM-5 Background

- Nearly 2 decades since DSM-IV
- Some basic decisions
  - Eliminate subthreshold concepts (all of DSM 5)
  - Look at new approaches
    - Reliance on data from diagnostic instruments (ADOS/ADI)
    - CAUTIONARY NOTE!
  - "field trials" and process issues
  - "new" social communication disorder

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Potentially problematic or beneficial decisions!

- Overall decisions
  - Eliminate "subthreshold"
  - Rely on research diagnostic instruments
    - Rather than field trials

#### Autism specific issues

- Autism spectrum disorder
  - Levels of symptoms severity
- Move from 3 categories to 2
- From polythetic to mixed decision

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## Use of factor analysis

#### • 2 or 3 factors?

- Kanner (2) → Rutter 3 (till now)
- In DSM-IV field trial
  - 3, 2, or 5-factor solutions worked
  - BUT 3-factor was consistent with older work AND gave much greater flexibility of combinations (>2000 for DSM-IV)
  - For DSM 5 12 combinations



- See Gould Mis-measure of Man book
- Paper in Press in JADD

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# Not everything can (should) be combined!



YA

MEDICINE

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# Use of research instruments

#### Excellent instruments available but

- Need considerable training
- BOTH history AND current assessment
- Which instruments to use?
- Criteria/items may be less useful in clinical practice settings
- Diagnostic instruments work best at `midrange' functioning and age (school age children with borderline to moderate Intellectual deficiency)

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# What from the surface may seem a tranquil situation...







#### ...may mask a more basic problem!









# Screening and Diagnosis

#### • Why is early diagnosis important?

- Children <5 have most potential for major gains
- Presumption that for many (not all) early intervention may make a MAJOR difference
- Issues in diagnosis under 3 years
  - Child may have social and communication problems but NOT yet the repetitive behaviors
  - The latter emerge by 3





#### New approaches to screening

- Less pencil and paper based
- MORE focused on tasks that the child engages in
  - EEG, eye tracking, listening, etc.
  - MANY potential advantages
  - BUT what are the problems?
    - Avoid fishing in stocked ponds!
    - Population based studies needed





# **Clinical Evaluation: History**

- Pregnancy, labor, delivery
- Developmental milestones
  - (sometimes baby diaries/videos help)
- Family History
- With age/TIME
  - Educational interventions
  - Medical interventions
  - CAM
  - Course (major changes, regression or moves to better)





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#### Initial assessments

- Practice guidelines available
- Medical evaluations
  - Hearing & vision
  - Dysmorphic features or + family history
    - ➔ genetic assessments
      - ACHG has online guidelines
  - Most frequent problems
    - Seizures
    - Associated medical conditions
      - Fragile X, tuberous sclerosis





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# **Physical Exam**

#### Look for

- Any unusual dysmorphic features
  - Genetic conditions esp.
    - Fragile X, Tuberous sclerosis
  - Any suggestion (exam/history) of seizure disorder
- Head size
  - Macrocephaly (and body size!)





# Laboratory Studies

- Lead level
- Genetic testing
  - An area where technology evolving rapidly
    - Recommendations from ACMG
      - Genet Med 15:5:399-407
      - Guided by history and exam
      - Commercial gene panels are NOT endorsed

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- EEG if history (including regression) or exam
- Neuroimaging NOT routinely used Study Center

## Continued in Part 2 PDF



